

Birmingham City Council

Report to Cabinet

Date: 14th February 2023



Subject: PREVENTION AND COMMUNITIES GRANTS
PROGRAMME REDESIGN

Report of: Professor Graeme Betts, CBE
Strategic Director for Adult Social Care

Relevant Cabinet Member: Cllr Mariam Khan - Health & Social Care
Cllr Yvonne Mosquito - Finance & Resources

Relevant O &S Chair(s): Cllr Mick Brown - Health & Social Care
Cllr Akhlaq Ahmed - Resources

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Are specific wards affected?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No – All wards affected
If yes, name(s) of ward(s):		
Is this a key decision?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
If relevant, add Forward Plan Reference: 010912/2023		
Is the decision eligible for call-in?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Does the report contain confidential or exempt information?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If relevant, state which appendix is exempt, and provide exempt information paragraph number or reason if confidential:		

1 Executive Summary

- 1.1 This report seeks authority to implement the Commissioning Plan as set out in para 4 of this report for the recommissioning of the Prevention and Communities Grants Programme (P&C Programme). Current grants expire on 30th September 2023.
- 1.2 The report also provides details of the redesign of the Information Advice and Guidance contracts (IAG), included in the commissioning plan, as grants for the new P&C Programme. The current contracts end 30th September 2023.
- 1.3 The proposed commissioning will be via a grants application, assessment, and award process for a period of three years commencing on 1st October 2023 with an option to extend for a further two years, subject to satisfactory performance, budget availability and delegated award report. The value of the P&C Programme is £2,745m per annum including the IAG contracts, which is further explained below. There is no change to the overall budget requirement for the P&C Programme since the last commissioning round in 2019.
- 1.4 The P&C Grants Programme supports the delivery of the Council's vision for Adult Social Care and transformation journey by investing in preventative approaches to support older people, people with disabilities and/or people with mental health conditions. Delivered through communities and community assets, it enables better outcomes for citizens i.e., increased social participation, thus reduced social isolation and loneliness; living healthier, both in terms of wellbeing and physical health; maximised income and reduced debt; increased independent living in their own homes and communities for as long as possible; and unpaid carers being supported in their role as carers but also as individuals. Outcomes for Adult Social Care will include more appropriate management of demand, avoiding, reducing, delaying the need for higher cost statutory care.
- 1.5 Cabinet approval for the current P&C Grants Programme was given in May 2019 – in the cabinet report: **Putting Prevention First: Investing in Communities**. The P&C Grants Programme was recommissioned in October 2019 for a period of 2 years and extended during the pandemic for a further 2 years.
- 1.6 The commissioned services will directly support the delivery of the Council's key strategies and corporate priorities: Vision and Strategy to Modernise Adult Social Care 2017, Vision for Adult Social Care Refresh 2020, Prevention First Strategy 2017+, which are supported through the Adult Social Care Putting Prevention First Commissioning Agenda 2017+, Care Act 2014, the Early Intervention and Prevention Transformation Programme, ASC CQC Assurance Framework, and the Localism Agenda.

2 Recommendations

That Cabinet:

- 2.1 Approves the contents of this report and to implement the Commissioning Plan, and the commencement of grant application, assessment, and award process activity for P&C Grants Programme in accordance with the requirements and approach set out in section 4.
- 2.2 Delegates approval of the outcome of the commissioning plan and award of grants to the Director of Commissioning, Adult Social Care, in conjunction with the Cabinet Member, Health and Social Care.
- 2.3 Delegates authority to the Director of Commissioning, Adults Social Care to adapt the Commissioning Plan, as required, in response to the outcomes of the commissioning process, and/or commissioning developments with partners, where this is in the Council's benefit, delivers best value and is within the approved budget.
- 2.4 Delegates authority to the Strategic Director of Adults Social Care and Director of Commissioning, Adult Social Care in conjunction with the Cabinet Member for Health and Social Care, to extend the grants awarded at 2.2 for up to 2 years, subject to funding availability and satisfactory performance
- 2.5 Authorises the City Solicitor and Monitoring Officer to negotiate and execute any documents to give effect to the above recommendations.

