

# Special educational needs survey 2020

## 1.1 Age

(a) Under age 5	414
(b) Aged 5 to 10	3028
(c) Aged 11 to 15	3234
(d) Aged 16 to 19	2068
(e) Aged 20 to 25	425
(f) Total (sum of (a) to (e)).	9169

## 1.2a Establishment

(a) Non-maintained early years settings in the private and voluntary sector	15
(b) Mainstream school: LA maintained (including foundation schools)	1272
(c) Mainstream school: LA maintained (SEN Unit)	0
(d) Mainstream school: LA maintained (resourced provision)	319
(e) Mainstream school: academy	548
(f) Mainstream school: academy (SEN Unit)	0
(g) Mainstream school: academy (resourced provision)	252
(h) Mainstream school: free school	65
(i) Mainstream school: free school (SEN Unit)	0
(j) Mainstream school: free school (resourced provision)	0
(k) Mainstream school: independent school	188
(l) Special school: LA maintained (including foundation schools)	3006
(m) Special school: academy/free	1358
(n) Special school: Non-maintained	8
(o) Special school: Independent special schools	191
(p) AP/PRU: LA maintained	14
(q) AP/PRU: Academy	0
(r) AP/PRU: Free school	5
(s) Hospital schools (including foundation schools)	0
(t) Post 16: General FE and tertiary colleges/HE	925
(u) Post 16: Other FE	67
(v) Post 16: Sixth form college	0
(w) Post 16: Specialist post-16 Institutions	435
Total of 1.2a(i)	8668

### (iii) Educated elsewhere

(a) Pupils permanently excluded at 16 January 2020 and not yet placed elsewhere	10
(b) Other – arrangements made by the local authority in accordance with Section 319 of the Education Act 1996 or Section 61 of the Children and Families Act 2014	25
(c) Elective home education – the number of children with EHC plans who are subject to elective home education	59
(d) Other – arrangements made by parents in accordance with Section 7 of the Education Act 1996, excluding those who are subject to elective home education	0
(e) Awaiting provision – children below compulsory school age (aged under 5) who are attending an education setting and have been issued an EHC plan but are awaiting placement in another setting	21
(f) Awaiting provision – children of compulsory school age (aged 5 to 15) who are in an education setting and have been issued an EHC plan but are awaiting placement in another setting	97
(g) Awaiting Provision – young people over compulsory school leaving age (aged 16 and over) who are in an education setting and have been issued an EHC plan but are awaiting placement in another setting	1
(h) Awaiting provision – children below compulsory school age (aged under 5) who are not attending any education setting and have been issued an EHC plan but are awaiting placement	10
(i) Awaiting provision – children of compulsory school age (aged 5 to 15) who are not attending any education setting and have been issued an EHC plan but are awaiting placement	97
(j) Awaiting Provision – young people over compulsory school leaving age (aged 16 and over) who are not in an education setting and have been issued an EHC plan but are awaiting placement	72
(k) NEET – Young people who have an EHC plan but are not in employment, education or training	109
(l) Other – Including those who have been issued a notice to cease (for example, after taking up of	

***Include children and young people who are within secure units or young offenders institutions within 1.2 a (iii) (b)***

***Children and young people who are applicable to (d) should not also be counted in (c, Include cases where the child/young person is attending education but having been issued an EHC plan are awaiting confirmation on the establishment to be named on the plan 1.2a (iii) (h to l) should not include any children or young people currently in education. Please include cases where the child/young person has moved in to the LA and are awaiting placement.***

### 1.2b Apprenticeships, traineeships and supported internships

**All young people recorded in this section should also be counted against an establishment type in section 1.2a. This should be the establishment type of the further education or post-16 setting to which the work based learning is attached, for example establishment types t), u), v), w) in section 1.2a) i).**

(a) Apprenticeships	1
(b) Traineeships	0
(c) Supported Internships	41

## Item 1.2c Residential settings

a) For 38-51 weeks:

The age breakdown refers to age as at 31 August 2019.

The age categories are:

(a) Under age 5	
(b) Aged 5 to 10	
(c) Aged 11 to 15	4
(d) Aged 16 to 19	5
(e) Aged 20 to 25	1
(f) Total (sum of (a) to (e)).	10

b) For 52 weeks:

The age breakdown refers to age as at 31 August 2019.

