

BIRMINGHAM CITY COUNCIL

RESOURCES OVERVIEW AND SCRUTINY COMMITTEE

THURSDAY, 11 MARCH 2021 AT 14:00 HOURS
IN ON-LINE MEETING, MICROSOFT TEAMS

A G E N D A

1 NOTICE OF RECORDING/WEBCAST

The Chair to advise/meeting to note that this meeting will be webcast for live or subsequent broadcast via the Council's Internet site (www.civico.net/birmingham) and that members of the press/public may record and take photographs except where there are confidential or exempt items.

2 APOLOGIES

To receive any apologies.

3 DECLARATIONS OF INTERESTS

Members are reminded that they must declare all relevant pecuniary and non pecuniary interests arising from any business to be discussed at this meeting. If a disclosable pecuniary interest is declared a Member must not speak or take part in that agenda item. Any declarations will be recorded in the minutes of the meeting.

1 - 12

4 ACTION NOTES - 8 FEBRUARY 2021 AND 11 FEBRUARY 2021

To agree the action notes of the meetings held on 8 February 2021 and 11 February 2021.

13 - 24

5 FINANCIAL MONITORING 2020/21 - MONTH 10

To consider the Month 10 corporate exception report.

25 - 58

6 PLANNED PROCUREMENT ACTIVITIES REPORT

To consider the Cabinet report (to be considered on 16 March) on planned procurement activity.

7 **WORK PROGRAMME**

For discussion.

8 **DATE OF NEXT MEETING**

To note that the next Resources Overview and Scrutiny Committee meeting will be held at 1400 hours on Thursday 8 April 2021 as an online meeting.

9 **REQUEST(S) FOR CALL IN/COUNCILLOR CALL FOR ACTION/PETITIONS RECEIVED (IF ANY)**

To consider any request for call in/councillor call for action/petitions (if received).

10 **OTHER URGENT BUSINESS**

To consider any items of business by reason of special circumstances (to be specified) that in the opinion of the Chair are matters of urgency.

11 **AUTHORITY TO CHAIR AND OFFICERS**

Chair to move:-

'In an urgent situation between meetings, the Chair jointly with the relevant Chief Officer has authority to act on behalf of the Committee'.

12 **EXCLUSION OF THE PUBLIC**

That in view of the nature of the business to be transacted which includes exempt information of the category indicated the public be now excluded from the meeting:-

Exempt Paragraph 3

PRIVATE AGENDA

13 **PLANNED PROCUREMENT ACTIVITIES REPORT APPENDIX**

- Information relating to the financial or business affairs of any particular person (including the authority holding that information);

BIRMINGHAM CITY COUNCIL

RESOURCES O&S COMMITTEE – PUBLIC MEETING

1530 hours on Monday 8 February 2021 (On-line Meeting)

Present:

Councillor Sir Albert Bore (Chair)

Councillors: David Barrie, Meirion Jenkins, Yvonne Mosquito and Paul Tilsley

Also Present:

Councillor Ian Ward, Leader of the Council

Councillor Tristan Chatfield, Cabinet Member, Finance and Resources

Councillor Robert Alden

Richard Brown, Consultant – Interim Project Director for Birmingham Smithfield

Suzanne Dodd, City Solicitor

Alison Jarrett, Assistant Director, Development and Commercial

Richard Tibbatts, Head of Contract Management

Jayne Bowles, Scrutiny Officer

Emma Williamson, Head of Scrutiny

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2. APOLOGIES

None.

3. DECLARATIONS OF INTERESTS

None.

4. REQUEST FOR CALL-IN: PROVISION OF LEGAL ADVICE FOR THE BIRMINGHAM SMITHFIELD DEVELOPMENT – INCREASE IN CALL OFF CONTRACT VALUE

(See documents 1, 2 and 3)

Councillors Robert Alden and Meirion Jenkins were asked to state their reasons for the Request for Call-In.

Councillor Jenkins made reference to the fact that the original contract had been placed in 2016 and had already been extended twice, in December 2018 and November 2019. He set out three issues which should be addressed before agreeing this extension:

- Has enough been done to satisfy ourselves that this will be the last extension to that contract?
- Given the value of the increases as against the original, has enough been done to renegotiate the charges to get best value?
- Has enough been done to see if we can use our in-house legal team? Given the changing nature of the contract, that should be revisited, and given the amounts involved it may have been cheaper to employ someone to do this.

Councillor Alden confirmed the criteria upon which the call-in had been requested, as set out in the call-in request form, and highlighted the following points:

- The Executive has failed to act on comments made by the Resources O&S Committee on different occasions on Single Contract Negotiations and contract extensions;
- There seems to be a failure to take into account changes in the economic climate since the original contract in 2016. There have clearly been delays and changes, including the impact of the Commonwealth Games;
- There is a lack of clarity regarding the procurement process with no explanation of why this has had to come back twice before for extensions;
- There are no details about how our obligations under the Public Contracts Regulations 2015 (sections 72 and 73) are being met in particular with reference to the provision that any modifications that increase the costs by 50% or over must be retendered;
- There are similar provisions set out in the Constitution (Part D section 3.7) including that the value of an extension must not exceed £0.5m, and the requirement for a business case and options appraisal.

The Leader, the Cabinet Member for Finance and Resources, Assistant Director Development and Commercial and City Solicitor responded as follows:

- Since the original procurement, there were further complexities which had not been foreseen, in relation to the Enterprise Zone and LEP negotiations, which were more complex than originally envisaged;
- During this period, the Council was also approached by the Organising Committee of the Commonwealth Games to use the site during the games, so further legal advice was needed;
- Going out to procurement again would have led to further delays and could have resulted in more expense;

- There wasn't the capacity in the in-house legal team and where there is a very focussed area of work it is not unusual to use outside expert advice, as there would be an on-going cost to the Council to employ that expertise;
- The day rates have not increased since the original negotiations and there is a cap in place to complete the work;

In considering the request for call-in, the following were the main points discussed:

- The Chair asked committee members to decide whether there was a need to go into private session to consider the exempt appendix and it was agreed that there was sufficient information in the public report to vote on the call-in;
- It was noted that the report contains a comment that early contract management was not as tight as it might have been, which underpins some of the concerns raised;
- It was confirmed that there have been a few officers engaging with the legal company whereas best practice is to have one legal officer doing that and if that had been the case, this report would have been brought for decision earlier;
- The increase in value would not have been any different;
- The Chair undertook to discuss future work with the Resources O&S Committee on procurement and contract management, as this issue of timeliness had been raised before;
- The main issue related to compliance with national regulations with regards to contract extensions and the fact that the change meant the cost exceeded 50% of the cost of the original contract;
- Further clarification was sought from the City Solicitor who advised that the regulations permit changes to contracts but set out the parameters of that, one of which is that the change should not result in an increase in cost above 50%;
- If that does happen, the contract should be retendered and that is the case here. Therefore, the Council is open to challenge from other law firms;
- There are ways to mitigate that risk, such as issuing a VEAT notice;
- The risk was acknowledged but has to be balanced against the other risk related to delay and of the Council being unable to deliver against the project as a whole;
- It was accepted that the issuing of a VEAT notice would mitigate the risks and would therefore be an acceptable way forward.

The Chair then moved to a vote of the members and the Committee resolved to call-in the decision for re-consideration by Cabinet by a vote of three members to two, the relevant criteria being:

5 - the Executive appears to have overlooked some relevant consideration in arriving at its decision.

The legal advice is that the Council is not in compliance with national regulations. However, the Council has to view the risk of challenge as against the potential delay if re-tendering were to be undertaken.

The Executive, as represented by the Leader and Councillor Chatfield, acknowledged that risk but were of the view that the failure to extend was a greater risk.

The Committee asked that a VEAT notice be issued to mitigate the risks of the decision, which result from the fact that the contract extension includes an increase in cost of over 50%, in contravention of national regulations.

RESOLVED:-

That the decision be referred back to Cabinet for re-consideration.

5. OTHER URGENT BUSINESS

The Chair proposed, and it was agreed, that at the next meeting on 11 February, the Committee should consider Chapter 6 of the Financial Plan which sets out the revenue and capital costs of the Commonwealth Games, and in particular the position with regard to unsecured partner contributions.

6. DATE AND TIME OF NEXT MEETING

Noted.

7. REQUEST(S) FOR CALL IN/COUNCILLOR CALL FOR ACTION/PETITIONS RECEIVED (IF ANY)

None.

8. AUTHORITY TO CHAIRMAN AND OFFICERS

RESOLVED:

That in an urgent situation between meetings, the Chairman jointly with the relevant Chief Officer has authority to act on behalf of the Committee.

The meeting ended at 1723 hours.

BIRMINGHAM CITY COUNCIL**RESOURCES O&S COMMITTEE – PUBLIC MEETING****1400 hours on Thursday 11 February 2021 (On-line Meeting)**

Present:

Councillor Sir Albert Bore (Chair)

Councillors: Muhammad Afzal, David Barrie, Meirion Jenkins, Paul Tilsley and Lisa Trickett

Also Present:

Councillor Tristan Chatfield, Cabinet Member, Finance and Resources

Craig Cooper, Programme Director, Birmingham 2022 Commonwealth Games

James Couper, ERP Programme Director

Rebecca Hellard, Interim Chief Finance Officer

Tim Normanton, Assistant Director, HR

Guy Olivant, Business Partner, Development and Comms

Jayne Bowles, Scrutiny Officer

Emma Williamson, Head of Scrutiny

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2. APOLOGIES

None.

3. DECLARATIONS OF INTERESTS

None.

4. ACTION NOTES – 14 JANUARY 2021

(See document 1)

The Chair confirmed that a briefing note on the bid for Government funding for support to leisure centres had been circulated.

Concerns were raised that we are anticipating relief claims of £5.4m in the 2020/21 financial year but our bid is for £2.9m.

Members were told that all local authorities where there is an outsourcing arrangement in place are being treated in a detrimental way in terms of funding as if the services had been in-house we would have received 75% of all lost income from Government.

It was suggested that some political lobbying should be done to put pressure on Government to try and secure a higher sum to offset the expected relief claims and Cllr Chatfield agreed to take this to the Core Cities Finance Group.

RESOLVED:-

The action notes of 14 January 2021 were agreed.

5. FINANCIAL MONITORING 2020/21 – QUARTER 3/MONTH 9

(See documents 2 and 3)

Councillor Tristan Chatfield, Cabinet Member for Finance and Resources, and Rebecca Hellard, Interim Chief Finance Officer, attended for this item.

The Chair pointed out that the Month 9 Corporate Exception report is a replication of the first section of Appendix A in the Quarter 3 report and it would have been helpful if this had been highlighted. It was agreed this would be addressed for future reports.

The following points were highlighted:

- There is a net improved underspend of £8.9m and the table in 1.12 sets out what is driving both the underspend and the overspend, which is entirely driven by Covid and subsequently being offset by the Covid funding which has been received;
- With regard to delivery of savings, we are up to 73.7%, which is £20m of the £27m, and are looking to make sure that is fully delivered by year end.

In the course of the discussion, and in response to Members' questions, the following were among the main points raised:

- **Covid funding** - It was acknowledged that there is a danger that the Covid funding could be covering up some underlying issues. They are aware of that risk and are working with service areas to make sure that is not the case;
- **Delivery Plan Reserve** – With regard to the transfer of un-ringfenced underspend at year end into the Delivery Plan Reserve, Members were told it was too early to say what this might be expected to be;
- **One-off mitigations** - There is reference to vacancies not being filled in the Neighbourhoods, Inclusive Growth and Finance and Governance directorates.

This is applicable for 2020/21 and being carried through to 2021/22, which seems to be at odds with policy;

- There is a change of policy around savings delivery next year which will make holding of vacancies as a mitigation more difficult because of the £20m savings target and serious justification will be needed to do this;
- **School Deficits** - The concern about the position with regard to School Deficits is a repetition of what has been seen in previous reports, with the deficit now at £9m and Members are concerned whether schools are going to be able to deal with this deficit in a way that the Council will not be adversely affected;
- **Neighbourhoods Directorate** – With regard to Street Scene budget pressures, eg reduced income, maintenance and vehicle hire, waste issues, income from waste, this is a repeat of issues seen in previous reports and Members asked whether these will be resolved or carried through to next year;
- These are long-standing issues which have been the subject of discussions and work through the Star Chamber;
- It had already been picked up that income targets were not deliverable, and this had been confirmed by the recent budget sufficiency review, so these are being written out for future years in the Medium Term Financial Plan;
- It was agreed that a note would be sent to the Housing and Neighbourhoods O&S Committee highlighting these issues as it might be of value to look into this;
- **Adult Social Care** – The underspend as a consequence of the directorate's transformation programme and rollout of customer journey are very substantial savings;
- There were concerns as to whether the savings would adversely impact on service users in future years;
- It was suggested that perhaps a budget sufficiency review should be undertaken, similar to the one done in Neighbourhoods, to ensure the budget is sufficient and the forecast is sufficiently accurate;
- It was pointed out that the directorate does a lot of work in this area and has a firm grip on finance, forecasting and modelling;
- Due to Covid funding arrangements, Health have been picking up a lot of the costs of packages previously picked up by the Council and for that reason they won't be saying Adult Social Care will get less money in future years;
- However, Members were concerned about what might happen when the Health funding finishes;
- It was agreed that a note would be sent to the Health and Social Care O&S Committee as they might want to have a look at the impact on potential clients in future years as a consequence of savings having been made.

The Chair thanked Councillor Chatfield and officers for a positive report which clearly highlighted the issues the Committee needed to pick up on.

RESOLVED:-

- Notes to be sent to Housing and Neighbourhoods O&S Committee regarding the Street Scene budget pressures and to the Health and Social Care O&S Committee in relation to the Adult Social Care underspend;

- The report was noted.

6. **ENGAGEMENT OF AGENCY WORKERS, CONSULTANTS AND INTERIMS**

(See document 4)

Councillor Tristan Chatfield, Cabinet Member for Finance and Resources, and Tim Normanton, Assistant Director, HR, attended for this item.

In introducing the report, Cllr Chatfield made the following points:

- The background to this is the change to the Constitution giving the Cabinet Member for Finance and Resources an oversight of the use of consultants across the organisation;
- He wanted to bring this to O&S to get Members' views as the process is developed;
- It is important to understand that consultants are part of the operating of a modern council and there are times when the use of consultants will be required to bring in necessary expertise, skills and specialisms;
- This is an attempt to set out how the costs and value for money are properly measured and controlled and to ensure elected members have a strong understanding of what consultants are doing in the organisation, the benefits and the costs, and are therefore in a better position to make informed judgements about their use.

Tim Normanton then took Members through the process outlined in the report. In the course of the discussion, and in response to Members' questions, the following were among the main points raised:

- Members referred to the high daily rates charged by large consultancy firms and pointed out that there might be better value for money in the use of smaller, local firms;
- It was acknowledged that in IT, consultancy is a way of life and there are a large number of people in that industry who want to be employed on that basis;
- The Committee needs to get a better understanding of consultancy spend and where it is being spent;
- Concern was expressed at the high number of interim posts in the organisation and highlighted the need for a stable workforce;
- This is being addressed through workforce planning and strategies to fill gaps;
- In response to an issue raised regarding officers taking early retirement and returning as consultants, Members were told there is a re-engagement policy in place with specific timeframes meaning those officers should not return within three years;
- With regard to extensions of contracts, these will be covered by the Gateway Panel which is being implemented;
- It would be valuable to seek a view from this Committee as to how to determine where to draw the line between procurement activity which has consultancy aspects to it and the work in HR focussed more on the individual;

- As regards diversity and seeking to secure contracts with a broader range of consultancy providers, that is very much a procurement matter;
- The big consultancy firms have their own teams that write bids so they will always have that expertise which some of the smaller, more local companies won't have;
- They will also be on the main frameworks that are used, whereas the smaller ones might not be;
- It was noted that contract commissioning officers, not Procurement, decide which framework to use, however they will take advice from Procurement;
- There is another issue about testing the market and only using Single Contractor Negotiations where it is absolutely necessary or they are the only provider;
- One Member stressed the need to be building a workforce with empathy and understanding of the community, for example in the special needs sector, which is not transactional;
- The Chair stated that both HR and Procurement are part of the remit of this Committee and suggested there is a further piece of work to be done beyond today's discussion;
- The report presented does not include agency workers, although that was in the original scoping document;
- Members were told there is some work being done by HR and Procurement on the agency framework;
- There is a series of questions that still need to be addressed in terms of the process and Tim Normanton confirmed that the outline of the process shared with senior officers is encouraging those senior officers, when they feel there is a need for a consultancy assignment, to engage with their portfolio holder prior to the officer process of a business case, procurement and the approvals surrounding that;
- It was suggested that an informal session be arranged for immediately before or after a future committee meeting to discuss whether there is a need for this Committee to do some further work and what the scope might be.