3 Background

- 3.1 The Vision and Strategy to Modernise Adult Social Care 2017 (refreshed in 2020), recognised the need for a preventative approach to manage the increasing demand to social care. The move to a strength-based model for social work, known as the Three Conversations Framework, focused on connecting citizens to their local assets and resources, to enable citizens to live independently within their own homes and communities.
- 3.2 This shift in approach also required a shift in investment 'upstream' into prevention activities within communities to complement the Three Conversations social work approach. The corporate delivery plan has recently been refreshed with an emphasis on a Council wide approach moving from crisis to prevention. The work of the Adult Social Care Directorate, in investing in community assets through initiatives such as the P&C Programme and Neighbourhood Networks Services, provides community infrastructure for the Early Intervention and Prevention Programme.
- 3.3 Birmingham's Levelling up Strategy November 2021 sets out a vision to increase growth and harness it to create a fairer, stronger city, where our citizens live longer, healthier, and happier lives with opportunities to shape their own lives and communities, and to overcome long-standing inequalities. The Prevention and Communities programme contributes to this agenda by providing support to citizens within the local community, allowing them to make choices about support that is required, community resilience, overcoming isolation and enables them to be active citizens.

- 3.4 Birmingham is an incredibly diverse city with a rich tapestry of community assets, creating opportunities for communities to live happy, healthy, and independent lives for as long as possible. This programme harnesses these opportunities to respond to some of the biggest challenges for Adult Social Care, such as ageing population, longer years lived in ill health, inequalities exasperated by the pandemic and social/economic impact of the Cost-of-Living Crisis.
- 3.5 Prevention and Communities Grants Programme forms part of a wider Adult Social Care investment in community-based prevention activities for citizens.
- The programme provides support, compliments and is aligned to:
- Three Conversations (strength-based Social Work practice)
 - Neighbourhood Network Schemes
 - Community Network Support Service
 - Information Advice Guidance (IAG) Strategic Framework
 - Prevention and Early Intervention Transformation Programme
- 3.6 The P&C programme, including IAG contracts support:
- Improved health and prevention outcomes for citizens
 - Improved experience of support for vulnerable and older adults including family members/carers through active engagement in their own care support needs
 - Better and earlier identification of care needs via engagement with non-statutory organisations
 - Cost avoidance due to prevention, delay, or reduction in the need for high end cost provision by ASC and Health
- 3.7 The current P&C Programme is delivered by 45 grantees and offers:
- Mental Health-low level support
 - Centre Based activities
 - Specialist support e.g.: bereavement, hoarding
 - Tackling loneliness and isolation
 - Information, Advice, Guidance
 - Support to those with specific health conditions and from diverse communities
- 3.8 The current 3 IAG contracts offer support for citizens who have a new diagnosis or significant change related to:
- Visual Impairment
 - Hearing impairment
 - Physical disabilities and associated conditions

To support citizens (and their carers) with the lifestyle adjustments required after a significant health change; help them navigate through complex health, social, care and benefits systems; and maintain independence.

3.9 The P&C services contributes to one or more of the following Prevention First Outcomes:

- Improved social participation
- Healthier lifestyles
- Maximised income
- Living independently in their own homes
- Carers feeling more supported

3.10 The current P&C grants and IAG contracts expire on 30th September 2023 with the new grants programme expected to start on 1st October 2023. It is intended that the grants will run for three years with the option to extend for a further two years, subject to performance and available budgets. The relevant break clauses will be inserted into the grants agreements to reflect this.

3.11 Services were reviewed on a quarterly basis. Providers submitted quarterly performance and financial data, which include quantitative and qualitative data, evidencing outcomes and standards of delivery. Good evidence includes stories of difference, demonstrating support and impact of the delivery. Due to the past two years of Covid and the impact on these services, it was decided to take a 'light touch' approach in reviewing and evaluating this programme. Providers were flexible, amending delivery in response to new emergency needs and responding to requests from ASC to support citizens. From October 2022 and for the new programme 2023+, BVSC Research will provide a formal evaluation methodology to include citizen impact measures, equalities, and demographic information, so that Commissioners can continue improving the offer and make it more accessible to all communities.

