

BIRMINGHAM CITY COUNCIL

CO-ORDINATING OVERVIEW AND SCRUTINY COMMITTEE

FRIDAY, 09 JULY 2021 AT 10:00 HOURS
IN ON-LINE INFORMAL MEETING, MICROSOFT TEAMS

A G E N D A

1 NOTICE OF RECORDING/WEBCAST

The Chair to advise/meeting to note that this meeting will be webcast for live or subsequent broadcast via the Council's meeting You Tube site (www.youtube.com/channel/UCT2kT7ZRPFCXq6_5dnVnYlw) and that members of the press/public may record and take photographs except where there are confidential or exempt items.

2 APOLOGIES

To receive any apologies.

3 DECLARATIONS OF INTERESTS

Members are reminded that they must declare all relevant pecuniary and non pecuniary interests arising from any business to be discussed at this meeting. If a disclosable pecuniary interest is declared a Member must not speak or take part in that agenda item. Any declarations will be recorded in the minutes of the meeting.

1 - 56

4 COUNCIL FINANCIAL MANAGEMENT CAPABILITY: CIPFA

Councillor Tristan Chatfield, Cabinet Member for Finance & Resources in attendance.

57 - 60

5 REVIEW OF BIRMINGHAM BUSINESS CHARTER FOR SOCIAL RESPONSIBILITY

Councillor Tristan Chatfield, Cabinet Member for Finance & Resources and Rokneddin Shariat, Procurement Strategy and Development Manager in attendance.

6 **WORK PROGRAMME**

For discussion.

7 **OTHER URGENT BUSINESS**

To consider any items of business by reason of special circumstances (to be specified) that in the opinion of the Chair are matters of urgency.