The age categories are:

(a) Under age 5	0
(b) Aged 5 to 10	0
(c) Aged 11 to 15	3
(d) Aged 16 to 19	8
(e) Aged 20 to 25	2
(f) Total (sum of (a) to (e)).	13

## Part 2: Assessments and placements

***Please state the number of children and young people for whom an Education, Health and Care (EHC) plan under the Children and Families Act 2014 was made for the first time during the 2019 calendar year. Note: Do not include reassessments of EHC plans.***

### Item 2.1 Age

The age breakdown refers to age as at 31 August 2019

The age categories are:

(a) Under age 5	300
(b) Aged 5 to 10	337
(c) Aged 11 to 15	219
(d) Aged 16 to 19	38
(e) Aged 20 to 25	4
(f) Total (sum of (a) to (e)).	898

## Item 2.2a Establishment

***(i) Of the children and young people entered in Item 2.1, please state the number on the roll of the following establishments. This should be the type of establishment named on the EHC plan. Include children and young people whose EHC plan is under appeal.***

(a) Non-maintained early years settings in the private and voluntary sector	12
(b) Mainstream school: LA maintained (including foundation schools)	301
(c) Mainstream school: LA maintained (SEN Unit)	0
(d) Mainstream school: LA maintained (resourced provision)	39
(e) Mainstream school: academy	87
(f) Mainstream school: academy (SEN Unit)	0
(g) Mainstream school: academy (resourced provision)	13
(h) Mainstream school: free school	4
(i) Mainstream school: free school (SEN Unit)	0
(j) Mainstream school: free school (resourced provision)	0
(k) Mainstream school: independent school	14
(l) Special school: LA maintained (including foundation schools)	141
(m) Special school: academy/free	89
(n) Special school: Non-maintained	1
(o) Special school: Independent special schools	12
(p) AP/PRU: LA maintained	12
(q) AP/PRU: Academy	0
(r) AP/PRU: Free school	0
(s) Hospital schools (including foundation schools)	0
(t) Post 16: General FE and tertiary colleges/HE	16
(u) Post 16: Other FE	3
(v) Post 16: Sixth form college	0
(w) Post 16: Specialist post-16 Institutions	6
 Total of 2.2a(i)	 750

## **(iii) Educated elsewhere**

(a) Pupils permanently excluded at 16 January 2020 and not yet placed elsewhere	5
(b) Other – arrangements made by the local authority in accordance with Section 319 of the Education Act 1996 or Section 61 of the Children and Families Act 2014	1
(c) Elective home education – the number of children with EHC plans who are subject to elective home education	8
(d) Other – arrangements made by parents in accordance with Section 7 of the Education Act 1996, excluding those who are subject to elective home education	0
(e) Awaiting provision – children below compulsory school age (aged under 5) who are attending an education setting and have been issued an EHC plan but are awaiting placement in another setting	20
(f) Awaiting provision – children of compulsory school age (aged 5 to 15) who are in an education setting and have been issued an EHC plan but are awaiting placement in another setting	55
(g) Awaiting Provision – young people over compulsory school leaving age (aged 16 and over) who are in an education setting and have been issued an EHC plan but are awaiting placement in another setting	0
(h) Awaiting provision – children below compulsory school age (aged under 5) who are not attending any education setting and have been issued an EHC plan but are awaiting placement	9
(i) Awaiting provision – children of compulsory school age (aged 5 to 15) who are not attending any education setting and have been issued an EHC plan but are awaiting placement	23
(j) Awaiting Provision – young people over compulsory school leaving age (aged 16 and over) who are not in an education setting and have been issued an EHC plan but are awaiting placement	5
(k) NEET – Young people who have an EHC plan but are not in employment, education or training	5
(l) Other – Including those who have been issued a notice to cease (for example, after taking up of	17

***Include children and young people who are within secure units or young offenders institutions within 2.2 a (iii) (b)***

***Children and young people who are applicable to (d) should not also be counted in (c, Include cases where the child/young person is attending education but having been issued an EHC plan are awaiting confirmation on the establishment to be named on the plan  
2.2a (iii) (h to l) should not include any children or young people currently in education. Please include cases where the child/young person has moved in to the LA and are awaiting placement.***

## 2.2b Apprenticeships, traineeships and supported internships

All young people recorded in this section should also be counted against an establishment type in section 1.2a. This should be the establishment type of the further education or post-16 setting to which the work based learning is attached, for example establishment types t), u), v), w) in section 1.2a) i).