RESOLVED:-

The report was noted.

7. PLANNED PROCUREMENT ACTIVITY REPORT

(See document 5)

The Chair confirmed that no O&S comments had been raised in advance of the report being considered at Cabinet.

He referred to the recent call-in which had re-enforced the need for the Committee to look again at the procurement process and a piece of work would be scoped in due course.

Cllr Chatfield said he would welcome the Committee's views on Procurement. He confirmed that some immediate steps were being taken and an update would be provided.

RESOLVED:-

The report was noted.

8. WORK PROGRAMME

(See document 6)

RESOLVED:-

The report was noted.

9. DATE OF NEXT MEETING

Noted.

10. REQUEST(S) FOR CALL IN/COUNCILLOR CALL FOR ACTION/PETITIONS RECEIVED (IF ANY)

None.

11. OTHER URGENT BUSINESS

(See documents 7, 8 and 9)

Two items of urgent business were raised:

ERP Update – Rebecca Hellard, Interim Chief Finance Officer, and James Couper, ERP Programme Director, updated Members on the current position.

The design stage has just been signed off and an updated business case would be going to Cabinet in March.

There are discussions taking place about the costs of the delay in getting to the design stage, acknowledging that there are some things that have been requested over and above the original scope.

The project is entering into the third stage which is the “Refine stage” and getting into building and testing.

The deadline for implementation is April 2022, as the financial year end is a good point in time to go live, with significantly more work involved if going live in the middle of the year.

Funding of the Commonwealth Games – Craig Cooper, Programme Director, Birmingham 2022 Commonwealth Games, and Guy Olivant, Business Partner, Development and Comms, attended for this item.

The Chair advised that this matter was being raised due to concerns in relation to the position regarding partner contributions, with £25m being unsecured.

Members were concerned about the shortfall and asked whether the Council will have to make an enhanced contribution.

They also questioned whether any work had been done in preparation for the partner contributions not being forthcoming.

They were told this has been highlighted as a risk with regard to the 2022/23 budget and the way forward will be a policy decision.

12. AUTHORITY TO CHAIRMAN AND OFFICERS

RESOLVED:

That in an urgent situation between meetings, the Chairman jointly with the relevant Chief Officer has authority to act on behalf of the Committee.

The meeting ended at 1608 hours.

Month 10 Financial Monitoring Report

1. High Level Summary Financial Position

- 1.1. This report on the major financial issues for the Council at Month 10.
- 1.2. At the end of January, the Council's General Fund is forecasting a net underspend of £9.2m (Column E in table1) which represents -1.1% of the £852.9m budget. This underspend is made up of a £16.4m (Column D in table1) underspend on non-Covid issues and a £7.2m (Column C in table1) overspend related to the Covid-19 emergency.
- 1.3. The net covid-19 overspend of £7.2m (Column C in table1) is after applying funding; £84.3m government grant, an estimate of funding for income loss of £21.9m (Column E), and proposed school meal funding £6.4m. The funding gap is being dealt with as a corporate issue.
- 1.4. The non-Covid net underspend of £16.4m (Column D in table1) is an improvement of £2.7m since Month 9 (Column D) position.
- 1.5. Cabinet are asked to approve in principle the transfer of any non-ring-fenced underspend at year-end into the Delivery Plan Reserve to provide for further resources to support the investment into the delivery of the Delivery Plan.
- 1.6. On 22nd October the Council was informed of a further £44.2m of un-ringfenced Covid-19 related grant. It was approved by Cabinet on November 10th in the Month 9 Report that this is transferred to specific Covid reserve to manage the ongoing pandemic through the higher risk winter months. On 31st October the Government announced further national lockdown measures. It has been announced that Birmingham will move into Covid Tier 4. Since that announcement in January 2021 the country has gone into third national lockdown, it was stated the lockdown would last at least six weeks as the UK tackles increasing coronavirus numbers and severe pressure on the NHS. The Council is working through the funding implications.
- 1.7. The Government has also announced £100m of national funding to support leisure centres. It has issued the instructions on how to claim for losses for a period from December 2020 to March 2021. The Council and its Leisure providers have submitted a claim of £2.9m, which was submitted as per the 15 January deadline.
- 1.8. Brexit: Two key risks have been identified: European Union citizens are losing access to certain benefits and services on 1st January 2020. The Department for Work and Pensions (DWP) have confirmed that EEA nationals granted settled status will have the same access to benefits as comparable British citizens. It is the right of EEA citizens with pre-settled status that is for which there is a need for clarity from Government. There are Business & Service Continuity Assessments being conducted for a number of issues such as economic impact, European Union settlement scheme, new Immigration bill, border plans, and new regulations.
- 1.9. An establishment review with the exception of key frontline services is underway and this will have a part year impact on budget delivery in 2020-21 and the full impact of the changes will be seen in 2021-22. The non-delivery of saving in 2020-21 has been partly offset by one-off mitigation actions including directorates not filling vacant posts. For 2021-22 and beyond the Council will build an establishment baseline based on a

business-as-usual position that protects council jobs and services and reflects the Medium Term Financial Plan (MTFP) and future workforce budgets. The Council will then continue to adopt the new workforce management practices, establishment controls and corporate and directorate governance (including workforce boards) to ensure that it keeps to the baselines and maintains transparency over the non Business As Usual (BAU) workforce and budgets. The Council does not envisage any redundancies as a direct result of this work as the outcomes are likely to be deleted vacancies and reduced agency cost. Additional resourcing requirements over and above the BAU baseline, either as part of invest to save programmes or where separately funded (such as Covid) will be managed against the specific budgets set aside for such activities.

- 1.10. Directorates are reporting that £20.0m of the £27.1m savings targets are either delivered or on track. This represents 73.7% of the total savings target. This has not changed since Month 9. Directorates continue to work towards ensuring that these savings are brought on track and are delivered.
- 1.11. Since Month 9 the net underspend has increased by £0.3m (Column E). The cost of the Covid-19 emergency has increased by £2.4m (Column C) in month 10. There was a decrease of £2.7m in non covid-19 costs since Month 9 (Column D). The largest single movement is that Neighbourhoods directorate has reduced its non covid forecast by £1.5m. The non-Covid-19 position is reported in more detail in section 2 below.

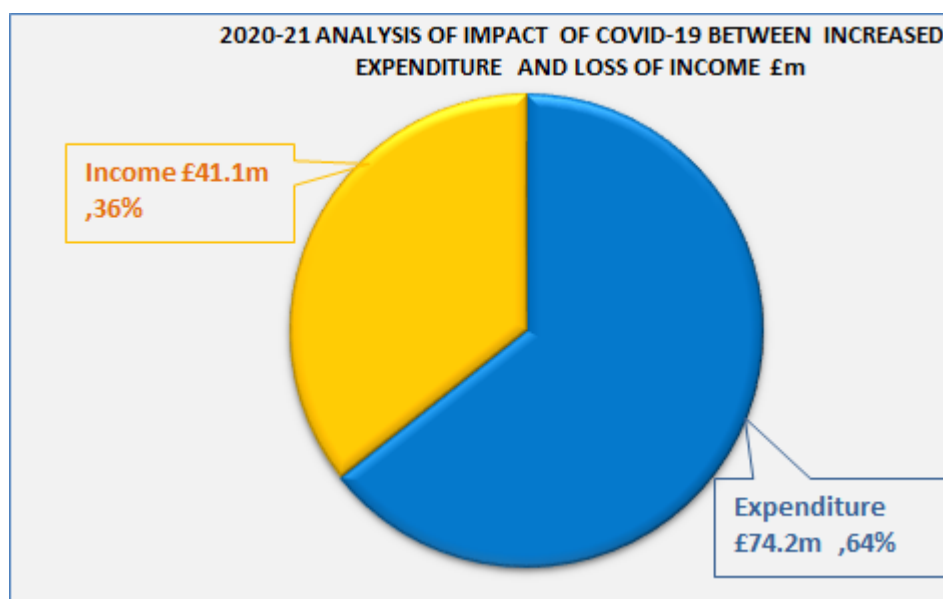
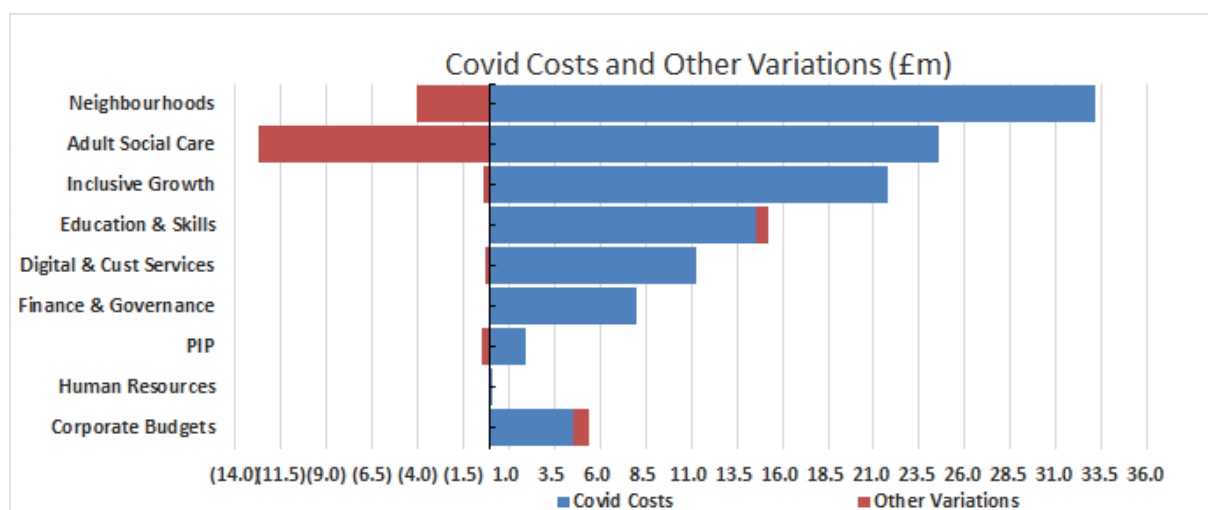


Table 1

Table 1:High Level Summary	A	B	C	D	E	F
Directorate	Current Budget	Forecast Outturn	Covid 19 Financial Impact Included *	Over/(Under) spend Non Covid costs	Total Over/(Under) Spend **	Movement in Total Over/(Under) Spend From M9***
	£m	£m	£m	£m	£m	£m
Neighbourhoods	126.571	155.637	33.100	(4.035)	29.065	(0.435)
Inclusive Growth	105.690	127.125	21.800	(0.365)	21.435	0.489
Education & Skills	277.730	292.968	14.584	0.654	15.238	(1.263)
Adult Social Care	329.064	340.911	24.547	(12.700)	11.847	0.000
Digital & Cust Services	30.216	41.180	11.247	(0.283)	10.964	1.393
Finance & Governance	15.407	23.299	7.969	(0.077)	7.892	(0.081)
Partnerships, Insight and Prevention	7.394	8.835	1.942	(0.501)	1.441	(0.434)
Human Resources	6.852	6.946	0.094	0.000	0.094	0.000
Directorate Sub Total	898.924	996.901	115.283	(17.306)	97.977	(0.331)
Corporate Budgets	(45.991)	(40.586)	4.500	0.905	5.405	0.000
Proposed School Meals Funding	0.000	(6.400)	(6.400)	0.000	(6.400)	0.000
Covid Funding	0.000	(84.278)	(84.278)	0.000	(84.278)	0.000
Income Loss Scheme Funding	0.000	(21.938)	(21.938)	0.000	(21.938)	0.000
Corporate Subtotal	(45.991)	(153.202)	(108.116)	0.905	(107.211)	0.000
City Council General Fund	852.933	843.699	7.167	(16.401)	(9.233)	(0.331)
Financial Position as at M9	852.933	844.030	4.751	(13.653)	(8.903)	
Movement from previous M9	0.000	(0.331)	2.417	(2.748)	(0.331)	
Movement from previous M9 %	0.00%	(0.04)%	50.87%	20.12%	3.72%	

* The above table has been sorted according to the total over/under spend (largest to smallest)

**This excludes Covid-19 risk, see [3.30 below](#)

*** This shows the movement from previous quarter.

Table 2:High Level Summary	A	B	C	D	E	F	G	H	I	J	K
Directorate	Current Budget	Forecast Outturn	Covid 19 Financial Impact Included *	Over/(Under) spend Non Covid costs	Total Over/(Under) Spend **	Covid 19 Financial Impact Included M9	Over/(Under) spend Non Covid costs M9	Total Over/(Under) Spend M9	Movement Covid 19 cost from M9	Movement in Non Covid cost From M9	Movement in Total Over/(Under) Spend From M9***
	£m	£m	£m	£m	£m	£m	£m	£m	£m	£m	£m
Neighbourhoods	126.571	155.637	33.100	(4.035)	29.065	32.000	(2.500)	29.500	1.100	(1.535)	(0.435)
Inclusive Growth	105.690	127.125	21.800	(0.365)	21.435	20.992	(0.046)	20.946	0.808	(0.319)	0.489
Education & Skills	277.730	292.968	14.584	0.654	15.238	15.384	1.117	16.501	(0.800)	(0.463)	(1.263)
Adult Social Care	329.064	340.911	24.547	(12.700)	11.847	24.547	(12.700)	11.847	0.000	0.000	0.000
Digital & Cust Services	30.216	41.180	11.247	(0.283)	10.964	9.854	(0.283)	9.571	1.393	0.000	1.393
Finance & Governance	15.407	23.299	7.969	(0.077)	7.892	7.996	(0.023)	7.973	(0.027)	(0.054)	(0.081)
Partnerships, Insight and Prevention	7.394	8.835	1.942	(0.501)	1.441	1.999	(0.124)	1.875	(0.057)	(0.377)	(0.434)
Human Resources	6.852	6.946	0.094	0.000	0.094	0.094	0.000	0.094	0.000	0.000	0.000
Directorate Sub Total	898.924	996.901	115.283	(17.306)	97.977	112.867	(14.559)	98.308	2.417	(2.748)	(0.331)
Corporate Budgets	(45.991)	(40.586)	4.500	0.905	5.405	4.500	0.905	5.405	0.000	0.000	0.000
Proposed School Meals Funding	0.000	(6.400)	(6.400)	0.000	(6.400)	(6.400)	0.000	(6.400)	0.000	0.000	0.000
Covid Funding	0.000	(84.278)	(84.278)	0.000	(84.278)	(84.278)	0.000	(84.278)	0.000	0.000	0.000
Income Loss Scheme Funding	0.000	(21.938)	(21.938)	0.000	(21.938)	(21.938)	0.000	(21.938)	0.000	0.000	0.000
Corporate Subtotal	(45.991)	(153.202)	(108.116)	0.905	(107.211)	(108.116)	0.905	(107.211)	0.000	0.000	0.000
City Council General Fund	852.933	843.699	7.167	(16.401)	(9.233)	4.751	(13.653)	(8.903)	2.417	(2.748)	(0.331)
Financial Position as at M9	852.933	844.030	4.751	(13.653)	(8.903)						
Movement from previous M9	0.000	(0.331)	2.417	(2.748)	(0.331)						
Movement from previous M9 %	0.00%	(0.04)%	50.87%	20.12%	3.72%						

Analysis of Non covid pressure faced by Directorate

Directorate	non delivery of savings £m	expenditure variations £m	income variations £m	one-off mitigations £m	Non Covid 19 Financial Impact Included £m
Neighbourhoods	0.6	(1.2)	3.4	(6.8)	(4.0)
Adult Social Care	0.0	(5.3)	(7.4)	0.0	(12.7)
Inclusive Growth	0.9	0.0	1.3	(2.6)	(0.4)
Education & Skills	0.0	0.8	(0.1)	0.0	0.7
Finance & Governance	0.0	(0.8)	1.8	(1.1)	(0.1)
Digital & Cust Services	0.0	(0.3)	0.0	0.0	(0.3)
Partnerships, Insight and Prevention	0.0	3.3	(3.8)	0.0	(0.5)
Human Resources	0.0	0.5	0.3	(0.8)	0.0
Directorate Sub Total	1.5	(3.0)	(4.5)	(11.3)	(17.3)

There was no over delivery of saving identified by the directorates.

