

Appendix 4 – Risk Assessment

Risk No	Risk description	Risk mitigation	Residual / current risk			Additional steps to be taken
			Likelihood	Impact	Prioritisation	
1.	<p><i>Effective planning service</i></p> <p>The document helps the Council to provide an effective service by setting out transparently the policy and procedure for dealing with reports of alleged breaches of planning control and handling of planning enforcement issues.</p>	A planning enforcement charter is already in place which describes how planning enforcement matters are handled. This document goes into greater depth.	Low	Low	Tolerable	Seeking adoption of this document through Cabinet
2.	<p><i>Protection of the amenity of occupiers/ residents</i></p> <p>Privately owned buildings and land left to deteriorate can cause significant harm to the amenity of the local area, blots on the urban landscape or the urban street. This document makes it an objective to protect the amenity of occupiers/residents by reducing the number of these sites.</p>	This is a key objective of the Birmingham Local Enforcement Plan. The current planning enforcement charter does not have key objectives or priorities. However, the matter would be dealt with as a matter of course	Low	Low	Tolerable	Seeking adoption of this document through Cabinet
3.	<i>Protection of the historic environment</i>	This is a key objective of the Birmingham Local Enforcement Plan. The current planning	Low	Low	Tolerable	Seeking adoption of this document through Cabinet

	Birmingham is rich with heritage assets, historic environments and conservation areas. This document makes it an objective to protect and enhance the City's heritage assets and historic environment. And to preserve significant buildings in Conservation Areas and ensure that any development maintains or improves the special character of those areas.	enforcement charter does not have key objectives or priorities. However, the matter would be dealt with as a matter of course				
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Measures of likelihood/ Impact:

Description	Likelihood Description	Impact Description
High	Almost certain, is expected to occur in most circumstances. Greater than 80% chance.	Critical impact on the achievement of objectives and overall performance. Critical opportunity to innovate/improve performance missed/wasted. Huge impact on costs and/or reputation. Very difficult to recover from and possibly requiring a long term recovery period.
Significant	Likely, will probably occur in most circumstances. 50% - 80% chance.	Major impact on costs and objectives. Substantial opportunity to innovate/improve performance missed/wasted. Serious impact on output and/or quality and reputation. Medium to long term effect and expensive to recover from.
Medium	Possible, might occur at some time. 20% - 50% chance.	Waste of time and resources. Good opportunity to innovate/improve performance missed/wasted. Moderate impact on operational efficiency, output and quality. Medium term effect which may be expensive to recover from.
Low	Unlikely, but could occur at some time. Less than 20% chance.	Minor loss, delay, inconvenience or interruption. Opportunity to innovate/make minor improvements to performance missed/wasted. Short to medium term effect.

Prioritisation:

Severe	Immediate control improvement to be made to enable business goals to be met and service delivery maintained / improved
Material	Close monitoring to be carried out and cost effective control improvements sought to ensure service delivery is maintained
Tolerable	Regular review, low cost control improvements sought if possible