Between 2019 and 2021 the P&C Grants Programme achieved:

- 55,000 citizens supported, of these, approximately 25,000 were new citizens
- Around £5 million income maximisation/debt recovery
- Incredible response to covid19
- Strong case studies showing impact on the lives of citizens supported
- New, innovative partnerships within the sector
- Assets securing additional investments as a direct result of our funding
- Increased and effective relationships between the statutory and VCSE sector
- Savings made by ASC proves the power of investing in the VCSE sector in Birmingham
- The best RAG rating results in the history of the programme – transitioned from a deficit-based approach prior to 2018 to a strategically aligned programme with capacity building and support. Reflection on BVSC's and Commissioning Team's support

- End of year 2 satisfaction survey response displayed near 100% satisfaction rate with Commissioning Team's support and engagement

4 Commissioning Plan

4.1 Based on the intelligence from a range of relevant sources, through engagement initiatives with over 520 stakeholders, including: provider market feedback, social work teams, citizens, community organisations, internal and external partners, performance data. Combined with a review of current and emerging strategies, such as Day Opportunities redesign, Staying Independent at Home Policy, NNS, etc. Commissioners revised and identified priorities that best meet the outcomes to support the programme. These priorities are split into the following subgroups:

- Social Participation
- Healthier Lifestyle
- Income maximisation
- Staying Independent

Also taking into consideration overarching developments of Asset Based Community Development (ABCD) and partnership working across community and voluntary organisations.

4.2 These are the priorities going forward that best match the support required to meet the prevention and early intervention support required to keep citizens happy healthy and have independent lives in their communities and homes for as long as possible. This is a change to previous Prevention and Communities Programme priorities.

4.3 The revised priorities also support a wider range of service applications and open new opportunities, allowing creativity and flexibility for organisations to bring new innovative ideas to the prevention world. The revised priorities and inclusion of IAG within this redesign will:

- Address any duplication of services
- Develop a joined-up service to support key areas of needs city wide and communities of need within localities
- Bring together learning from IAG contracts together with learning from IAG grants
- Re design the services to offer more flexibility to change with the demands and needs of citizens as proven by the successful adaptation of grants during the covid emergency response. This met increased demand and urgency/complexity of needs and situations
- Allow for 1 programme development supporting seamless support and joint development of services

- 4.4 The previous P&C Programme restricted 2 subgroups: Community and Day Opportunities and Early Intervention for providers from the old Third Sector Grant Programme (this was a historical grant giving programme in place for over 10 years). This was to maintain the continuity of community support and allow providers to develop and reshape services in line with the new Prevention First Outcomes Framework, build resilience within the organisations to be able to seek additional and alternative funding for the stability and security of the organisations' future. The providers have been supported by BVSC to develop this capacity. Moving forward there will be no ring fencing of subgroups for previous providers from the old Third Sector grant programme.
- 4.5 Two subgroups New and Emerging and Community Inclusion were open for new, smaller providers with a turnover under £1m. This was very successful and evidenced the opportunity that this can bring new ideas for citizen support e.g.: services were commissioned across hoarding/ digital access inclusion, which have proved to be some of the most successful grants of the previous 3 years.
- 4.6 The reason for the change from IAG contracts to grants is caused by the current IAG landscape. There is a need to review the IAG provision across the city and align it, looking at it through a citizen's lens and how we support citizens to support themselves in the first instance, self – navigate IAG, or supported navigation of IAG. The intention being that citizens access the information and support earlier and thus reducing avoidable demand on public services.
- 4.7 Early Intervention and Prevention Transformation Programme will undertake this work over the next couple of years.
- 4.8 Grant provision enables the application of flexibility in delivery, both in terms of geographical priorities as well as methods of delivery such as face-to-face, digital etc. meaning providers can be directed to where greatest need is at the time. Birmingham City Council does not currently provide specialist income maximisation/IAG catering for vulnerable adults' needs outside of this programme. It is paramount that this provision exists alongside the wider prevention and early intervention services, supporting citizens in their communities and through organisations they trust the most. This supports all major policy and regulatory requirements, making Birmingham a city, which is focused on making sure no citizen goes without support.
- 4.9 Market intelligence is good and connects to the work undertaken by NNS that there are a vast range of community organisations that are linked and work alongside NNS in connecting local assets and resources, to enable citizens to live independently within their own homes and communities. All providers will work towards linking with the NNS schemes and capacity building, which supports the delivery of the vision for Adult Social Care and the Prevention First Outcomes Framework