One off mitigation: actions taken by Directorates to deliver a balance budget for 2020-21, which also includes mitigation for non-delivery of savings target. (over £0.5m).

- 1.12 Neighbourhoods: mitigations of £6.8m have been identified for 2020/21. These include £4.0m related to delayed Prudential Borrowing, £1.6m other mitigations in Street Scene (including the "Love your Street" initiative delay), £0.5m in Housing General Fund through reductions in temporary accommodation costs and £0.5m in Neighbourhoods service area primarily through holding vacant posts pending the implementation of a new operating model
- 1.13 Inclusive Growth: One-off measures are mainly from not filling the vacant positions.
- 1.14 Finance and Governance: One-off mitigations actions have been taken, including £1.0m savings due to vacancies not being filled.
- 1.15 Human Resources: There are one-off mitigations actions that have been identified but not yet approved includes use of reserves carried forward from previous year.

2. Capital Programme

2.1. Capital Expenditure

Table 4 Overall Capital Budget position as at the end of Month 10

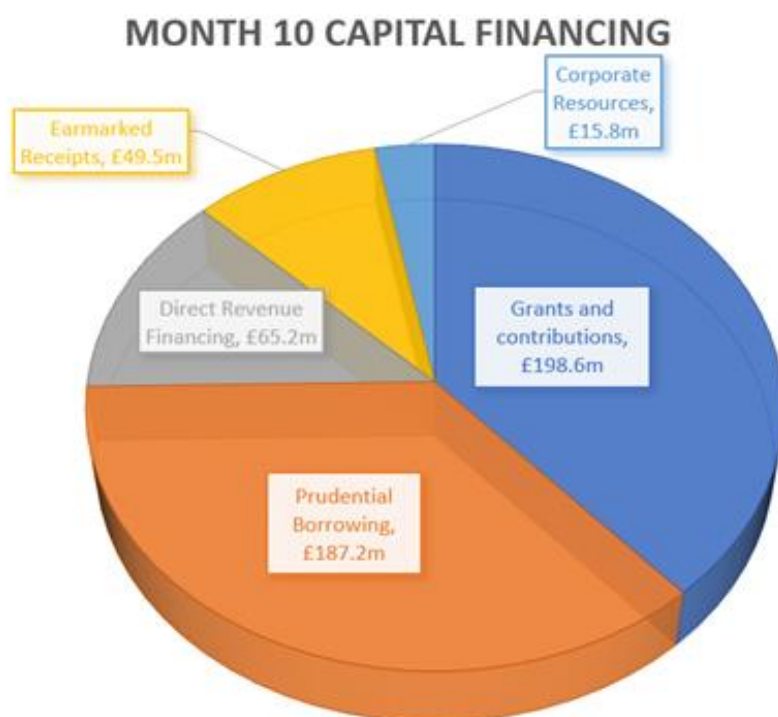
	Spend to date	Month 9 Approved Budget	New Schemes & Resources	Revised Budget Quarter 3	Forecast net slippage	Forecast Outturn
	£m	£m	£m	£m	£m	£m
General Fund	246.6	753.8	0.2	754.0	344.0	410.0
HRA*	78.6	114.9	0.0	114.9	8.6	106.4
TOTAL	325.2	868.7	0.2	868.9	352.6	516.4

NB: HRA = Housing Revenue Account

- 2.2. Forecast Outturn: Overall capital expenditure for the year 2020/21 is forecast at £516.4m against the revised month 10 capital budget of £868.9m. This is an increased slippage of £3.2m from the position reported at Month 9.
- 2.3. The forecast underspend of £352.6m comprises £349.3m of slippage and £3.3m of forecasted net savings. Of the total forecast underspend of £352.6m - £310.5m is Covid related and £42.1m non-Covid related.
- 2.4. Expenditure to date is £325.2m which is some 62% of the year-end total forecast.
- 2.5. The proposed month 10 budget of £868.9m has increased marginally from the Month 9 budget approved by Cabinet (of £868.7m) by £0.2m.

Table 6: Summary of Capital Project Funding for Month 10			
	General Fund	Housing Revenue Account (HRA)	Total
	£m	£m	£m
Forecast Capital expenditure	410.0	106.4	516.4
Forecast Funding			
Grants and contributions	(194.4)	(4.3)	(198.6)
Earmarked Receipts	(27.8)	(21.7)	(49.5)
Direct Revenue Financing	0.0	(65.2)	(65.2)
Corporate Resources	(15.8)	0.0	(15.8)
Prudential Borrowing	(172.0)	(15.3)	(187.2)
Total Funding	(410.0)	(106.4)	(516.4)

- 2.6. The pie chart below shows how the forecast outturn at Month 10 of £516.4m is planned to be financed.



3. Key Issues

Non Covid-19 Related Issues

Education and Skills

- 3.1. There is a non Covid overspend forecast for the directorate of £0.7m. This is a reduction of £0.5m from Month 9 due a reduction in the Children's Trust forecast overspend. The majority of the current overspend, £0.5m originates from the Children's Trust. The latest forecast from the Children's Trust consists of pressures primarily around pay (caseloads and Independent Reviewing Officers) and placement costs. Total Children in Care numbers have increased (currently 2,007 and at the same time last year the numbers were 1,997) but the number of children in high cost residential placements has reduced by 5 since April 2020 and other placement costs have been renegotiated downwards. Savings have also arisen from the cessation of the Priory contract and reduction in external fostering placements. Additional pressure is being largely been managed by a number of savings measures, including managing demand at the front door to ensure admissions into care are appropriate; ensuring the most appropriate cost of a placement is secured according to need; pursuing step down options for existing residential placements; ongoing review of all supported accommodation packages to ensure validity of placement; and, pursuing financial contributions to placements for children with complex needs, in addition to the specific savings highlighted above.
- 3.2. The Education and Skills Directorate together with Birmingham and Solihull Clinical Commissioning Group published a 'written statement of action' in July 2019, in response to the DfE, to make improvements to the special educational needs and disability (SEND) service. It is anticipated that any financial impact on the Local Authority will be met from the Dedicated Schools Grant (DSG). The provisional 2021/22 DSG settlement has indicated another substantial funding increase (12%) for High Needs. There are substantial pressures from both demography (pupil numbers) and increased complexity of provision, but the additional funding provides scope to also address the improvements necessary and outlined in the 'written statement of action'. A programme of transformation projects designed to meet the written statement of action is being implemented within High Needs Block funding, including building SEND health and education local teams and local provision in mainstream schools; developing responsive, flexible and effective local specialist provision; and, improving early identification and intervention for SEND.
- 3.3. There remains a concern around schools with financial deficits. The number of schools in deficit has only grown by a small amount (from 38 to 40), but the total deficit amount has risen substantially from £7.2m to £9.0m.
- 3.4. Home to school transport, an overspend on Travel Assist of £0.3m is expected, relating primarily to the additional costs of interim staff to support transformation and systems implementation costs in 2020/21. There is also a shortfall in contributions to pupil transport (as fewer pupils use the service during lockdown). However, recurring savings in subsequent years from better route planning and other transformation activity should offset these in-year pressures.
- 3.5. The Local Authority has been facing considerable additional pressure on home to school provision, as a result of Covid, including an additional 181 routes in order to provide appropriate social distancing. Currently, the Department for Education (DfE) has provided additional grant funding to cover the Autumn term (£1.6m), but there is no indication of

whether DfE funding will continue in the Spring term (and beyond). The current presumption made in the forecast is that as Covid persists and additional routes continue to be required, then additional DfE funding will be made available. Conversely, if routes are reduced due to reduced demand (as fewer pupils attend school) then savings may accrue, but there is no certainty over this. The impacts of Covid and the need for social distancing are still evolving, making accurate financial forecasting for this service particularly difficult

Neighbourhoods

- 3.6. At the end of Month 10 the forecast for the Neighbourhoods Directorate non Covid-19 is an underspend of £4.0m, which is an improvement of £1.5m.
- 3.7. The Street Scene Service has an underspend of £0.6m. It is reporting a further £0.2m underspend due to re-imbursement of waste management costs to other directorates mainly for staffing.
- 3.8. Housing underspend of £1.5m: This is an improvement of £0.7m since month 9. There is a £0.9m underspend due to agreed void property loss charges, relating to Dispersed properties, no longer being transferred to the HRA. This is offset by a minor increase in bed & breakfast costs plus lower than expected leased property rents to those previously reported, which reduces the improvement to £0.7m.
- 3.9. The Neighbourhoods service is projecting a £2.3m underspend. The latest review of Health & Wellbeing facilities has highlighted an improvement of £0.2m across both pay and supplies budgets.
- 3.10. Regulation and Enforcement is forecasting income pressure of £0.3m in Bereavement and Markets. This is an improvement of £0.3m compared to month 9 due to a £0.4m improvement in the level of income generated, offset by additional Covid security costs of £0.1m.

Adult Social Care

- 3.11. There is a non Covid underspend forecast for the directorate of £12.7m (no changes since Month 9)
- 3.12. **Community & Operational** - £7m underspend- The Directorate's Transformation Programme is now substantially complete with the roll-out of the Customer Journey restructure in September and the Early Intervention Programme over the coming months in order to deliver existing planned sustainable savings. Both the Customer Journey & Early Intervention structures savings totalling £7.6m will be achieved in year, £1.3m due to the early delivery of 2021/22, £3.4m due to the phased reduction in the use of agency staff through to the end of October.
- 3.13. Health Packages of Care - £5.7m underspend - The current packages of care forecast includes Health funding for hospital discharges and prevention packages up to the end of October 2020. It is anticipated that the funding and support will continue until it is reassessed (or to 31st March at the latest), as clients are reassessed in respect of their on-going needs. However, there is risk of significant costs still to be quantified in relation to support to the care market in respect of actual costs incurred and it is anticipated that there is currently a significant level of hidden demand which will impact when lockdown is fully eased. To date the Council has claimed £8.7m from Health which is one-off due to

temporary arrangements put in place during Covid. The Council has also now received a £1.2m inflationary increase in Better Care Fund funding to packages of care which has just been agreed with Clinical Commissioning Groups.

Inclusive Growth

- 3.14. The directorate is forecasting an underspend of £0.4m which is an improvement of £0.3m since month 9.
- 3.15. The Council is currently working with Birmingham Highways Ltd to re-procure the subcontract for its Highway Maintenance and Management PFI contract. Affordability will be assessed taking account of the total cost of a re-procured contract and available resources, with the outcome being factored in as part of setting future Council budgets.
- 3.16. On the 6th April 2020 the Council received approval from Government to delay the implementation of the Birmingham Clean Air Zone until no earlier than January 2021 and activities associated with delivery were scaled back reflecting the delays experienced and also the need for the Council to redeploy staff to support the Covid-19 response. The Council has remained committed to the Clean Air Zone and the implementation date has now been set for 1st June 2021.
- 3.17. The forecast for commercial property rental income is lower than anticipated when considering the prior year outturn position and known variations. Property Services are undertaking a review to confirm the accuracy of the forecast. This uncertainty in conjunction with the yet to be confirmed impacts of Covid-19 mean that there is a real risk that the current forecast pressures could increase.

New Oracle Back office system (Enterprise Resources Planning)

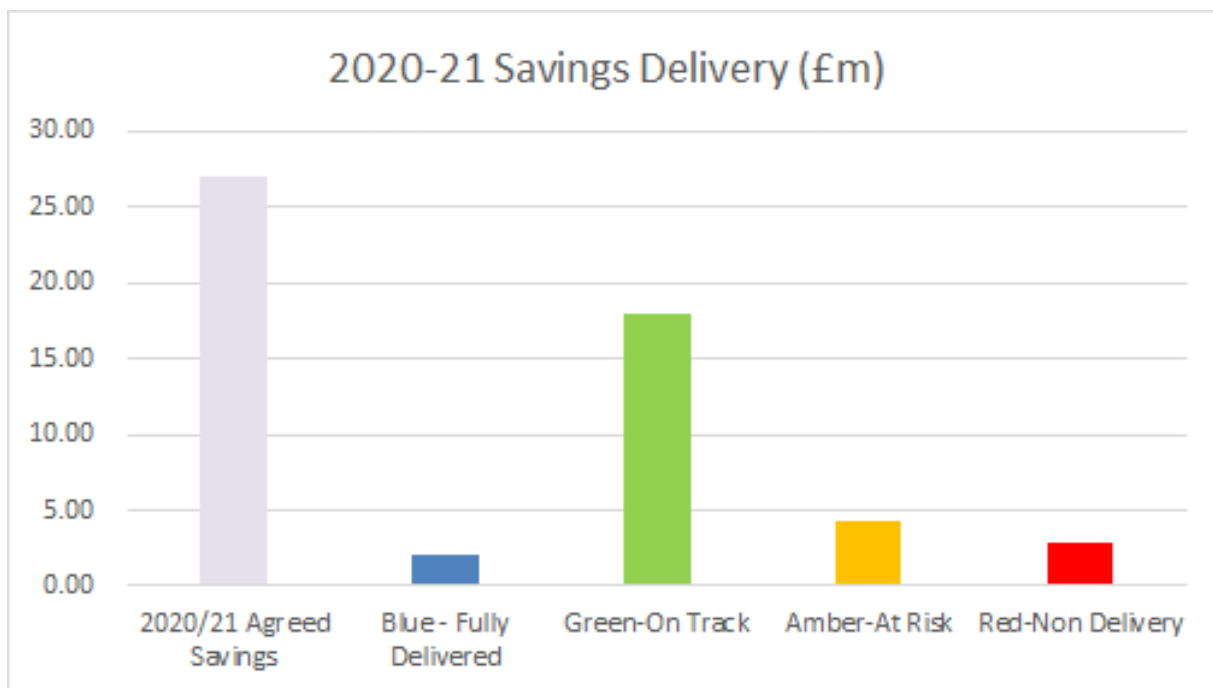
- 3.18. The programme, as a result of an assurance process, is going through a reset phase to establish and quantify financial and non-financial risks. A report to Cabinet is planned for March 2021.