(a) Apprenticeships	0
(b) Traineeships	0
(c) Supported Internships	0

## 2.3 Decisions not to issue

Please state the number of children and young people assessed under Section 36 of the Children and Families Act 2014 for whom it was decided not to issue an EHC plan during the 2019 calendar year.	153
--	-----

## 2.4 Currently being assessed

Please state the number of children and young people assessed for an EHC plan during the 2019 calendar year who are still being assessed or where assessment has been completed by 16 January 2020 but no decision taken for an EHC plan.	357
---	-----

## 2.5 Assessments refused

Please state the number of initial requests for assessment for an EHC plan that were refused during the 2019 calendar year.	467
---	-----

## 2.6 In year transfers between establishments

(a) Please state the number of children and young people with an EHC plan made before 1 January 2019 who transferred during the 2019 calendar year:

(i) from mainstream settings to special settings	311
(ii) from special settings to mainstream settings	66

(b) Please state the number, during the 2019 calendar year, who were taken out of school by their parents to be home educated:

(i) from mainstream settings	24
(ii) from special settings	6

**Note: Only include in year transfers and not end of academic year phase transfers.**

**Mainstream settings refer to the establishment types (b), (c), (d), (e), (f), (g), (h), (i), (j), (k), (p), (q), (r), (s), (t), (u), (v) and special settings refer to the establishment types (l), (m), (n), (o), (w) in Annex A.**

## 2.7 Discontinued plans (compulsory school age)

Please state the number of EHC plans that have been reviewed and discontinued in the 2019 calendar year. Only include children of compulsory school age. For pupils who have:

(a) Transferred to another LA	155
(b) Special needs being met without an EHC plan	4
(c) Other	13

## 2.8 Discontinued plans (school leavers)

Please state the number of EHC plans that have been discontinued in the 2019 calendar year because pupils have left school at the end of compulsory schooling or after. 1083

## 2.9 Initial requests for EHC plan assessments

Please state the number of initial requests that were made for assessment for an EHC plan during the 2019 calendar year. 1589

## 2.10 Initial requests for assessments made whilst in relevant youth accommodation

Please state the number of initial requests for assessments for an EHC plan during the 2019 calendar year that were made when the child or young person was detained in relevant youth accommodation (RYA). 0

Note: Relevant youth accommodation includes Young Offenders Institutions, Secure Training Centres and Secure Children's Homes.

## 3.1 EHC plans issued within 20 weeks (including exception cases)

(a) Total number of EHC plans issued including exception cases. All EHC plans issued in the calendar year 2019. 898

(b) Of which, the number of EHC plans including exception cases issued within 20 weeks. All EHC plans issued in the calendar year 2019 which were issued within 20 weeks, including those where one or more of the allowable exceptions to the time limits have been called upon. 572

## 3.2 EHC plans issued within 20 weeks (excluding exception cases)

(a) Total number of EHC plans issued excluding exception cases. The total number of EHC plans issued within the calendar year 2019 excluding exception cases. This figure should be the sum of 3.2(b) and any non-exception cases produced outside the 20 weeks' timescale. 768

(b) Of which, the number of EHC plans excluding exception cases issued within 20 weeks. The number of EHC plans issued within the calendar year 2019 which were issued within 20 weeks, where none of the allowable exceptions to the time limits have been called upon. 550

## 4.1 Personal budgets

Please state the number of personal budgets in place for all EHC plans maintained at 16 January 2020 340

## 4.2 Personal budget types

Of those in Item 4.1, please give the number of personal budgets that have:

- |   |     |
|---|-----|
| a) Organised arrangements where the local authority or partner retains the funding and commissions the support spec | 33  |
| b) Direct payments (including payments to nominees and third parties) and whether these are for                     |     |
| (i) education,  | 10  |
| (ii) social care,   | 297 |
| (iii) health, or  | 0   |
| (iv) integrated payment for a combination of the above.   | 0   |

**Note: Only direct payments or organised arrangements from the personal budgets stated in 4.1 should be included. Direct payments received from other providers should not be included in 4.1 or 4.2. A personal budget can be a mixture of organised arrangements and direct payments. Such cases should be counted under both 4.2 (a) and 4.2 (b). Therefore it is possible for the sum of 4.2 (a) and 4.2 (b) to be greater than 4.1.**

## 5.1 Effectiveness of mediation

- |  |     |
|--|-----|
| (i) Please state, for the 2019 calendar year, the number of mediation cases that have been held; i.e. where a mediation meeting has occurred, regardless of the outcome. | 107 |
| (ii) Of those in 5.1(i), please state the number of cases which were followed by appeals to the Tribunal.  | 15  |

## Item 6.1 Designated Medical Officer

Does your local authority area have a Designated Medical Officer (DMO) in place on 16 January 2020? Yes  
Yes / No / Recruitment in progress

## Item 6.2 Designated Clinical Officer

Does your local authority area have a Designated Clinical Officer (DCO) in place on 16 January 2020? Yes  
Yes / No / Recruitment in progress

## Item 6.3 DMO/DCO Function

Is the function of the DMO or DCO carried out by another member of staff on 16 January 2020? No  
Yes / No