- 4.10 All subgroups will be open to new and existing applicants. Support will be provided by BVSC and the commissioning team for current providers and new applicants, this will continue throughout the commissioning process and award and mobilisation of the new grants.
- 4.11 The programme subgroups are consistent with and connect to cross-cutting priorities within the Council, investment, and support of the city's voluntary, community and social enterprise sectors, as well as emerging social prescribing practices.
- 4.12 The Commissioning process includes: application form, technical assessment, citizens panels and an award panel. Where possible and appropriate, officers from different parts of the Council will be engaged in the evaluation and award processes for grants included in the scope of this report, to bring additional expertise and knowledge, as well as to joined up decision making and planning.
- 4.13 Main themes captured from co-design events:
- Advice and Support (including peer-to-peer)
 - Arts and Culture
 - Bereavement, personal loss, and death
 - Centre Based activities
 - Digital inclusion
 - Employment, volunteering, and skills
 - Housing and hoarding
 - Sport and physical activity
 - Transport and mobility

Main priorities from the 2019 commissioning round were largely repeated by the stakeholders in this year's recommissioning co-design events. However, there was more detail provided around geographical priorities as well as further detail on what we should concentrate on within each of these priorities, giving commissioners more scope to remain focused as opposed to overly generic. This in turn will allow for a clearer understanding of delivery and outcomes met.

Priority areas raised by stakeholders relevant to other specialist commissioning teams have been fed back to them i.e., employment/skills; substance misuse; migrant/refugee; domestic abuse etc.

Client groups requiring services:

- Carers
- Older Adults
- Citizens with disabilities (particularly younger adults)

Geographies requiring additional services across the localities:

- Edgbaston – Bartley Green, Quinton

- Hall Green – Sparkbrook, Sparkhill, and Balsall Heath
- Ladywood – Newtown, Highgate, Nechells, Aston, Soho, Jewellery Quarter
- Northfield – Rubery, Frankley
- Hodge Hill – Alum Rock, Ward End, Small Heath, Bromford, Washwood Heath
- Selly Oak - Brandwood, Kings Health, Druids Health, Moneyhull, Billesley, Highters Heath, Masefield
- Sutton – Falcon Lodge, Reddicap, Trinity
- Erdington – Stockland Green, Kingstanding and Erdington
- Yardley – Sheldon, Garretts Green and Acocks Green
- Perry Barr – Birchfield, Handsworth, Holyhead, Lozells, Perry Barr, Handsworth Wood

Proposed new priorities (subgroups)

Based on stakeholder feedback from 2019 (lessons learned), in relation to bidding against all Prevention First Outcomes without being able to evidence them all, commissioners decided to group the subgroups against the Citizen outcome only, which forms part of the Prevention First Outcomes Framework, and it includes: social participation, healthier lifestyles, income maximisation, staying independent. Commissioners will design the application process in a way that enables providers to bid against more than one subgroup but not all of them to give other organisations a fair chance to bid. Furthermore, the design will enable at least one or two subgroups to target small and micro-organisations with an annual turnover of under £1m.