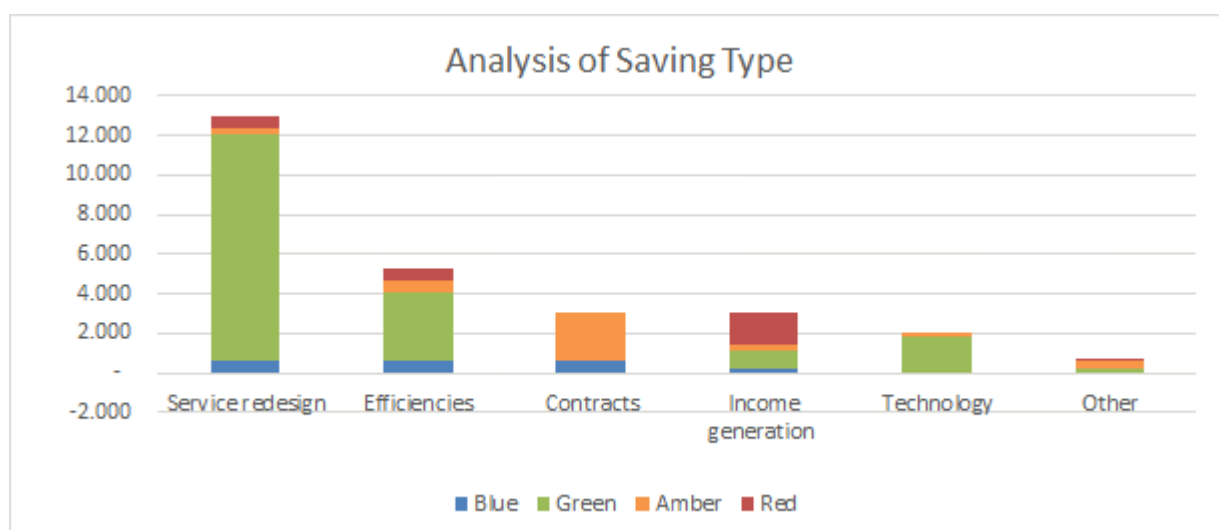
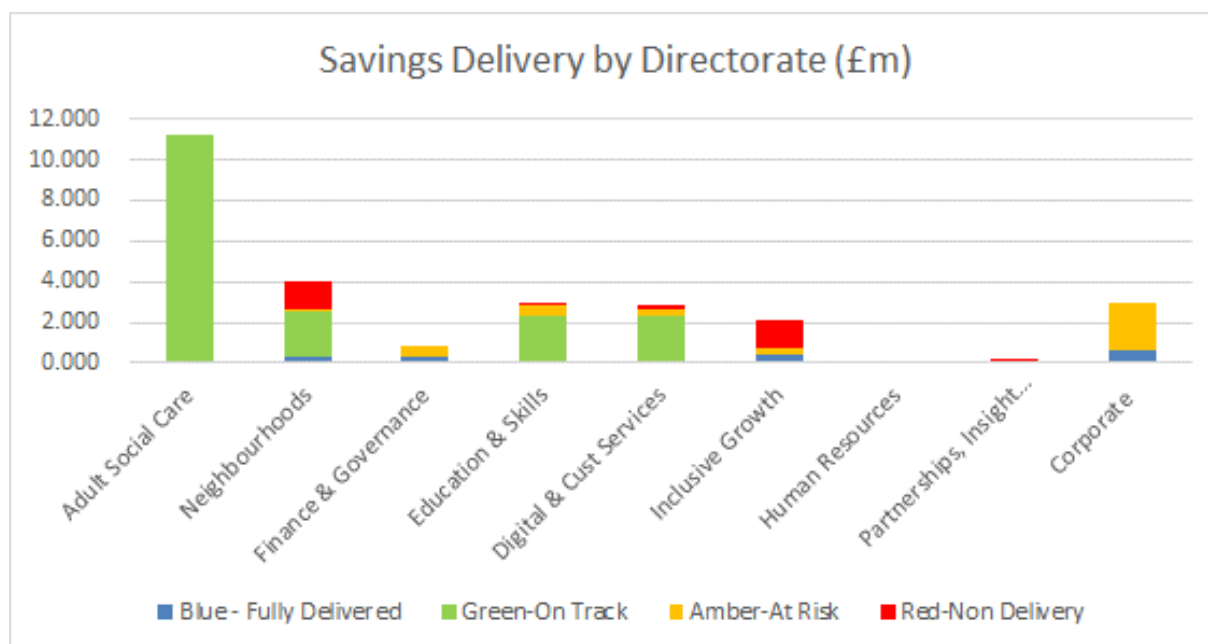
Savings Programme

Directorate	Non-Delivery of Saving		Saving at Risk	Saving Delivered and on Track	Total Saving
	Delayed Because of Covid-19	High Risk & Undeliverable			
	£m	£m	£m	£m	£m
Neighbourhoods	0.7	0.6	0.2	2.5	4.0
Adult Social Care	-	-	-	11.2	11.2
Inclusive Growth	0.5	0.9	0.3	0.4	2.1
Education & Skills	-	0.0	0.5	2.3	2.9
Finance & Governance	-	-	0.5	0.4	0.9
Digital & Cust Services	0.2	-	0.3	2.4	2.9
Partnerships, Insight and Prevention	0.0	-	-	0.1	0.1
Human Resources	-	-	-	0.1	0.1
Corporate	-	-	2.4	0.6	3.0
Total	1.4	1.5	4.2	20.0	27.1

3.19. The £27.1m savings programme for 2020-21 (shown in the following charts) is now showing £20.0m as delivered or on track Covid-19 has impacted savings delivery. The key areas at risk or non-deliverable (those over £0.5m) are:

- **Neighbourhoods** has £0.2m savings that are at risk and £1.3m savings that are unlikely to be achieved, of which £0.7m are related to Covid. One-off mitigations have been identified in 2020/21 to fully meet this target. The savings are planned to be achieved from 2021/22 after the completion of the Housing Service Redesign.
- **Contract savings** cut across all directorates and are shown corporately. The target is £3.0m of which £0.7m has been delivered so far, leaving £2.3m at risk. While a delivery plan exists, this is now considered a risk as Covid-19 has caused services to reappraise their planned procurements.
- **Finance & Governance** has £0.5m savings at risk, mainly related to savings based on reducing external legal spend. If not achieved, these will be mitigated from general underspends, mainly from vacancies.
- **Education & Skills** has £0.5m savings at risk - these largely relate to an increased commercialisation target for the Adult Education Service that was set in 2019/20 at £1.2m and reduced this year to £0.8m, but which is still unlikely to be fully delivered. It is being partly mitigated this year through a restructure of the service and savings from elsewhere in Skills & Employability.
- **Digital & Customer Services** has £0.3m savings at risk mainly due to delays and potential income losses related to Covid-19.
- **Inclusive Growth** has £0.3m savings at risk and £1.4m that are unlikely to be achieved, mainly due to delays and risks to income caused by Covid-19





Borrowing

- 3.21. The annual cost of servicing debt represents approximately 30% of the budget. Currently borrowing is £3,304m, with the year-end projection likely to be below the planned level of £3,850m. Some government grants have been received early and there is slippage in spending on the capital programme. There does remain uncertainty about the impact of Covid-19 on future cashflows.

Council Tax and Business Rates

- 3.22. The Collection Fund collects business rates and council tax income and pays it over to the precepting body. Council tax and business rates income has been heavily impacted by Covid-19. The forecast for the Collection Fund is a deficit of £57.4m (£7.3m deficit for Council Tax and a £50.1m deficit for Business Rates).
- 3.23. It should be noted that the Government has stated that local authorities will be compensated for 75% of the in-year loss in Collection Fund Income. The Council

estimates that it will receive in the region of £39.6m based on the guidance issued by the Government.

Covid-19 Major Incident Financial Impact

- 3.24. The Council has now received £128.5m of un-ringfenced Covid-19 related grant funding from the government. This includes £44.2m that was received in November. The government has announced an income loss scheme where after 5% deductible, the council will be compensated for 75p in every pound in sales, fees and charges losses due to Covid-19. The council currently estimates that this could provide £21.9m of additional funding, the council must submit a quarterly bid for income lost, £6.5m was received during Quarter 3. The government has also announced several ring-fenced grants for additional reliefs and support schemes which are being spent on the additional measures set out in government guidance.
- 3.25. The financial impact of Covid-19 has increased by £2.4m compared to Month 9. The summary below sets out the Covid-19 financial position

Month 10 Covid-19 financial position	£m
Directorate covid overspend	115.283
Corporate budgets overspend	4.500
Total Covid-19 overspend	119.783
Covid grants (tranches 1- 4)	128.478
Income compensation	21.938
School meals funding	6.400
Total Covid income	156.816
Net surplus	(37.033)
Contribution to reserve	44.200
Net deficit	7.167

- 3.26. Below are details of those directorates which have seen their covid-19 cost increase by above £0.5m:
- 3.27. Neighbourhoods covid forecast has increased by £1.1m since month 9, this is a result of £0.5m loss of Parks income and £0.2m cost associated with the collection and handling of clinical and hazardous waste, £0.2m loss of hostels income due to Covid, as fewer rooms are being utilised than previously forecast, £0.1m loss of income within Health & Wellbeing and internal leisure sites and additional Covid security costs of £0.1m.
- 3.28. Digital & Customer Services (DCS) covid cost has increased by £1.4m since Month 9 as a result of increased IT equipment costs.
- 3.29. Inclusive Growth (IG) has seen its covid-19 cost increased by £0.8m which is a result of further loss of Commercial Property Income.
- 3.30. There are further Covid-19 financial risks which have been quantified at £1.0m, which are reported through emergency cells on a weekly basis. There is an ongoing review of risks to ensure that they reflect the latest circumstances. The quantification of risk has reduced by £10.3m since Month 9. This is due to £0.8m of risks now being included in the Covid forecast, a reduction of £2.2m in forecast costs, and £7.3m of risks being reprofiled to 2021/22.

Birmingham City Council

Report to Cabinet

Date: 16th March 2021



Subject: **PLANNED PROCUREMENT ACTIVITIES (APRIL 2021 - JUNE 2021) AND QUARTERLY CONTRACT AWARD SCHEDULE (OCTOBER 2020 – DECEMBER 2020)**

Report of: **ASSISTANT DIRECTOR DEVELOPMENT & COMMERCIAL FINANCE**

Relevant Cabinet Member: **Councillor Tristan Chatfield, Finance and Resources**

Relevant O & S Chair(s): **Councillor Sir Albert Bore, Resources**

Report author: Richard Tibbatts, Head of Contract Management
Telephone No:
Email Address: richard.tibbatts@birmingham.gov.uk

Are specific wards affected?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No – All wards affected
If yes, name(s) of ward(s):		
Is this a key decision?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If relevant, add Forward Plan Reference:		
Is the decision eligible for call-in?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Does the report contain confidential or exempt information?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
If relevant, provide exempt information paragraph number or reason if confidential :		
3. Information relating to the financial or business affairs of any particular person (including the council)		

1 Executive Summary

- 1.1 This report provides details of the planned procurement activity for the period April 2021 – June 2021 and all contract award decisions made under Chief Officer's delegation during the previous quarter. Planned procurement activities reported previously are not repeated in this report.

- 1.2 The report enables Cabinet to identify whether any reports for procurement activities should be brought to this meeting for specific executive decision, otherwise they will be dealt with under Chief Officer delegations up to the value of £10m, unless TUPE applies to current Council staff.
- 1.3 Appendix 4 informs Cabinet of the contract award decisions made under Chief Officers delegation during the period October 2020 – December 2020.

2 Recommendations

- 2.1 Notes the planned procurement activities under chief officer delegations set out in the Constitution for the period April 2021 – June 2021 as detailed in Appendix 1.
- 2.2 Notes the contract award decisions made under Chief Officers delegation during the period October 2020 – December 2020 as detailed in Appendix 4.
- 2.3 Notes the addition to the planned procurement activities where there is a change as set out in the original Planned Procurement Activities Report as detailed in Appendix 5 .

3 Background

- 3.1 At the 1 March 2016 meeting of Council changes to procurement governance were agreed which gives Chief Officers the delegated authority to approve procurement contracts up to the value of £10m over the life of the contract. Where it is likely that the award of a contract will result in staff employed by the Council transferring to the successful contract under TUPE, the contract award decision has to be made by Cabinet.
- 3.2 In line with the Procurement Governance Arrangements that form part of the Council's Constitution, this report acts as the process to consult with and take soundings from Cabinet Members and the Resources Overview & Scrutiny Committee.
- 3.3 This report sets out the planned procurement activity over the next few months where the contract value is between the procurement threshold (£189,330) and £10m. This will give members visibility of all procurement activity within these thresholds and the opportunity to identify whether any procurement reports should be brought to Cabinet for approval even though they are below the £10m delegation threshold.
- 3.4 It should be noted that the procurement threshold has changed from £164,176 to £189,330 and will apply from 1st January 2020 for a period of 2 years.
- 3.5 Individual procurements may be referred to Cabinet for an executive decision at the request of Cabinet, a Cabinet Member or the Chair of Resources Overview & Scrutiny Committee where there are sensitivities or requirements that necessitate a decision being made by Cabinet.

- 3.6 Procurements below £10m contract value that are not listed on this or subsequent monthly reports can only be delegated to Chief Officers if specific approval is sought from Cabinet. Procurements above £10m contract value will still require an individual report to Cabinet in order for the award decision to be delegated to Chief Officers if appropriate.
- 3.7 A briefing note with details for each item to be procured is listed in Appendix 2. The financial information for each item is detailed in Appendix 3 – Exempt Information.
- 3.8 Award decisions made under Chief Officers delegation during the period October 2020 – December 2020 is shown in Appendix 4.

4 Options considered and Recommended Proposal

- 4.1 The report approved by Council Business Management Committee on 16 February 2016 set out the case for introducing this process. The options considered are:
- To refer the procurement strategy and contract award of individual procurements to Cabinet for decision.
 - To continue with the existing process – this is the recommended option

5 Consultation

- 5.1 This report to Cabinet is copied to Cabinet Support Officers and to Resources Overview & Scrutiny Committee and therefore is the process for consulting with relevant cabinet and scrutiny members. At the point of submitting this report Cabinet Members/ Resources Overview & Scrutiny Committee Chair have not indicated that any of the planned procurement activity needs to be brought back to Cabinet for executive decision.

6 Risk Management

- 6.1 Details of Risk Management, Community Cohesion and Equality Act requirements will be set out in the individual reports

7 Compliance Issues:

7.1 How are the recommended decisions consistent with the City Council's priorities, plans and strategies?

- 7.1.1 Details of how the contracts listed in Appendix 1 and Appendix 2 support relevant Council policies, plans or strategies, will be set out in the individual reports.

7.2 Legal Implications

- 7.2.1 Details of all relevant implications will be included in individual reports.

7.3 Financial Implications

- 7.3.1 Details of how decisions will be carried out within existing finances and resources will be set out in the individual reports.

7.4 Procurement Implications (if required)

- 7.4.1 This is a procurement report and the implications are detailed in the appendices

7.5 Human Resources Implications (if required)

- 7.5.1 None.

7.6 Public Sector Equality Duty

- 7.6.1 Details of Risk Management, Community Cohesion and Equality Act requirements will be set out in the individual reports.

8 Background Documents

- 8.1 List of Appendices accompanying this Report (if any):
- 1. Appendix 1 - Planned Procurement Activity April 2021 – June 2021
 - 2. Appendix 2 – Background Briefing Paper
 - 3. Appendix 3 – Exempt Information
 - 4. Appendix 4 – Quarterly Contract Award Schedule October 2020 – December 2020

APPENDIX 1 – PLANNED PROCUREMENT ACTIVITIES (APRIL 2021 – JUNE 2021)

Type of Report	Title of Procurement	Ref	Brief Description	Contract Duration	Directorate	Portfolio Finance and Resources Plus	Finance Officer	Contact Name	Planned CO Decision Date
Strategy / Award	Refurbishment Works at Wyndley Leisure Centre	TBC	To support the Commonwealth Games 2022, there is a requirement for works to be undertaken at Wyndley Leisure Centre that is anticipated to be confirmed as a training facility for the athletes.	6 months	Neighbourhoods	Leader	Carl Tomlinson	Paul Walls / Charlie Short	28/04/2021
Strategy / Award	Highfield Lane Housing Development	P0701	The Highfield Lane site was appropriated into the Housing Revenue Account (HRA) from the General Fund as part of the Driving Housing Growth, Land Appropriations Report 2019 (4) dated 26th March 2019 for the purpose of housing development. The scheme is for the development of 9 homes for social rent.	48 weeks	Inclusive Growth	Homes and Neighbourhoods	Carl Tomlinson	Terry Webb / Manjit Samrai	28/04/2021
Strategy / Award	Boleyn Road Housing Development	P0702	The Boleyn Road site was appropriated into the Housing Revenue Account (HRA) from the General Fund as part of the Driving Housing Growth, Land Appropriations Report 2019 (4) on 26/03/19, for the purpose of housing development. The appropriation took place on 07/05/19 and the scheme is based on the development of 43 homes for social rent and is now ready to commence the procurement process.	2 years	Inclusive Growth	Homes and Neighbourhoods	Carl Tomlinson	James Knapp / Siobhan MacDonald	01/05/2021
Strategy / Award	Football Pitch & Multi Use Games Area (MUGA) for the Abbeyfields Housing Development	TBC	For the development of housing in the Abbeyfields estate.	3 months	Neighbourhoods	Homes and Neighbourhoods	Carl Tomlinson	Robert Churn / Siobhan MacDonald	28/04/2021
Approval to Tender Strategy	Demolition of the Tower Ballroom	TBC	To enable the future redevelopment of the Tower Ballroom site in line with the aspirations within the Birmingham Development Plan, there is a requirement for the demolition of the existing building.	43 weeks	Inclusive Growth	Leader	Simon Ansell	Ashley Skinner / Charlie Short	28/04/2021
Strategy / Award	Cyber Security Real-time Intelligent Security Compliance Solution	TBC	The requirement is for a tool that provides real-time intelligence across the Council infrastructure landscape driven by artificial intelligence that allows for hands-free monitoring of all the infrastructure assets and provides a real-time overview of cyber security vulnerabilities across heterogeneous environments.	3 years	Digital and Customer Services	Deputy Leader	Lee Bickerton	Rhona Bowditch	28/04/2021
Strategy / Award	Endpoint Detection Response Technology	TBC	There is a requirement for an endpoint detection response (EDR) cyber technology solution that monitors and responds to mitigate cyber threats.	3 years	Digital and Customer Services	Deputy Leader	Lee Bickerton	Rhona Bowditch	28/04/2021
Strategy / Award	External Penetration Testing and Red Teaming	TBC	There is an requirement for external penetration testing at intervals throughout the year and a one time and Red Teaming at the end of each year.	3 years	Digital and Customer Services	Deputy Leader	Lee Bickerton	Rhona Bowditch	28/04/2021
Strategy / Award	Advisory Support for ISO 27001	TBC	There is a requirement for a specialist external resource to implement ISO 27001, the international standard for information management standards that will assist with reducing information security and data protection risks.	3 years	Digital and Customer Services	Deputy Leader	Lee Bickerton	Rhona Bowditch	28/04/2021