Social Participation - £900k

Social participation includes a range of activities: hobbies, friendships, clubs for the over 50's, clubs for citizens with a common interest, volunteer activities, community events

- **Centre Based Activities** – activities supporting citizens in a centre (trips, indoor/outdoor activities, digital skill development, workshops) - £650k
- **Arts & Culture** – supporting wellbeing and developing interests/hobbies. This should focus on non-standard A&C activity and bring creativity/innovation - £100k
- **Tackling loneliness and isolation** –befriending (Face to face meetings), volunteer based, provision, which is culturally aware – £150k in all constituencies

Healthier Lifestyles - £615k

Healthier lifestyles - engaging in activities that make us feel good, promotes good physical, mental and social well-being, improves people's health and overcomes stress

- **Sport** – focusing on making citizens healthier and happier i.e., falls prevention, weight loss, re-connecting with communities - £100k
- **Mental Health** – Bereavement (peer-to-peer; compassionate communities, providing programmes which are culturally aware), low Mental Health support (common mental health disorders such as anxiety, depression), dementia support (low level) - £300k
- **Healthy communities** – raising awareness workshops, informal advice/guidance, activities for citizens with specific health conditions and/or from communities of interest/identity - £215k

Income maximisation including IAG – city-wide provision - £1m

Help for those experiencing any financial difficulties – income maximisation/debt recovery, includes navigation of the complex social care and health system, and flexibility of delivery (face to face, digital, phone contact, outreach support). This will be specifically for citizens within the following 5 client groups. Values have been calculated based on Birmingham's levels of population per client group (POPPI/PANSI 2022):

- Older Adults - £200k
- Mental Health- £250k
- Learning Disabilities and Autism- £100k
- Physical Disabilities and Neurological conditions: i.e., acquired brain injury, stroke- £200k
- Sensory impairments and loss (hearing/visual)- £250k

Staying Independent - £230k

Support for citizens to remain safe at home, digital inclusion and skills development which keeps citizens connected and able to access wide range of services

- **Hoarding** – 1-2-1 support sessions for citizens who hoard, training/ education for professionals to develop their knowledge of best practice and tools required to successfully work with hoarders (city-wide) - £150k
- **Digital** – older adults specific, basic skill development - £80k

5 Options considered and Recommended Proposal

5.1 The following options have been considered:

5.1.1 Option 1 – Do not recommission the Prevention and Communities Grants Programme (including IAG)

This is not the recommended option for several reasons:

Allowing all P&C grantees and contractors to cease delivery on 31 September 2023 would result in a loss of provision for thousands of vulnerable citizens, damaged partnerships and relationship between BCC and the sector, and erosion of trust built over the last 4 years. This would be particularly acute amongst the communities and active citizens the providers have worked with as it will increase the risk of social and economic instability in the current unstable and volatile environment. It would also slow progress of social work teams sustaining their working practices of adopting the 3 Conversations and strength-based approach to support citizens. Lack of this provision will also likely cause higher numbers of citizens accessing statutory interventions such as social care, homelessness, primary care, such as GPs and A&E. In summary, stopping now would be a waste of the 4-year investment in prevention and early intervention and go against the principles of Adult Social Care's Vision, it'd weaken the asset base that the EI&P Transformation Programme requires for future delivery, Localism Agenda, ICS strategy and the recent Pause and Learn ADASS review. One advantage to this option would be budgetary saving.

5.1.2 Option 2 – Recommissioning the P&C Programme (including IAG) for 2 years

This is not the recommended option for several reasons:

- The initial commissioning process in 2019 was for 2 years however, the pandemic evidenced the need for a long-term commitment to working with the Voluntary and Community Sector, sustainable capacity building, and opportunities to draw additional external funding directly into the sector thus increasing the non-Birmingham pound. This in turn enables impactful, stable, and embedded sector infrastructure is in relation to emergencies. Similarly, this is now being yet again tested through the Cost-of-Living Crisis with several providers becoming Warm Welcomes and ready to mobilise an emergency response.
- Each commissioning process takes 12-18 months. Having Cabinet approved arrangements for 2 years only means commissioners must start the re-commissioning process less than 12 months into delivery. This approach puts pressures on our human resource capacity and makes it impossible to evidence full outcome realisation. This approach works better for proof-of-concept projects as opposed to embedded provision, responding to top priorities for ASC and the communities of Birmingham.