Type of Report	Title of Procurement	Ref	Brief Description	Contract Duration	Directorate	Portfolio Finance and Resources Plus	Finance Officer	Contact Name	Planned CO Decision Date
Strategy / Award	Phishing Emails – Security Awareness	TBC	There is a requirement for a third party to conduct simulated phishing emails as part of a wider security awareness training programme.	3 years	Digital and Customer Services	Deputy Leader	Lee Bickerton	Rhona Bowditch	28/04/2021
Strategy / Award	Security Education and Awareness Programme	TBC	The Council require the development of a security education and awareness programme that continuously evaluates and adapts security user education the Council. The programme will be interactive and computer-based training too influence positive security behaviours of staff to improve and strengthen the first line of defence.	3 years	Digital and Customer Services	Deputy Leader	Lee Bickerton	Rhona Bowditch	01/05/2021
Strategy / Award	Security Emergency Incident Response and Forensic Service	TBC	The Council require a security emergency incident response and forensic service, to assist in the event of a major cyber security crisis event (such as a security breach, security IT incident investigation, and forensic response and triage).	3 years	Digital and Customer Services	Deputy Leader	Lee Bickerton	Rhona Bowditch	01/05/2021
Strategy / Award	Security Privilege Access Management	TBC	The Council require a security privilege access management technical solution that continually monitors the Council's third party suppliers as part of the Cyber Security Strategy implementation.	3 years	Digital and Customer Services	Deputy Leader	Lee Bickerton	Rhona Bowditch	01/05/2021
Strategy / Award	Security Third Party Assurance	TBC	The Council require a security third party assurance programme and engagement to delivered This is to ensure the use of external IT service providers and other IT vendors does not create unacceptable potential for business disruption or have a negative impact on business performance. The support will enable the Council to assess, monitor and manage its exposure to risks arising from the use of third parties that provide IT products and services or that have access to its information.	3 years	Digital and Customer Services	Deputy Leader	Lee Bickerton	Rhona Bowditch	01/05/2021
Strategy / Award	Security Incident and Event Management (SIEM)	TBC	The Council require a SIEM cyber technology solution that continually monitors, detects and responds to mitigate cyber threats.	3 years	Digital and Customer Services	Deputy Leader	Lee Bickerton	Rhona Bowditch	01/05/2021
Strategy / Award	Artificial Intelligence (AI) Network Defence Software	TBC	The Council require a software tool with AI-driven network threat monitoring and detection capability. AI-driven network threat monitoring and detection tool will equip the Council to respond to anomalous activities and cut off an attacker's ability to disrupt or damage its services.	3 years	Digital and Customer Services	Deputy Leader	Lee Bickerton	Rhona Bowditch	01/05/2021
Approval to Tender Strategy	Installation and Repair of Cabling and Audio Visual and Digital Signage	TBC	The Council has a requirement for the installation and repair of cabling, audio visual equipment and digital signage.	5 years	Digital and Customer Services	Deputy Leader	Lee Bickerton	Rhona Bowditch	01/05/2021
Strategy / Award	Application Platform Modernisation Security Solutions	TBC	The Council requires security solutions which are in line with the Cyber Security strategy. This will provide a solution for the Application Platform Modernisation programme to protect applications and data stored in the Cloud and New Data centre environments.	5 years with a break clause in Year 4 and 5	Digital and Customer Services	Deputy Leader	Lee Bickerton	Bipin Parmar / Rhona Bowditch	28/04/2021
Strategy / Award	Internet and Internet Access Security Solutions	TBC	The Council requires security solutions which are in line with the Cyber Security strategy to provide solutions to prevent external attacks and control internal access.	5 years with a break clause in Year 4 and 5	Digital and Customer Services	Deputy Leader	Lee Bickerton	Bipin Parmar / Rhona Bowditch	28/04/2021
Strategy / Award	Consultancy to Support Full Fibre Roll out and Wide Area Network Services	TBC	The Council require advice to assist with the development of a Full Business Case for the full fibre roll out and wide area network services. This will inform the procurement process for fibre infrastructure roll out across the city and Wide Area Network Services to meet the Council and other Public Sector organisation requirements.	1 year	Digital and Customer Services	Deputy Leader	Lee Bickerton	Rhona Bowditch	28/04/2021
Single Contractor Negotiation	Single Pupil Record Case Management Solution	TBC	The Council requires a new contract for the Impulse application. This is the core application used by the Education & Skills directorate to record and track a child's path through all stages of educational support. The application stores data related to a child / school / care provider / parents / guardians / professional, etc.	5 years with break clause in Years 4 and 5	Digital and Customer Services	Deputy Leader	Lee Bickerton	Rhona Bowditch	28/04/2021
Various routes	Major Transport Projects Specialist Support	TBC	To support the delivery of the Transportation and Highways Capital Programme, there is a requirement for external resource to support and complement the in-house staff for the civil engineering projects. The table below outlines the required roles.	Various	Inclusive Growth	Transport and Environment	Simon Ansell	Claire Steiner / Charlie Short	31/03/2021

APPENDIX 2

BRIEFING NOTE ON PLANNED PROCUREMENT ACTIVITIES **CABINET – 16TH MARCH 2021**

Title of Contract	Refurbishment Works at Wyndley Leisure Centre
Director / Assistant Director	Rob James – Director, Neighbourhoods
Briefly describe the service required	<p>To support the Commonwealth Games 2022, there is a requirement for works to be undertaken at Wyndley Leisure Centre that is anticipated to be confirmed as a training facility for the athletes. The works required are:</p> <ul style="list-style-type: none">• To upgrade the existing full-size hockey, pitch up to a Federation International Hockey standard including improved irrigation and a recommissioned plant.• To improve the adjacent third of a pitch up to hockey warmup / 3G football community use standard.• Undertake improvements to the running track• Provide fencing around the pitches that will enable safe and secure operation both during the games and year-round use in legacy.• Provide floodlighting at a level of 500lux for both the hockey and 3G pitches.
Has the In-House Preferred Test been carried out?	Yes, and the test demonstrated this is not suitable to be carried out in-house as this is a one-off project.
How will this service assist with the Council's commitments to Route to Zero?	The specification will require the delivery of the works in a way that reduces or eliminate their carbon footprint.
Is the Council under a statutory duty to provide this service? If not what is the justification for providing it?	There is not a statutory service to provide this service. However, the works support the Council's delivery of its responsibilities for the 2022 Commonwealth Games and its legacy.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	This is a one-off requirement.
What budget is the funding from for this service?	The proposed works will be funded from a combination of the existing approved Commonwealth Games Capital Programme Budget and funding contributions from Sport England and similar organisations.
What is the proposed procurement route?	A further competition exercise will be undertaken using the Eastern Shires Purchasing Organisation's Outdoor Playground, Fitness and Sport Facilities Framework Agreement
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money (vfm) and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	Not applicable.
Proposed start date and duration of the new contract	The proposed start date is June 2021 for a period of 6 months.

Title of Contract	Highfield Lane Housing Development
Director / Assistant Director	Ian MacLeod – Acting Director, Inclusive Growth
Briefly describe the service required	The Highfield Lane site was appropriated into the Housing Revenue Account (HRA) from the General Fund as part of the Driving Housing Growth, Land Appropriations Report 2019 (4) dated 26 th March 2019 for the purpose of housing development. The scheme is for the development of 9 homes for social rent.
Has the In-House Preferred Test been carried out?	Yes, and as this is a one-off contract for the construction of houses, the test demonstrated this is not suitable to be carried out in-house
How will this service assist with the Council's commitments to Route to Zero?	The specification will require the homes to be constructed with methods that reduce or eliminates the carbon footprint.
Is the Council under a statutory duty to provide this service? If not what is the justification for providing it?	There is not a statutory service to provide this service. However, the proposed sites support the delivery of the core objectives of the Birmingham Development Plan (BDP) which was adopted by the Council on 10 January 2017 to increase housing growth.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	These is a one-off requirement.
What budget is the funding from for this service?	The schemes are funded from the Housing Revenue Account (Capital) budget.
What is the proposed procurement route?	A further competition exercise will be carried out using the Council's Dynamic Purchasing System for the Construction of Housing
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	Not Applicable
Proposed start date and duration of the new contract	The proposed start date is October 2021 for a duration of 48 weeks.

Title of Contract	Boleyn Road Housing Development
Director / Assistant Director	Ian MacLeod – Acting Director, Inclusive Growth
Briefly describe the service required	The Boleyn Road site was appropriated into the Housing Revenue Account (HRA) from the General Fund as part of the Driving Housing Growth, Land Appropriations Report 2019 (4) on 26/03/19, for the purpose of housing development. The appropriation took place on 07/05/19 and the scheme is based on the development of 43 homes for social rent and is now ready to commence the procurement process.
Has the In-House Preferred Test been carried out?	Yes, and as this is a one-off contract for the construction of houses, the test demonstrated this is not suitable to be carried out in-house.
How will this service assist with the Council's commitments to Route to Zero?	The specification will require the homes to be constructed with methods that reduces or eliminates the carbon footprint.
Is the Council under a statutory duty to provide this service? If not what is the justification for providing it?	There is not a statutory service to provide this service. However, this site supports the delivery of the core objectives of the Birmingham Development Plan (BDP) which was adopted by the Council on 10 January 2017 to increase housing growth.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	This is a one-off requirement.
What budget is the funding from for this service?	The schemes are funded from the Housing Revenue Account (Capital) budget.
What is the proposed procurement route?	A further competition exercise will be carried out using the Homes England Delivery Partner Panel 3 – Midlands Lot framework agreement (or its replacement). This is a framework agreement specifically for the development of housing with a suitable breadth of suppliers with pre-agreed terms and conditions that is considered to deliver better value for money than an open tender or any other route.
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	Not Applicable
Proposed start date and duration of the new contract	The proposed start date is December 2021 for a duration of 24 months.

Title of Contract	Football Pitch & Multi Use Games Area (MUGA) for the Abbeyfields Housing Development
Director / Assistant Director	Ian MacLeod – Acting Director, Inclusive Growth
Briefly describe the service required	<p>On 10th November 2020, Cabinet approved the award of a contract and Full Business Case for the development of housing in the Abbeyfields estate.</p> <p>The report to Cabinet approved funding for the construction of a football pitch and a MUGA which are the planning commitments from the redevelopment of the estate. That report did not detail the procurement strategy; therefore, agreement is now being sought to delegate its approval to the Chief Officer.</p>
Has the In-House Preferred Test been carried out?	Yes, and as this is a one-off contract for the works, the test demonstrated this is not suitable to be carried out in-house.
How will this service assist with the Council's commitments to Route to Zero?	The specification will require the delivery of the works in a way that reduces or eliminate their carbon footprint.
Is the Council under a statutory duty to provide this service? If not, what is the justification for providing it?	No, there is not a statutory duty to provide this service. However, the proposed works supports the delivery of the core objectives of the Birmingham Development Plan (BDP), which was adopted by the Council on 10 th January 2017 to create liveable neighbourhoods.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	This is a one-off requirement.
What budget is the funding from for this service?	The scheme is funded from the Housing Revenue Account (Capital) budget.
What is the proposed procurement route?	To use the Council's Landscape Construction Framework Agreement 2019-23 called off in accordance with its protocol.
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	Not Applicable.
Proposed start date and duration of the new contract	The proposed start date is May 2021 for a duration of 12 weeks.

Title of Contract	Demolition of the Tower Ballroom
Director / Assistant Director	Kathryn James – Assistant Director, Property Services Growth
Briefly describe the service required	To enable the future redevelopment of the Tower Ballroom site in line with the aspirations within the Birmingham Development Plan, there is a requirement for the demolition of the existing building.
Has the In-House Preferred Test been carried out?	Yes, and the test demonstrated this is not suitable to be carried out in-house.
How will this service assist with the Council's commitments to Route to Zero?	The specification will require the demolition of the building of the works in a way that reduces or eliminate their carbon footprint including the recycling of materials.
Is the Council under a statutory duty to provide this service? If not, what is the justification for providing it?	No, there is not a statutory duty to provide this service. However, the demolition supports the delivery of the core objectives of the Birmingham Development Plan (BDP) which was adopted by the Council on 10 th January 2017 to create liveable neighbourhoods.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	This is a one-off requirement.
What budget is the funding from for this service?	The cost of the demolition is funded from the existing Inclusive Growth, Property Services Commercial Landlord reserve budget on the basis this presents a one-off cost to remove a potential health & safety issue.
What is the proposed procurement route?	An open procurement exercise below the works procurement threshold will be undertaken, advertised in Contracts Finder and www.finditinbirmingham.com
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	Not Applicable.
Proposed start date and duration of the new contract	The proposed start date is May 2021 for a duration of up to 43 weeks.

Title of Contract	Cyber Security Real-time Intelligent Security Compliance Solution
Director / Assistant Director	Peter Bishop – Director, Digital and Customer Services
Briefly describe the service required	<p>The requirement is for a tool that provides real-time intelligence across the Council infrastructure landscape driven by artificial intelligence that allows for hands-free monitoring of all the infrastructure assets and provides a real-time overview of cyber security vulnerabilities across heterogeneous environments.</p> <p>This will provide the ability to monitor and detect and the ability to significantly mitigate risk exposure.</p> <p>The tool will cover:</p> <ul style="list-style-type: none"> • Security Configurations • User Privileges • Security Patches • Asset Inventory • Network Exposure
Has the In-House Preferred Test been carried out?	Yes, and the test demonstrated this is not suitable to be carried out in-house as the Council does not have the technical ability to be able to produce this service.
How will this service assist with the Council's commitments to Route to Zero?	The specification will require the bidders to deliver the service in way that reduces or eliminates their carbon footprint.
Is the Council under a statutory duty to provide this service? If not what is the justification for providing it?	There is not a statutory duty to deliver this service. However, this service supports the delivery of the Council's IT and Council services.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	This is a new requirement.
What budget is the funding from for this service?	This will be funded from IT&D Finance once Cyber Security funding is approved by Cabinet.
What is the proposed procurement route?	Until the design phase for all the IT security elements is complete and the packages of work are identified, it is not possible to confirm the actual procurement route to be used. However, a further competition exercise or direct awards will be undertaken using a collaborative framework agreement or dynamic purchasing system identified by CPS Officers as being suitable for this contract such as; Crown Commercial Service, ESPO, NHS shared Business Services or other public sector frameworks.
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	Not applicable.
Proposed start date and duration of the new contract	The proposed start date is July 2021 for a duration of 36 months.

Title of Contract	Endpoint Detection Response Technology
Director / Assistant Director	Peter Bishop – Director, Digital and Customer Services
Briefly describe the service required	<p>There is a requirement for an endpoint detection response (EDR) cyber technology solution that monitors and responds to mitigate cyber threats. EDR is a solution that:</p> <ul style="list-style-type: none"> • Record and store endpoint-system-level behaviours, • Use data analytics techniques to detect suspicious system behaviour, • Provide contextual information, block malicious activity, and provide remediation suggestions to restore affected systems.
Has the In-House Preferred Test been carried out?	Yes, and the test demonstrated this is not suitable to be carried out in-house as the Council does not have the technical ability to be able to produce this service.
How will this service assist with the Council's commitments to Route to Zero?	The specification will require the bidders to deliver the service in way that reduces or eliminates their carbon footprint.
Is the Council under a statutory duty to provide this service? If not what is the justification for providing it?	There is no statutory duty to deliver this service. However, this service supports the delivery of the Councils IT and Council services.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	This is a new requirement.
What budget is the funding from for this service?	This will be funded from IT&D Finance Cyber Security budget.
What is the proposed procurement route?	Until the design phase for all the IT security elements is complete and the packages of work are identified, it is not possible to confirm the actual procurement route to be used. However, a further competition exercise or direct awards will be undertaken using a collaborative framework agreement or dynamic purchasing system identified by CPS Officers as being suitable for this contract such as; Crown Commercial Service, ESPO, NHS shared Business Services or other public sector frameworks.
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	Not applicable.
Proposed start date and duration of the new contract	in the proposed start date is July 2021 for with a duration of 36 months.