- Feedback from various sources researching pandemic's impact on Voluntary and Community Assets (such as the BVSC state of the sector survey, Locality, New Local) shows that one of the main recovery asks from the sector is long-term funding; funded organisations can then enter a period of stability and build strong relationships with the communities they support. Short-term funding regularly threatens the ability of assets to survive, particularly the small and medium organisations who often rely on grant funding to sustain. As the organisation grows, secured long-term grants/contracts are attractive to investors and social loan companies/asset transfer processes – this provides an opportunity for assets to secure investments to manage properties, which in turn provide more opportunities to cover core costs with unrestricted funds through rentals/social enterprising. Supporting this crucial step in assets' business development provides a stable and growing market for citizens to access and economy to grow.

5.1.3 Option 3 – Recommissioning the P&C Programme (including IAG as contracts rather than grants) for 3+2 years

This is not the recommended option for several reasons:

The current IAG provision across the city requires a full review and an alignment activity, looking at it through a citizen's lens and how we support citizens to support themselves in the first instance, self – navigate IAG, or supported navigation of IAG. The intention being that citizens access the information and support earlier and thus reducing avoidable demand on public services. Grant provision, as opposed to contracts, enables the application of flexibility during the lifespan of delivery, both in terms of geographical priorities as well as methods of delivery such as face-to-face, digital etc. meaning providers can be directed to where greatest need is at the time. The Early Intervention and Prevention Programme will review and align the IAG provision over the next few years however, because Birmingham City Council does not currently provide specialist income maximisation/IAG catering for vulnerable adults' needs outside of this programme, it is paramount that this provision exists alongside the wider prevention and early intervention services, supporting citizens in their communities and through organisations they trust the most. To continue delivering these crucial services effectively over the next few years in a time of change and emerging new pathways, the flexibility of grants provision is required, and therefore for this recommissioning activity, the option of continuing the IAG provision as contracts has been rejected.

5.1.4 Option 4 – Recommissioning the P&C Programme (including IAG as grants) for 3+2 years (preferred option)

This preferred option gives citizens the opportunity to access support and build their confidence to run the initiatives they require for longer. Successful assets will receive sustainable, long-term funding and the opportunity to work with BCC and BVSC to respond to any emergencies or shifts in delivery as required, particularly given the future changes to the IAG landscape. Commissioners will have the capacity to monitor and evidence outcomes measures in a more sustained way. Crucially, this option supports ASC's and BCC's desire to move from crisis to prevention. It supports the vision for citizens to be happier, healthier, and more independent in their communities for as long as possible. Supporting this option will truly realise the "Be Bold, Be Birmingham" approach.

Indicative implementation plan

Cabinet Approval (Commissioning Plan)	February 2023
P and C Application opened	March 2023
P and C Application closed	April 2023
Evaluation Period	May-June 2023
Grant Award	July 2023
Mobilisation	August - September 2023
Grants Start	Oct 1 st 2023

6 Consultation

- 6.1 The Cabinet Member for Social Justice, Community Safety & Equalities has been consulted on the proposal and supports the recommendations.
- 6.2 The Vulnerable Adults Citizen Panel have been consulted on the proposal and support the recommendations.
- 6.3 Local Elected Members have been engaged as part of the co-design activity with key stakeholders.
- 6.4 Local Social Work teams and other internal stakeholders have been part of the co-design and fed in their priorities to focus on

External Co-design engagement

- 6.5 Co-design activities took place between May and September 2022. Over 500 key stakeholders took part in the events and conversations; social work teams and citizens to begin to develop a picture of what the current market looks like and what we may wish to see in the future. The engagement was a mixture of online and

face to face discussions. This has helped fully inform the revised priorities and what the services should look like. Further targeted engagement, workshops and coproduction activities with provider stakeholders and citizens ran alongside the Be-Heard survey on-line.