Title of Contract	External Penetration Testing and Red Teaming
Director / Assistant Director	Peter Bishop – Director, Digital and Customer Services
Briefly describe the service required	<p>There is an requirement for external penetration testing at intervals throughout the year and a one time and Red Teaming at the end of each year.</p> <p>The services required are as follows;</p> <p>External Penetration Testing – this is the testing and vulnerability scanning of the Council's digital infrastructure to assess vulnerabilities and potential breach points</p> <p>Red Teaming - A multi-layered attack simulation designed to measure how the Council's networks, applications, and physical security controls can withstand an attack from an external source.</p>
Has the In-House Preferred Test been carried out?	Yes, and the test demonstrated this is not suitable to be carried out in-house as the Council does not have the technical ability to be able to produce this service.
How will this service assist with the Council's commitments to Route to Zero?	The specification will require the bidders to deliver the service in way that reduces or eliminates their carbon footprint.
Is the Council under a statutory duty to provide this service? If not what is the justification for providing it?	There is no statutory duty to deliver this service. However, this service supports the delivery of the Councils IT and Council services.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	This is a new requirement.
What budget is the funding from for this service?	This will be funded from IT&D Finance once Cyber Security funding is approved by Cabinet.
What is the proposed procurement route?	Until the design phase for all the IT security elements is complete and the packages of work are identified, it is not possible to confirm the actual procurement route to be used. However, a further competition exercise or direct awards will be undertaken using a collaborative framework agreement or dynamic purchasing system identified by CPS Officers as being suitable for this contract such as; Crown Commercial Service, ESPO, NHS shared Business Services or other public sector frameworks.
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	Not applicable.
Proposed start date and duration of the new contract	in the proposed start date is May 2021 with a duration of 36 months.

Title of Contract	Advisory Support for ISO 27001
Director / Assistant Director	Peter Bishop – Director, Digital and Customer Services
Briefly describe the service required	<p>There is a requirement for a specialist external resource to implement ISO 27001, the international standard for information management standards that will assist with reducing information security and data protection risks.</p> <p>There are not the skills, experience or availability within the Council for these services therefore there is a requirement for suitably qualified resources to be engaged externally.</p>
Has the In-House Preferred Test been carried out?	Yes, and the test demonstrated this is not suitable to be carried out in-house as this is a one-off requirement.
How will this service assist with the Council's commitments to Route to Zero?	The specification will require the bidders to deliver the service in way that reduces or eliminates their carbon footprint.
Is the Council under a statutory duty to provide this service? If not what is the justification for providing it?	There is no statutory duty to deliver this service. However, this service supports the delivery of the Council's IT service and Council services.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	This is a new requirement.
What budget is the funding from for this service?	This will be funded from IT&D Finance Cyber Security budget.
What is the proposed procurement route?	Until the design phase for all the IT security elements is complete and the packages of work are identified, it is not possible to confirm the actual procurement route to be used. However, a further competition exercise or direct awards will be undertaken using a collaborative framework agreement or dynamic purchasing system identified by CPS Officers as being suitable for this contract such as; Crown Commercial Service, ESPO, NHS shared Business Services or other public sector frameworks.
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	Not applicable.
Proposed start date and duration of the new contract	The proposed start date is May 2021 for with a duration of 36 months.

Title of Contract	Phishing Emails – Security Awareness
Director / Assistant Director	Peter Bishop – Director, Digital and Customer Services
Briefly describe the service required	<p>There is a requirement for a third party to conduct simulated phishing emails as part of a wider security awareness training programme.</p> <p>The phishing campaign would run at certain points in time throughout the year and a 3rd party would be commissioned to conduct attacks such as Spear phishing, which simulates the scenario where users are specifically targeted by cybercriminals.</p> <p>The phishing campaign service would benefit the Council on:</p> <ul style="list-style-type: none"> • Compliance and training • Increased threat activity reporting • Reduced fraudulent activity
Has the In-House Preferred Test been carried out?	Yes, and the test demonstrated this is not suitable to be carried out in-house as the Council does not have the technical ability to be able to produce this service.
How will this service assist with the Council's commitments to Route to Zero?	The specification will require the bidders to deliver the service in way that reduces or eliminates their carbon footprint.
Is the Council under a statutory duty to provide this service? If not what is the justification for providing it?	There is no statutory duty to deliver this service, however this service supports the delivery of the Council's IT, and Council services.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	This is a new requirement.
What budget is the funding from for this service?	This will be funded from IT&D Finance Cyber Security budget.
What is the proposed procurement route?	Until the design phase for all the IT security elements is complete and the packages of work are identified, it is not possible to confirm the actual procurement route to be used. However, a further competition exercise or direct awards will be undertaken using a collaborative framework agreement or dynamic purchasing system identified by CPS Officers as being suitable for this contract such as; Crown Commercial Service, ESPO, NHS shared Business Services or other public sector frameworks.
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	Not applicable.
Proposed start date and duration of the new contract	The proposed start date is May 2021 with a duration of 36 months.

Title of Contract	Security Education and Awareness Programme
Director / Assistant Director	Peter Bishop – Director, Digital and Customer Services
Briefly describe the service required	The Council require the development of a security education and awareness programme that continuously evaluates and adapts security user education the Council. The programme will be interactive and computer-based training too influence positive security behaviours of staff to improve and strengthen the first line of defence.
Has the In-House Preferred Test been carried out?	Yes, and the test demonstrated this is not suitable to be carried out in-house as this is a one-off specialist training programme.
How will this service assist with the Council's commitments to Route to Zero?	The specification will require the bidders to deliver the service in way that reduces or eliminates their carbon footprint.
Is the Council under a statutory duty to provide this service? If not what is the justification for providing it?	There is no statutory duty to deliver this service, however this service supports the delivery of the Councils IT and Council services.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	This is a new requirement.
What budget is the funding from for this service?	This will be funded from IT&D Finance once Cyber Security funding is approved by Cabinet.
What is the proposed procurement route?	Until the design phase for all the IT security elements is complete and the packages of work are identified, it is not possible to confirm the actual procurement route to be used. However, a further competition exercise or direct awards will be undertaken using a collaborative framework agreement or dynamic purchasing system identified by CPS Officers as being suitable for this contract such as; Crown Commercial Service, ESPO, NHS shared Business Services or other public sector frameworks.
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	Not applicable.
Proposed start date and duration of the new contract	The proposed start date is July 2021 for with a duration of 36 months.

Title of Contract	Security Emergency Incident Response and Forensic Service
Director / Assistant Director	Peter Bishop – Director, Digital and Customer Services
Briefly describe the service required	<p>The Council require a security emergency incident response and forensic service, to assist in the event of a major cyber security crisis event (such as a security breach, security IT incident investigation, and forensic response and triage).</p> <p>The contract will be on a retained basis and dovetails with the Council's documented processes for security incident response.</p> <p>This managed service will improve the Council's ability to respond and detect anomalous activities and cut off an attacker's ability to disrupt/damage its services.</p>
Has the In-House Preferred Test been carried out?	Yes, and the test demonstrated this is not suitable to be carried out in-house as the Council does not have the technical ability to be able to produce this service.
How will this service assist with the Council's commitments to Route to Zero?	The specification will require the bidders to deliver the service in way that reduces or eliminates their carbon footprint.
Is the Council under a statutory duty to provide this service? If not what is the justification for providing it?	There is no statutory duty to deliver this service. However, this service supports the delivery of the Council's IT and Council services.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	This is a new requirement.
What budget is the funding from for this service?	This will be funded from IT&D Finance Cyber Security budget.
What is the proposed procurement route?	Until the design phase for all the IT security elements is complete and the packages of work are identified, it is not possible to confirm the actual procurement route to be used. However, a further competition exercise or direct awards will be undertaken using a collaborative framework agreement or dynamic purchasing system identified by CPS Officers as being suitable for this contract such as; Crown Commercial Service, ESPO, NHS shared Business Services or other public sector frameworks.
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	Not applicable,
Proposed start date and duration of the new contract	The proposed start date is July 2021 for with a duration of 36 months.

Title of Contract	Security Privilege Access Management
Director / Assistant Director	Peter Bishop – Director, Digital and Customer Services
Briefly describe the service required	<p>The Council require a security privilege access management technical solution that continually monitors the Council's third-party suppliers as part of the Cyber Security Strategy implementation.</p> <p>The Privilege Access Management service will discover, manage and govern privileged accounts on multiple systems and applications; control access to privileged accounts, including shared and emergency access; and monitor, record, audit and analyse privileged access, sessions and actions.</p> <p>This solution will proactively monitor security risks that may disrupt or damage the Council services and customer data.</p>
Has the In-House Preferred Test been carried out?	Yes, and the test demonstrated this is not suitable to be carried out in-house as the Council does not have the technical ability to be able to produce this service.
How will this service assist with the Council's commitments to Route to Zero?	The specification will require the bidders to deliver the service in way that reduces or eliminates their carbon footprint.
Is the Council under a statutory duty to provide this service? If not what is the justification for providing it?	There is no statutory duty to deliver this service. However, this service supports the delivery of the Council's IT and Council services.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	This is a new requirement.
What budget is the funding from for this service?	This will be funded from IT&D Finance Cyber Security budget.
What is the proposed procurement route?	Until the design phase for all the IT security elements is complete and the packages of work are identified, it is not possible to confirm the actual procurement route to be used. However, a further competition exercise or direct awards will be undertaken using a collaborative framework agreement or dynamic purchasing system identified by CPS Officers as being suitable for this contract such as; Crown Commercial Service, ESPO, NHS shared Business Services or other public sector frameworks.
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	Not applicable.
Proposed start date and duration of the new contract	The proposed start date is July 2021 for with a duration of 36 months.

Title of Contract	Security Third Party Assurance
Director / Assistant Director	Peter Bishop – Director, Digital and Customer Services
Briefly describe the service required	<p>The Council require a security third party assurance programme and engagement to delivered This is to ensure the use of external IT service providers and other IT vendors does not create unacceptable potential for business disruption or have a negative impact on business performance. The support will enable the Council to assess, monitor and manage its exposure to risks arising from the use of third parties that provide IT products and services or that have access to its information.</p> <p>Continually monitoring the Council's third-party suppliers risks as part of the Cyber Security Strategy implementation which this requirement will support.</p>
Has the In-House Preferred Test been carried out?	Yes, and the test demonstrated this is not suitable to be carried out in-house as the Council does not have the technical ability to be able to produce this service.
How will this service assist with the Council's commitments to Route to Zero?	The specification will require the bidders to deliver the service in way that reduces or eliminates their carbon footprint.
Is the Council under a statutory duty to provide this service? If not what is the justification for providing it?	There is no statutory duty to deliver this service. However, this service supports the delivery of the Council's IT and Council services.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	This is a new requirement.
What budget is the funding from for this service?	This will be funded from IT&D Finance Cyber Security budget.
What is the proposed procurement route?	Until the design phase for all the IT security elements is complete and the packages of work are identified, it is not possible to confirm the actual procurement route to be used. However, a further competition exercise or direct awards will be undertaken using a collaborative framework agreement or dynamic purchasing system identified by CPS Officers as being suitable for this contract such as; Crown Commercial Service, ESPO, NHS shared Business Services or other public sector frameworks.
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	Not applicable.
Proposed start date and duration of the new contract	The proposed start is date is July 2021 for with a duration of 36 months.

Title of Contract	Security Incident and Event Management (SIEM)
Director / Assistant Director	Peter Bishop – Director, Digital and Customer Services
Briefly describe the service required	<p>The Council require a SIEM cyber technology solution that continually monitors, detects and responds to mitigate cyber threats.</p> <p>SIEM is a software solution that supports threat detection, compliance and security incident management through the collection and analysis (both near real time and historical) of security events, as well as a wide variety of other event and contextual data sources. The service includes:</p> <ul style="list-style-type: none"> • Log event collection and management • The ability to analyse log events and other data across disparate sources. • Operational capabilities including security incident management, dashboards and reporting.
Has the In-House Preferred Test been carried out?	Yes, and the test demonstrated this is not suitable to be carried out in-house as the Council does not have the technical ability to be able to produce this service.
How will this service assist with the Council's commitments to Route to Zero?	The specification will require the bidders to deliver the service in way that reduces or eliminates their carbon footprint.
Is the Council under a statutory duty to provide this service? If not what is the justification for providing it?	There is no statutory duty to deliver this service. However, this service supports the delivery of the Council's IT and Council services.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	This is a new requirement.
What budget is the funding from for this service?	This will be funded from IT&D Finance Cyber Security budget.
What is the proposed procurement route?	Until the design phase for all the IT security elements is complete and the packages of work are identified, it is not possible to confirm the actual procurement route to be used. However, a further competition exercise or direct awards will be undertaken using a collaborative framework agreement or dynamic purchasing system identified by CPS Officers as being suitable for this contract such as; Crown Commercial Service, ESPO, NHS shared Business Services or other public sector frameworks.
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	Not applicable.
Proposed start date and duration of the new contract	The proposed start date is July 2021 for with a duration of 36 months.

Title of Contract	Artificial Intelligence (AI) Network Defence Software
Director / Assistant Director	Peter Bishop – Director, Digital and Customer Services
Briefly describe the service required	<p>The Council require a software tool with AI-driven network threat monitoring and detection capability.</p> <p>AI-driven network threat monitoring and detection tool will equip the Council to respond to anomalous activities and cut off an attacker's ability to disrupt or damage its services.</p> <p>It is a requirement of the National Cyber Security Centre that the public sector monitors users, voice protocol networks and network traffic and this software tool will support this requirement.</p>
Has the In-House Preferred Test been carried out?	Yes, and the test demonstrated this is not suitable to be carried out in-house as the Council does not have the technical ability to be able to produce this service.
How will this service assist with the Council's commitments to Route to Zero?	The specification will require the bidders to deliver the service in way that reduces or eliminates their carbon footprint.
Is the Council under a statutory duty to provide this service? If not what is the justification for providing it?	There is no statutory duty to deliver this service. However, this service supports the delivery of the Council's IT and Council services.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	This is a new requirement.
What budget is the funding from for this service?	This will be funded from the Cyber Security strategy and roadmap budget.
What is the proposed procurement route?	Until the design phase for all the IT security elements is complete and the packages of work are identified, it is not possible to confirm the actual procurement route to be used. However, a further competition exercise or direct awards will be undertaken using a collaborative framework agreement or dynamic purchasing system identified by CPS Officers as being suitable for this contract such as; Crown Commercial Service, ESPO, NHS shared Business Services or other public sector frameworks.
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	Not applicable.
Proposed start date and duration of the new contract	The proposed start date is July 2021 for with a duration of 36 months.

Title of Contract	Installation and Repair of Cabling and Audio Visual and Digital Signage
Director / Assistant Director	Peter Bishop – Director, Digital and Customer Services
Briefly describe the service required	<p>The Council has a requirement for the installation and repair of cabling, audio visual equipment and digital signage.</p> <p>The elements that this service will cover are;</p> <ul style="list-style-type: none"> • Installation of structured cabling to Council locations and Supported sites (e.g. Commonwealth Games) – Network and Telephony points. • Installation of passive equipment – Power, Rack and any other associated equipment that requires electrical supply. • Installation of Audio Visual and Digital Signage for BCC Building, and support to re-purposing on the wider estate. • Support and Maintenance for the Audio Visual and Digital Signage of any of the install items.
Has the In-House Preferred Test been carried out?	Yes, and the test demonstrated this is not suitable to be carried out in-house as the Council does not have the technical ability to be able to produce this service.
How will this service assist with the Council's commitments to Route to Zero?	The specification will require the bidders to deliver the service in way that reduces or eliminates their carbon footprint.
Is the Council under a statutory duty to provide this service? If not what is the justification for providing it?	There is no statutory duty to provide this service. However, this service supports the delivery of the Council's IT and services.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	The existing contract with Ensign CDI will expire 31 st October 2021.
What budget is the funding from for this service?	This is funded from RF003 – Network Services.
What is the proposed procurement route?	An open procurement exercise will be undertaken, advertised in Find a Tender, Contracts Finder and www.finditinbirmingham.com
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	Not applicable.
Proposed start date and duration of the new contract	The proposed start date is 1 st October 2021 for a period of 5 years with a break clause after years 4 and 5.