- 6.6 Co-design for IAG/income maximisation formed part of the overall co-design strategy and has informed the commissioning plan presented in this report.
- 6.7 There will also be an expectation for organisations submitting bids to have been engaged and consulted with their stakeholders and service users as part of the bid formulation.

Details/ findings from co-design initiatives is provided in **Appendix 2**

Formal consultation was not undertaken, instead over 500 stakeholders have taken part in co-design engagement events and conversations including citizens, Primary Care Networks, Clinical Commissioning Groups, existing providers, social work teams, Adult Social Care stakeholders, wider voluntary, community and public sectors.

7 Risk Management

- 7.1 Risks will be identified, evaluated, and controlled in line with the Birmingham City Council Risk Management Methodology 2017.
- 7.2 A full Risk Register has been developed as part of the project management of this recommissioning. The project management, governance and oversight of this recommissioning will be through the Community Assets Board chaired by the Director of Commissioning (Adult Social Care) and will report through to the Adults Social Care Management Team chaired by the Strategic Director for Adults Social Care.
- 7.3 The risks are all as low as is reasonably possible. The highest risk rating is medium; all have mitigating actions.

8 Compliance Issues:

8.1 How are the recommended decisions consistent with the City Council's priorities, plans and strategies?

The recommended proposal supports the delivery of one or more of our Council's priorities as set out in the Birmingham City Council Plan 2022.

- **Birmingham is an entrepreneurial city to learn, work and invest in:**
Through investment in the voluntary, community, faith, and social enterprise sector, we will create local jobs, apprenticeships and foster innovation in service delivery and outcomes for citizens. We will open-up opportunities for local people to develop skills and share in the benefits of local economic growth. This will include the creation of appropriate opportunities for older

adults and citizens with learning, mental health, physical and sensory disabilities to access volunteering and a longer-term pathway into paid employment.

- **Birmingham is an aspirational city to grow up in:**

We will work in close collaboration with the Early Help, Preparation for Adulthood, Transitions, Neighbourhood Networks, and local partners to embed a “whole life course” approach across all our services. This will create opportunities to inspire and support the cohort of children and young adults who will ultimately move into adult services to develop ambitions and achieve their full potential.

- **Birmingham is a fulfilling city to age well in:**

Expanding the opportunities for older citizens to make meaningful choices about and exercise genuine control over their care and support will ensure they are more resilient and independent. This has been proven to bring positive improvements to the quality of life for citizens and the broader wellbeing of communities.

- **Birmingham is a great city to live in:**

This programme will ensure older adults and citizens with learning disabilities, mental health, physical and sensory support needs are able to access a broad and varied range of community-based activities and opportunities. They will foster greater inclusion and fairness, by enabling these citizens to live happier, healthier, and more independent lives in their own communities.

- **Birmingham residents gain the maximum benefit from hosting the Commonwealth Games:**

We will work closely with the Commonwealth Games Team and Neighbourhood Network Schemes to harness legacy opportunities. This will be led by the Commissioning Team.

8.2 Legal Implications

8.2.1 The Care Act 2014 together with associated regulations and guidance states that a Local Authority must provide a range of services to contribute towards preventing or delaying the need for care and support for individuals and for carers in their area.

8.2.2 Under Section 111 of the Local Government Act 1972, a local authority has the power to take action, which is calculated to facilitate, or is conducive or incidental to, the discharge of any of its functions and therefore has a general power to enter into contracts for the discharge of any of its functions. Section 93 of the Local Government Act 2000 gives the Secretary of State power to award grants to local

authorities for expenditure incurred by them in the provision of welfare services determining by the Secretary of State.