Title of Contract	Application Platform Modernisation Security Solutions
Director / Assistant Director	Peter Bishop – Director, Digital and Customer Services
Briefly describe the service required	<p>The Council requires security solutions which are in line with the Cyber Security strategy. This will provide a solution for the Application Platform Modernisation programme to protect applications and data stored in the Cloud and New Data centre environments.</p> <p>The solution prevents external attacks by controlling internal access to the Councils network.</p> <p>The security requirements to support the APM programme covers:</p> <ul style="list-style-type: none"> - Azure project stream – with a firewall solution - Data Centre stream – full firewall solution for 2 Data Centres ins Birmingham and Farnborough
Has the In-House Preferred Test been carried out?	Yes, and the test demonstrated this is not suitable to be carried out in-house as the Council does not have the technical ability to be able to produce this service.
How will this service assist with the Council's commitments to Route to Zero?	This will be the enabler services connecting into the Smart City proposal and Route to Zero Proposals.
Is the Council under a statutory duty to provide this service? If not what is the justification for providing it?	There is no statutory duty to provide this service. However, this service supports the delivery of the Councils IT and Council services.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	This is a new requirement.
What budget is the funding from for this service?	This is funded from RF003 budget.
What is the proposed procurement route?	Until the design phase for all the IT security elements is complete and the packages of work are identified, it is not possible to confirm the actual procurement route to be used. However, a further competition exercise or direct awards will be undertaken using a collaborative framework agreement or dynamic purchasing system identified by CPS Officers as being suitable for this contract such as; Crown Commercial Service, ESPO, NHS shared Business Services or other public sector frameworks.
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	Not applicable.
Proposed start date and duration of the new contract	The proposed start is 1 st May 2021 for a duration of 5 years with a break clause in Years 4 and 5.

Title of Contract	Internet and Internet Access Security Solutions
Director / Assistant Director	Peter Bishop – Director, Digital and Customer Services
Briefly describe the service required	<p>The Council requires security solutions which are in line with the Cyber Security strategy to provide solutions to prevent external attacks and control internal access.</p> <p>Internet Security protection through the use of a Distributed Denial Of Service (DDOS) service to ensure availability of Citizen facing web sites.</p> <p>The load balancer and internet application gateway provide high performance and available services to both the Council and 3rd parties.</p> <p>The proposal is to refresh the existing load balancer, internet application gateway and DDOS protection.</p>
Has the In-House Preferred Test been carried out?	Yes, and the test demonstrated this is not suitable to be carried out in-house as the Council does not have the technical ability to be able to produce this service.
How will this service assist with the Council's commitments to Route to Zero?	This will be the enabler services connecting into the Smart City proposal and Route to Zero Proposals.
Is the Council under a statutory duty to provide this service? If not what is the justification for providing it?	There is no statutory duty to provide this service. However, this service supports the delivery of the Council's IT, and by extension Council services.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	F5 DDOS and Load Balancer where previously through Capita Trustmarque. The DDoS expires in April 2021 and the Load Balancer contract expires in 2022.
What budget is the funding from for this service?	This is funded from RF003 budget.
What is the proposed procurement route?	Until the design phase for all the IT security elements is complete and the packages of work are identified, it is not possible to confirm the actual procurement route to be used. However, a further competition exercise or direct awards will be undertaken using a collaborative framework agreement or dynamic purchasing system identified by CPS Officers as being suitable for this contract such as; Crown Commercial Service, ESPO, NHS shared Business Services or other public sector frameworks.
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	Not applicable.
Proposed start date and duration of the new contract	The proposed start is 1 st May 2021 for a duration of 5 years with a break clause in Years 4 and 5.

Title of Contract	Consultancy to Support Full Fibre Roll out and Wide Area Network Services
Director / Assistant Director	Peter Bishop – Director, Digital and Customer Services
Briefly describe the service required	<p>The Council require advice to assist with the development of a Full Business Case for the full fibre roll out and wide area network services. This will inform the procurement process for fibre infrastructure roll out across the city and Wide Area Network Services to meet the Council and other Public Sector organisation requirements.</p> <p>The skills and experience are not available within the Council for these services therefore there is a requirement for suitably qualified resources to be engaged externally.</p>
Has the In-House Preferred Test been carried out?	Yes, and the test demonstrated this is not suitable to be carried out in-house as the Council does not have the technical ability to be able to produce this service.
How will this service assist with the Council's commitments to Route to Zero?	This will be the enabler services connecting into the Smart City proposal and Route to Zero Proposals.
Is the Council under a statutory duty to provide this service? If not what is the justification for providing it?	There is no statutory duty to provide this service. However, this service supports the delivery of the Council's IT services and its services.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	This is a new requirement.
What budget is the funding from for this service?	This is funded from RF003 – Network Services. Additional budget proposed in the Digital City programme and Route to Zero.
What is the proposed procurement route?	A further competition exercise will be undertaken using the Crown Commercial Services Gigabit Capable Connectivity Dynamic Purchasing System.
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	Not applicable.
Proposed start date and duration of the new contract	The proposed start date is 1 st May 2021 for a period of up to 12 months.

Title of Contract	Single Pupil Record Case Management Solution
Director / Assistant Director	Peter Bishop – Director, Digital and Customer Services
Briefly describe the service required	<p>The Council requires a new contract for the Impulse application. This is the core application used by the Education & Skills directorate to record and track a child's path through all stages of educational support. The application stores data related to a child / school / care provider / parents / guardians / professional, etc. Impulse consists of several built-in modules around a single pupil record to include attendance, admissions etc. The Impulse suite of integrated applications includes:</p> <ul style="list-style-type: none"> • Impulse • eAdmissions • CACI Hub • CACI Schools Portal • Impulse Gateway <p>Further portals and functionality, a Parents Portal and Professionals Portal are planned to be implemented to support the SEND service with additional functionality to support the transformation programme along with a new look and feel case management approach. Implementation of the new look and feel is also planned for Admissions and Early Years functions.</p>
Has the In-House Preferred Test been carried out?	Yes and the test demonstrated this is not suitable to be carried out in-house as the Council does not have the technical ability to be able to produce this service.
How will this service assist with the Council's commitments to Route to Zero?	The specification will require the bidders to deliver the service in way that reduces or eliminates their carbon footprint.
Is the Council under a statutory duty to provide this service? If not what is the justification for providing it?	The Council does not have a statutory duty to provide this service. However, the service supports the Education & Skills Directorate to record and track a child's path through all stages of educational support.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	The existing contract with CACI will expire on 31 st March 2021, there is an extension being sought via Cabinet Member subject to approval to extend the contract to 30 th June 2021.
What budget is the funding from for this service?	The on-going support is funded under the digital and customer services budget and Projects are funded by the relevant business areas.
What is the proposed procurement route?	To enter into single contractor negotiations with CACI UK Ltd in accordance with Part D of the Council's Constitution (paragraph 2.5 iv) in conjunction with regulation 32.2(b)(ii) and or (iii) of the Public Contract Regulations (PCR) 2015.
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	The Council own a perpetual license for the Impulse solution which is owned, supplied and maintained by CACI. The Impulse application is heavily integrated with and embedded into the existing Council infrastructure and cannot be replaced without significant cost and disruption to the Council network. The Crown Commercial Framework figures will be used as a baseline to benchmark the ongoing support costs to ensure value for money is achieved.
Proposed start date and duration of the new contract	1 st July 2021 for 5 years break clauses at the start of years 4 and 5.

Title of Contract	Major Transport Projects Specialist Support
Director / Assistant Director	Phil Edwards, Assistant Director Transport and Connectivity
Briefly describe the service required	<p>To support the delivery of the Transportation and Highways Capital Programme, there is a requirement for external resource to support and complement the in-house staff for the civil engineering projects. The table below outlines the required roles.</p> <p>The Transportation and Connectivity section is experiencing a high level of vacancies that have not been able to be filled from regular recruitment exercises due to conditions in the marketplace.</p>
Has the In-House Preferred Test been carried out?	Yes, and the test demonstrated this is not suitable to be carried out in-house.
How will this service assist with the Council's commitments to Route to Zero?	The specification will require the bidders to deliver the service in way that reduces or eliminates their carbon footprint.
Is the Council under a statutory duty to provide this service? If not what is the justification for providing it?	There is not a statutory duty for this service. However, it is important that the Council resources all the projects within the capital programme with the appropriate expertise.
What are the existing arrangements? Is there an existing contract? If so when does that expire?	Details are provided in the table below.
What budget is the funding from for this service?	The cost of the services will be funded from the individual project budgets.
What is the proposed procurement route?	The proposed procurement routes are detailed in the table below.
If single /multiple contractor negotiations are proposed, what is the reason for not tendering the requirement, how do we ensure value for money and compliance with the Birmingham Business Charter for Social Responsibility (BBC4SR)?	Not applicable.
Proposed start date and duration of the new contract	Various start dates and durations as stated in the table below.

Title of Role	Number of Roles	Project	Description	Procurement Route	Current Arrangements
CAD Technician Support	2 existing	1. Across the Capital Programme	To provide support to the teams in the development and delivery of projects	A direct award using the Transportation and Highways Professional Services Framework Lot 3a People Resourcing.	A contract awarded using the previous West Midlands Transportation Professional Services Framework (WMTPSF) expires on 31 st March 2021
CAD Senior Technician Support	1 existing	1. Across the Capital Programme	To provide support to the teams in the development and delivery of projects and provide training to in-house staff to develop skills for the longer term.	A direct award using the Transportation and Highways Professional Services Framework Lot 3a People Resourcing	A contract awarded using the previous West Midlands Transportation Professional Services Framework (WMTPSF) expires on 31 st March 2021
Construction Project Manager	3 existing	1. A34 Perry Barr 2. Snow Hill Public Realm 3. Dudley Road Improvements	To continue to carry out the role of Construction Project Manager for these schemes until their completion	A direct award using the Transportation and Highways Professional Services Framework Lot 3a People Resourcing	A contract awarded using the previous West Midlands Transportation Professional Services Framework (WMTPSF) expires on 31 st March 2021
	4 new	1. Southside Public Realm 2. City Centre Public Realm 3. Pershore Road / Priory Road Junction Improvement 4. Clean Air Zone – Controlled Parking Zones and Network Management	To carry out the role of Construction Project Manager for the contracts listed	A further competition exercise will be undertaken using the Transportation and Highways Professional Services Framework Lot 3a People Resourcing. Due to the shortage of suitably skilled resource, the opportunity will also be advertised by the Council's Agency Managed Service and best candidate for each role appointed..	N/A
Assistant Construction Project Manager	2 existing	1. A34 Perry Barr 2. Active Travel Fund Tranche 1 and Tranche 2	To continue to carry out the role of Assistant Construction Project Manager for these schemes until their completion	A direct award using the Transportation and Highways Professional Services Framework Lot 3a People Resourcing	A contract awarded using the previous West Midlands Transportation Professional Services Framework (WMTPSF) expires on 31 st March 2021
	3 new	1. A34 Perry Barr 2. Snow Hill Public Realm 3. City Centre Public Realm	To carry out the role of Assistant Construction Project Manager for the contracts listed	A further competition exercise will be undertaken using the Transportation and Highways Professional Services Framework Lot 3a People Resourcing. Due to the shortage of suitably skilled resource, the opportunity will also be advertised by the Council's Agency Managed Service and best candidate for each role appointed.	N/A

Construction Site Supervisor	1 existing	1. A34 Perry Barr	To continue to carry out the role of Construction Site Supervisor for this scheme until its completion.	A direct award using the Transportation and Highways Professional Services Framework Lot 3a People Resourcing	A contract awarded using the previous West Midlands Transportation Professional Services Framework (WMTPSF) expires on 31 st March 2021
	1 existing	1. Metro EDGE and other City Centre Developments	To continue to carry out the role of Construction Site Supervisor for this scheme until its completion	A direct award using the Transportation and Highways Professional Services Framework Lot 3a People Resourcing	A contract awarded using the previous West Midlands Transportation Professional Services Framework (WMTPSF) expires on 31 st March 2021
	4 new	1. Clean Air Zone Network Strategy and Controlled Parking Zones 2. Southside Public Realm 3. City Centre Public Realm 4. Pershore Road / Priory Road	To carry out the role of Construction Site Supervisor for the contracts listed	A further competition exercise will be undertaken using the Transportation and Highways Professional Services Framework Lot 3a People Resourcing Due to the shortage of suitably skilled resource, the opportunity will also be advertised by the Council's Agency Managed Service and best candidate for each role appointed..	N/A
Highway Inspector	4 new	1. Sprint Routes (2 roles) 2. Peddimore Development (2 roles)	To carry out the role of Highway Inspector for works being delivered by 3 rd parties on the highway network until their completion	A further competition exercise will be undertaken using the Transportation and Highways Professional Services Framework Lot 3a People Resourcing Due to the shortage of suitably skilled resource, the opportunity will also be advertised by the Council's Agency Managed Service and best candidate for each role appointed..	N/A
Technical Specialist	4 existing	1. Metro EDGE and other City Centre Developments 2. Major Developments Lead 3. Dudley Road Highway Improvements 4. Capital Programme Delivery Specialist	To continue to carry out the role of Technical Specialist for these schemes until their completion	A direct award using the Transportation and Highways Professional Services Framework Lot 3a People Resourcing	A contract awarded using the previous West Midlands Transportation Professional Services Framework (WMTPSF) expires on 31 st March 2021
	1 existing	1. A34 Perry Barr	To continue to carry out the role of Technical Specialist for this scheme until completion	A direct award using the Transportation and Highways Professional Services Framework Lot 3a People Resourcing	A contract awarded using the previous West Midlands Transportation Professional Services Framework (WMTPSF) expires on 31 st March 2021

Design Project Manager	1 new	1. Local Safety Programme	To carry out the role of design Project Manager for the contract listed	A further competition exercise will be undertaken using the Transportation and Highways Professional Services Framework Lot 3a People Resourcing Due to the shortage of suitably skilled resource, the opportunity will also be advertised by the Council's Agency Managed Service and best candidate for each role appointed..	N/A
	1 existing	1. A34 Cycle Route, Aldridge Road, and Pershore Road / Priory Road Junction (combined role)	To continue to carry out the role of Design Project Manager for this scheme until its completion	A direct award using the Transportation and Highways Professional Services Framework Lot 3a People Resourcing	A contract awarded using the previous West Midlands Transportation Professional Services Framework (WMTPSF) expires on 31 st March 2021
Programme Manager	1 existing	1. Commonwealth Games Transport Programme Manager	To continue to carry out the role of Commonwealth Games Transport Programme Manager for this programme until its completion	A direct award using the Transportation and Highways Professional Services Framework Lot 3a People Resourcing	A contract awarded using the previous West Midlands Transportation Professional Services Framework (WMTPSF) expires on 31 st March 2021
Commercial Manager	1 existing	A34 Perry Barr and Dudley Road (1 combined role)	To continue to carry out the role of Commercial Manager for these schemes until their completion	A direct award using the Transportation and Highways Professional Services Framework Lot 3a People Resourcing	A contract awarded using the previous West Midlands Transportation Professional Services Framework (WMTPSF) expires on 31 st March 2021
Quantity Surveyor	1 existing	A43 Perry Barr	To continue to carry out the role of Quantity Surveyor for these schemes until their completion	A direct award using the Transportation and Highways Professional Services Framework Lot 3a People Resourcing	A contract awarded using the previous West Midlands Transportation Professional Services Framework (WMTPSF) expires on 31 st March 2021

APPENDIX 4 - QUARTERLY CONTRACT AWARD SCHEDULE (OCTOBER 2020 – DECEMBER 2020)