- 8.2.3 The Council has a best value duty under Section 3 of the Local Government Act 1999 to improve the efficiency, economy, and effectiveness of the services that it delivers. The use of an open procurement route will ensure that competitive bids are obtained, and the terms offered by the successful tenderer will deliver best value to the Council.
- 8.2.4 The Council's obligations for this service under the General Data Protection Regulation (GDPR) will be met by conditions in the Conditions of Grant Aid.

8.3 Financial Implications

- 8.3.1 The budget requirement is £2,745m gross annually funded from base budget and Public Health Grant, Adult Social Care.
- 8.3.2 The proposed budget is described below. Grant agreements run between October and September; therefore, annual grant values differ from financial year values. For example, given that the current programme is running until September 2023 (already approved within Adult Social Care base budget), considering the 2023-2024 financial year, approval is only required for October 2023 – March 2024, therefore 50% of the total annual value.

Annual value (April-March financial years)	
2023/24	£1.373m
2024/25	£2,745m
2025/26	£2,745m
2026/27	£1.373m
Total for 3 years	£8,235m
Total for 5 years	£13.725m

- 8.3.3 The proposed fixed price grants will be for an initial period of three years (with option to extend for two years). This is deemed the minimum service delivery and economic period to ensure value and efficiency for the Council and providers. The possibility to extend or not allows the Directorate to manage the contingent nature of some of the funding and the different timeframes, particularly the grant funding.
- 8.3.4 There are currently no proposed savings within this MTFF cycle that has earmarked any of this budget for savings, so no further financial implications to consider
- 8.3.5 This commissioning plan continues the existing investment in this area, integrates it with the agreed strategy on prevention and links it to several innovations and

other grant funded activities, thereby maximising and multiplying the council's own investment with other money and developments in services.

There is a need for comprehensive monitoring, outcomes, and review of performance to inform both grant management and future strategies and commissioning plans relevant to prevention strategy.

8.4 Procurement Implications

- 8.4.1 No procurement implications identified – P&C Programme will be fully commissioned using Conditions Grant Aid agreements. Grant recipients will be required to pay the Real Living wage, and should any grant allocations amount to over £200,000, those organisations will be required to become signatories to the Birmingham Business Charter for Social Responsibility.

8.5 Human Resources Implications (if required)

- 8.5.1 Only the 3 IAG contracts transitioning to grants for the new P&C Grants Programme 2023+ may be subject to the Transfer of Undertakings (Protection of Employment) Regulations (TUPE) however in this instance no Council employees will be affected. The Council's role will be to facilitate the transfer of relevant information between the incumbent providers of existing services and perspective bidders without providing any indemnity regarding the accuracy of information provided.
- 8.5.2 The commissioning plans and subsequent grant awards and grant management will be undertaken by staff within the Adult Social Care Directorate in conjunction with Finance, Legal and Procurement colleagues.

8.6 Public Sector Equality Duty

- 8.6.1 An Initial Impact Assessment has been carried out and no adverse impacts have been identified and a Full Impact Assessment is not recommended at this stage. Any potential impacts that emerge during the commissioning plans will be monitored and mitigated inherently within the process and managed via the Risk Management process. Risk logs will be maintained to allow further considerations and will include grant award and mobilisation. **See Appendix 1** for the Initial Equality Assessment.

8.7 Environmental and Sustainability Implications

- 8.7.1 Environmental and Sustainability Assessment has been carried out and no adverse impacts have been identified. Any potential impacts that emerge during the commissioning plans will be monitored and mitigated inherently within the process and managed via the Risk Management process outlined in this report. **See Appendix 3** for the Environment and Sustainability Assessment.

9 Appendices

- 1. Equality Assessment

2. Co-design Engagement Summary
3. Environment and Sustainability Assessment

10 Background Documents

- Birmingham City Council Plan: 2022-2026
- Health and Well-being Strategy
- Vision and Strategy for the Modernisation of Adult Social Care Cabinet Report October 2017 (Vision Refresh 2020).
- Putting Prevention First: Delivering the Vision for Adult Social Care and Health Cabinet Report November 2017
- Putting Prevention First: Investing in Communities Cabinet Report in May 2019