Type of Report	Title of Procurement	Ref	Brief Description	Contract Duration	Directorate	Portfolio Finance and Resources	Finance Officer	Contact Name	Comments - including any request from Cabinet Members for more details	Contractor(s) Awarded to	Value of Contracts Value of Initial Period Value of Potential Extension	Chief Officer	Actual Go Live date
Strategy/ Award	Demolition of Barbary House, Shannon Road		Demolish a vacant tower block to unlock brown-field land to be available for housing development.	13 weeks	Inclusive Growth	Homes and Neighbourhoods	Carl Tomlinson	Justin Brennan	Presented to Cabinet for info 13/11/2018. Approval to Tender Strategy Report signed 07/08/2019 and delegated the award to CO. Delegated Contract Award Report signed 28/09/2020.	Efford Demolition and Reclamation Ltd	£255,739.00	Ian MacLeod / Alison Jarrett	30/09/2020
Strategy/ Award	Increase in Expenditure - Professional Services for the Acquisition of Various Commercial Properties and Land in Perry Barr		Requirement to increase the expenditure of the professional services contract for the acquisition of various commercial properties and land in Perry Barr.	6 months	Inclusive Growth	Leader	Guy Olivant	Rebecca Farr / Charlie Short	Presented to Cabinet for info 08/09/2020. Strategy / Award Report signed 05/10/2020.	Savills (UK) Limited	£660,000	Ian MacLeod / Alison Jarrett	07/10/2020
Strategy/ Award	Vehicles for the Parks Service	P0545	For the one off purchase of vehicles needed by the Council's Parks service in the delivery of a citywide grounds maintenance service.	One off purchase - vehicles due for delivery in March	Neighbourhoods	Transport and Environment	Carl Tomlinson	Kevin Haynes / Andrea Webster	Cabinet Member for Finance and Resources and the Director for Neighbourhoods approved the procurement strategy for vehicles on 20/03/2020 and delegated the award to CO. Delegated Award Report signed 12/10/2020.	Nissan Motor GB Ltd Vauxhall Motors Limited The Colt Car Company Ltd t/a Mitsubishi Motors	£1,849,307 £309,992 £307,335 Total £2,466,634	Rob James / Alison Jarrett	07/12/2020
Delegated Extension Award	Advocacy Services	P0485	Advocacy is providing the support someone needs to be able to express their views, to communicate their choices and to resolve services, or to participate in decision making. It can enable people to take more responsibility, have choice and control over the decisions which affect their lives.	1 year	Adults Social Care	Health and Social Care	Mark Astbury	Manvinder Kaur	Cabinet approved the Approval to Tender Strategy 09/11/2017 and delegated the award on 13/02/2019. The contract period was for an initial term of 2 years with the option to extend for a further 1 year. Delegated Extension Award Report signed 23/10/2020.	POHWER	£1,408,000	Graeme Betts / Alison Jarrett	01/04/2021
Delegated Extension Award	Provision of Housing Major Adaptations	P0344	For the provision of Supply and Installation of lifting equipment (Lot 1) and Adaptations (Lot 2).	Up to 1 year	Adults Social Care	Health and Social Care	Mark Astbury	Afsaneh Sabouri / Satinder Bains	Approval to Tender Strategy for the provision of Housing Major Adaptations (P0344) signed 20/09/2016 and delegated award to CO. Delegated Award Report signed 05/07/2017. Delegated Extension Award Report signed 23/10/2020.	Lot 1: Supply and installation of lifting equipment - Able Access UK - Dolphin Midlands Ltd Lot 2: Provision of Adaptations Works - Able Access UK Ltd - Bickford Construction - Hardyman Group Ltd - Fortem Solutions Ltd - Laker BMS Ltd - Goodwells Ltd - 3MSGold Services Ltd - S. Kilaure Construction Ltd	£4,400,000	Graeme Betts / Alison Jarrett	01/11/2020
Strategy / Award	Supply of Bulk Liquid Fuels	UE3A, 2020	Provision of bulk fuel.	2 years	Finance and Governance	Finance and Resources	Lee Bickerton	Andrea Webster	Cabinet approved to Approval to Tender Strategy Report on 08/09/2020 and delegated the award to CO. Delegated Award Report signed 29/10/2020.	Certas Energy UK Ltd	£3,200,000	Alison Jarrett	01/11/2020
Strategy / Award	Project Management Services for the Clean Air Zone (CAZ) Programme	P0608	To support the development and delivery of the CAZ Programme and its various workstreams.	5 months	Inclusive Growth	Transport and Environment	Simon Ansell	Stephen Arnold / Siobhan McDonald	Presented to Cabinet for info 11/02/2020. Strategy / Award Report signed 29/10/2020.	Turner & Townsend Project Management Ltd	£200,000	Ian MacLeod / Alison Jarrett	30/10/2020
Strategy / Award	Specialist Programme Resources to support the corporate delivery plan	P0707	The leadership of the Council is re-shaping its 2022 Delivery Plan to re-examine every aspect of what the Council does, how it is organised and what it needs to deliver over the next two years and beyond. There is a need for external skills and specialisms to support the Council in the delivery programmes / packages of work identified during the design phase of the 2022 Delivery Plan and its associated programmes, that is expected to conclude in December.	Various dates	Finance and Governance	Finance and Resources	Lee Bickerton	Andrea Webster	Presented to Cabinet for info 13/10/2020. Strategy / Award Report signed 02/11/2020.	To be determined at each call off & reported under the delegations set out in the Cabinet report	Up to £2m	Alison Jarrett	30/11/2020
Strategy / Award	Northgate Estate Refresh	P0699	Currently the Council has 29 individual contracts covering all aspects of Northgate software estate, these are simplified as 3 main areas: Housing, Revenue & Benefits and Environment & Planning. All contracts presently have a multitude of end dates and term lengths, it is the aim of this exercise to reduce the number of live contracts down to 3 and have a uniform contract date across all 3.	3 years with an option to extend for a further period of up to 2 years	Digital and Customer Services	Deputy Leader	Lee Bickerton	Rhona Bowditch	Presented to Cabinet for info 13/10/2020. Delegated Award Report signed 03/11/2020.	Northgate Software Estate	£4,050,000	Peter Bishop / Alison Jarrett	03/11/2020
Delegated Award Report	Clean Air Zone IT Back Office Support and Maintenance	TBC	The IT solution for the Clean Air Zone (CAZ) is currently in development and will require ongoing support and maintenance covering: •Communications •Hosting •Service Support •Annual Ground Truthing •Reactive / Fault Support •Software Support	3 years with the option to extend for an additional 12 months on 2 occasions	Inclusive Growth	Transport and Environment	Simon Ansell	Steve Wootton / David Waddington	Presented to Cabinet for info 08/09/2020. SCN signed 11/11/2020. Delegated Award Report signed 11/11/2020.	Siemens Mobility Limited	£2,300,000	Ian MacLeod / Alison Jarrett	01/01/2021
Strategy / Award	Provision of ServiceNow Implementation Services	P0694	ServiceNow is the IT Service Management (ITSM) toolset which has been used to deliver services to the Council. The Council requires external expert support to design and implement the 'out-of-the-box' version.	1 year	Digital and Customer Services	Deputy Leader	Lee Bickerton	David Waddington	Presented to Cabinet for info 08/09/2020. Strategy / Award Report signed 11/11/2020.	Methods Business and Digital Technology Limited	£480,000	Jane Fallon / Alison Jarrett	16/11/2020
Delegated Award Report	Construction Management Services		For the construction of the Perry Barr residential scheme that would have housed the athletes during games time.	3 years	Inclusive Growth	Leader	Guy Olivant	James Hamilton / Charlie Short	Presented to Cabinet for info 08/09/2020. SCN signed 28/10/2020. Delegated Award Report signed 11/11/2020.	The Management Recruitment Group Limited	£750,000	Ian MacLeod / Alison Jarrett	12/11/2020
Delegated Award Report	Asbestos Removal, Demolition and Reclamation Works at Wellhead Lane, Perry Barr	P0575	For the asbestos removal, demolition and reclamation works at Wellhead Lane, Perry Barr.	28 weeks	Inclusive Growth	Leader	Guy Olivant	Mohammed A Islam / Charlie Short	The Commonwealth Games – Athletes Village report to the Leader approved the commencement of the procurement activity and delegated the award of the contract in the Commonwealth Games – Athletes Village report approved by the Leader and Cabinet Member for Finance and Resources jointly with the Director, Inclusive Growth and Chief Finance Officer dated 6th June 2019. Delegated Award Report signed 11/11/2020.	PBM Contractors Limited	£250,225	Ian MacLeod / Alison Jarrett	04/01/2021

Type of Report	Title of Procurement	Ref	Brief Description	Contract Duration	Directorate	Portfolio Finance and Resources	Finance Officer	Contact Name	Comments - including any request from Cabinet Members for more details	Contractor(s) Awarded to	Value of Contracts		Chief Officer	Actual Go Live date
											Value of Initial Period	Value of Potential Extension		
Delegated Award Report	Domestic Abuse Wellbeing Hub for Victims of Domestic Abuse	P0577B	Provision of Domestic Wellbeing Hub for Victims of Domestic Abuse.	4 years	Adults Social Care	Health and Social Care	Mark Astbury	Kalvinder Kohli / Marie Kennedy	Cabinet on 16/04/2019 for 'Putting Prevention First: Commissioning & Procurement Strategies for Vulnerable Adults Housing and Wellbeing Services on 16/04/2019 delegated to CO the Approval to Tender Strategy Report on 27/08/2020. Delegated Award Report signed 12/11/2020.	Birmingham and Solihull Women's Aid	£1,787,996		Graeme Betts / Alison Jarrett	01/12/2020
Delegated Award Report	Direct Payments Support Services	P0512	For the provision of Direct Payment Support Services to provide a range of support to citizens using Direct Payments, such as: a. Ongoing advice and support b. Advising citizens of client contributions c. Personal Care Assistant recruitment d. Managed accounts – managing citizen's Direct Payment where they are unable to do so e. Payroll and HMRC services f. Arranging Carer and Personal Assistant Insurance g. Arrange DBS checks	4 years	Adult Social Care and Health	Health and Social Care	Mark Astbury	Chris MacAdams	Presented to Cabinet for info 17/09/2019. Approval to Tender Strategy Report signed 07/07/2020 and delegated the award to CO. Delegated Award Report signed 20/11/2020.	1) Ideal for All 2) PeoplePlus Group Ltd 3) Penderels Trust	£2,400,000		Graeme Betts / Alison Jarrett	01/12/2020
Strategy / Award	Provision of Cleaning Services for Temporary and Shelter Accommodation	P0254	The service is for cleaning services (including out of hours cleaning for housing management) for sheltered housing blocks, low rise blocks, and homeless disburser temporary accommodation sites around the city. The requirement will be tendered by lot by geographical area: • South and East Quadrants and • North and West Quadrants	1 year, 6 months	Neighbourhoods	Homes and Neighbourhoods	Carl Tomlinson	Andrea Webster	Presented to Cabinet for info 10/11/2020. Strategy / Award Report signed 24/11/2020.	1) H-Spec Facilities Plc (South and East Quadrants) 2) Ideal Cleaning Services Ltd (North and West Quadrants)	£1,100,000		Rob James / Alison Jarrett	20/04/2021
Delegated Award Report	Provision of ICT Consultancy Services	P0696	To assist the Council in delivering Phase 2 of the Insight Programme and to support the Front Door process review.	1 year with 1 year option to extend	Finance and Governance	Finance and Resources	Lee Bickerton	David Waddington	Presented to Cabinet for info 15/09/2020. Strategy / Award Report signed 27/11/2020.	Methods Business and Digital Technology Ltd	£1,000,000	£1,000,000	Jane Fallon / Alison Jarrett	30/11/2020
Delegated Award Report	Increase in Expenditure - Technical Professional Services to support the Perry Barr Regeneration Scheme		Requirement to increase the expenditure and contract duration of the technical professional services to support the Perry Barr Regeneration Scheme (PBRs).	1 year	Inclusive Growth	Leader	Guy Olivant	Mohammed Islam / Charlie Short	Cabinet approved the Commonwealth Games Village and the Wider Perry Barr Regeneration Programme - Outline Business Case dated 26/06/2018. Delegated Award Report signed 15/12/2020.	WYG Engineering Ltd	£350,350		Ian MacLeod / Alison Jarrett	16/12/2020



Resources O&S Committee: Work Programme 2020/21

Chair	Councillor Sir Albert Bore
Deputy Chair	Councillor Lisa Trickett
Committee Members:	Councillors Muhammad Afzal, David Barrie, Meirion Jenkins, Yvonne Mosquito, Brett O'Reilly and Paul Tilsley
Committee Support:	Scrutiny Team: Emma Williamson (464 6870) and Jayne Bowles (303 4810) Committee Manager:

1 Meeting Schedule

Date	Item	Officer contact
18 June 2020 1430 hours Deadline for reports: 9 June	Financial Outturn 2019/20	Rebecca Hellard, Interim Chief Finance Officer
	Birmingham Children's Trust Outturn 2019/20	Andy Couldrick, Chief Executive/Andrew Christie, Chair, Birmingham Children's Trust
	Budget Implications of Covid-19 Activity	Rebecca Hellard, Interim Chief Finance Officer
23 July 2020 1200 hours Deadline for reports: 14 July	Quarter 1 Financial Outturn 2020/21	Rebecca Hellard, Interim Chief Finance Officer
	Neighbourhoods Directorate (deferred)	Rob James, Acting Director, Neighbourhoods/Darren Share, AD, Street Scene
10 September 2020 1300 hours Deadline for reports: 1 September	Neighbourhoods Directorate	Rob James, Acting Director, Neighbourhoods/Julie Griffin, Acting AD, Housing/Darren Share, AD, Street Scene
	CWG Athletes Village	Rebecca Hellard, Interim Chief Finance Officer/Craig Cooper, Programme Director, CWG/Guy Olivant, Major Developments Lead
	Financial Monitoring 2020/21 – Month 4	Rebecca Hellard, Interim Chief Finance Officer



15 October 2020 1400 hours Deadline for reports: 6 October	Financial Monitoring 2020/21 – Month 5	Rebecca Hellard, Interim Chief Finance Officer
	In-Reach – Financial Issues	Colette McCann, Head of Housing Development/Aniekan Umoren, Interim AD Housing Development
12 November 2020 1000 hours Deadline for reports: 3 November	Financial Monitoring 2020/21 – Quarter 2/Month 6	Rebecca Hellard, Interim Chief Finance Officer
	Medium Term Financial Plan Refresh	
10 December 2020 1400 hours Deadline for reports: 1 December	Financial Monitoring 2020/21 – Month 7 School Deficits Risk Issues around Commercial Property	Rebecca Hellard, Interim Chief Finance Officer/Sara Pitt, AD, Service Finance
14 January 2021 1400 hours Deadline for reports: 5 January	Financial Monitoring 2020/21 – Month 8	Rebecca Hellard, Interim Chief Finance Officer
	CIPFA “deep dive” review – Neighbourhoods Directorate	Rebecca Hellard, Interim Chief Finance Officer/Rob James, Acting Director, Neighbourhoods
11 February 2021 1400 hours Deadline for reports: 2 February	Financial Monitoring 2020/21 – Quarter 3/Month 9	Rebecca Hellard, Interim Chief Finance Officer
	Engagement of Agency Workers, Consultants and Interims	Jon Lawton, Cabinet Support Officer/Tim Normanton, AD, HR (Acting)
11 March 2021 1400 hours Deadline for reports: 2 March	Financial Monitoring 2020/21 – Month 10	Rebecca Hellard, Interim Chief Finance Officer
8 April 2021 1400 hours Deadline for reports: 30 March		

2 Items to be programmed

- 2.1 Planned Procurement Activities Report to be a standing item on all meeting agendas
- 2.2 CityServe – Review *(due to go to Cabinet in April)*



2.3 Perry Barr Regeneration Scheme - Full Business Case Update *(due to go to Cabinet in April)*

3 Other Meetings

Call in

25 August 2020	Birmingham Clean Air Zone (CAZ): Implementation of Main CAZ Infrastructure – Civil Engineering and Cameras Solution – Full Business Case (FBC)	Called in
8 February 2021	Provision of Legal Advice for the Birmingham Smithfield Development Increase in Call Off Contract Value	Called in

Petitions

None scheduled

Councillor Call for Action requests

None scheduled

4 Forward Plan for Cabinet Decisions

008597/2021	Draw Down of Monies from Budget for Early Help Interim Funding	16 Mar 21
008576/2021	Outturn Report 2020-21	18 May 21

Leader		
008321/2021	Recommendation Regarding Tenders for the Sale of Various Land and Property Interests, Birmingham	16 Mar 21
008304/2021	Covid-19 Economic Recovery Strategy	16 Mar 21
008307/2021	Perry Barr Regeneration Scheme – Full Business Case Update	20 Apr 21

Cabinet Member for Finance and Resources		
007787/2020	Procurement Strategy for supply of non-permanent workers & permanent recruitment solutions	16 Mar 21
008590/2021	Revised Full Business Case for the Future Delivery of the Council's Finance & HR Systems	16 Mar 21
007223/2020	Cityserve – Review	20 Apr 21
008504/2021	Refurbishment and conversion of the former Youth Court to relocate the Coroners Service	20 Apr 21
008616/2021	Contract Extension – Civil Parking Enforcement Services (P129)	20 Apr 21

Cabinet Member for Street Scene and Parks		
007349/2020	Waste Vehicle Replacement Programme	18 May 21

Cabinet Member for Transport and Environment		
006832/2019	Highway Maintenance and Management PFI Contract	16 Mar 21
008501/2021	Highway Maintenance and Management PFI Contract	20 Apr 21