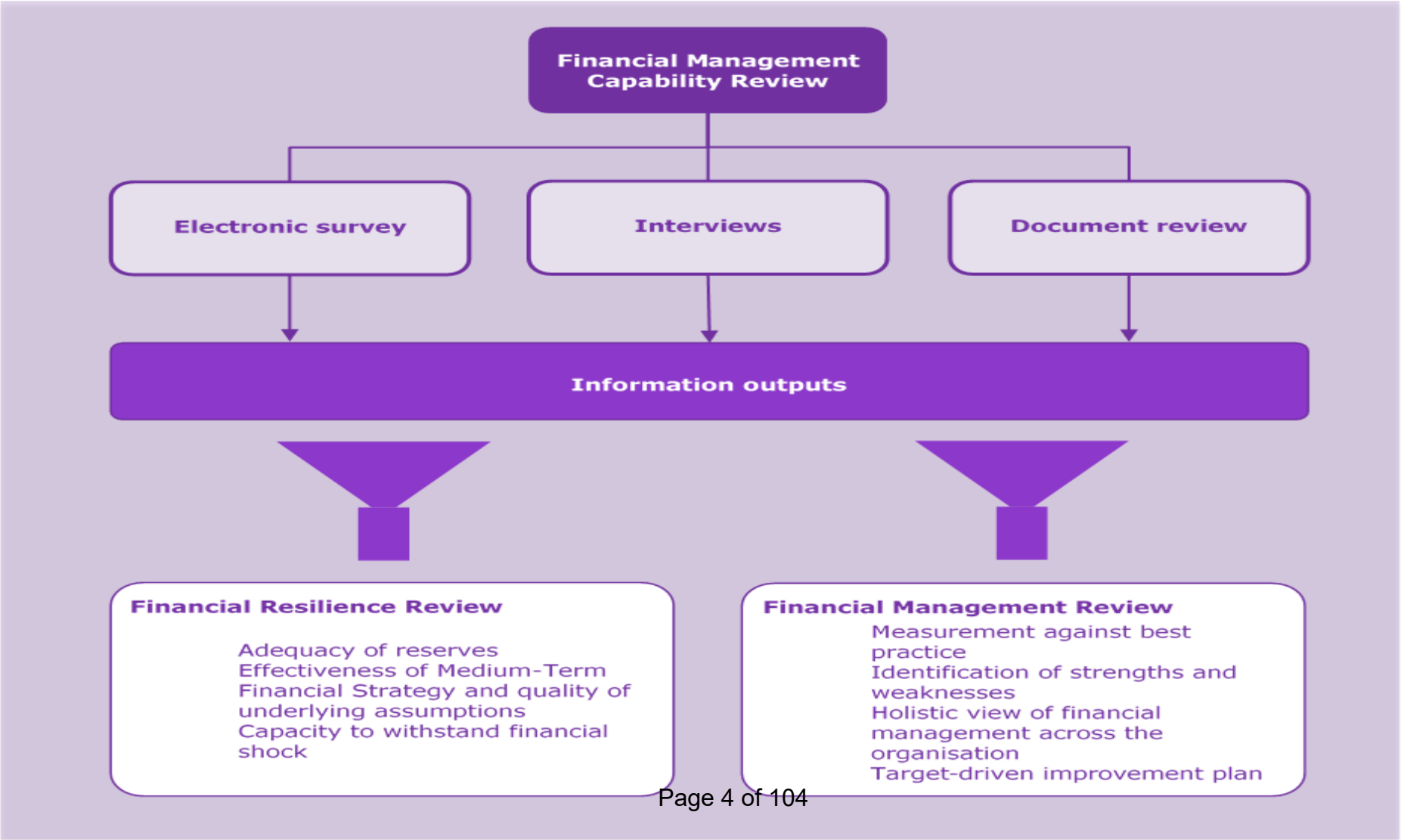
Birmingham City Council

CIPFA FMCR - Five Star assessment update – Final Assessment June 2021

Stuart W Fair LLB, AWS, FCPFA, FCCA, CPA, FRSA, JP. Principal Consultant

**The Chartered Institute of
Public Finance & Accountancy**

Financial Management Capability Review (FMCR)



Financial Resilience

Significant financial resilience challenges ahead including the need to bridge an emerging structural deficit in the face of growing operational pressures as well as legacy debt levels

BUT

- ✓ **Adequacy of reserves**
- ✓ **Robustness of the Medium-Term Financial Strategy (MTFP)**
- ✓ **Planned efficiency savings and transformational change**

**Overall Financial Resilience assessment
MODERATE**

Financial Management

- **Birmingham have made great strides in addressing the issues that constrained overall financial management capability - turning some weaknesses into strengths**
- **Progression highlights a highly commendable response to issues arising within our April 2019 assessment**
- **Considered to be an exemplar in the transformation of financial management capability given the extent of improvement achieved over the last two years**
- **Average statement scoring places Birmingham in top quartile of fully assessed organisations**
- **Overall THREE STAR from ONE STAR (April 2019)**

A recap - High Level Matrix – April 2019

	Management Dimensions			
Financial Management Styles	Leadership	People	Processes	Stakeholders
Delivering Accountability	2.5 (L1-L3)	1.5 (P1-P2)	2.5 (PR1-PR9)	2.0 (S1)
Supporting Performance	2.0 (L4-L5)	1.0 (P3-P5)	1.75 (PR10-PR13)	1.5 (S2)
Enabling Transformation	1.5 (L6)	1.0 (P6)	1.5 (PR14-PR15)	2.5 (S3)

Updated - High Level Matrix – May 2021

	Management Dimensions			
Financial Management Styles	Leadership	People	Processes	Stakeholders
Delivering Accountability	3.0 (L1-L3)	2.5 (P1-P2)	2.75 (PR1-PR9)	3.0 (S1)
Supporting Performance	2.5 (L4-L5)	2.0 (P3-P5)	2.25 (PR10-PR13)	2.0 (S2)
Enabling Transformation	2.25 (L6)	2.0 (P6)	1.75 (PR14-PR15)	2.5 (S3)

Statement Scoring - Leadership

	Leadership		Scoring April 2019	Scoring May 2021
Delivering Accountability	L1	Financial capability is regarded as integral to supporting the delivery of the organisation's objectives. The CFO is an active member of the board, is at the heart of corporate strategy/business decision making and leads a highly visible, influential and supportive finance team.	3.00	3.25
	L2	The organisation has an effective framework of financial accountability that is clearly understood and applied throughout, from the board through executive and non-executive directors to front line service managers.	2.50	2.75
	L3	Within an annual budget setting process the organisation's leadership sets income requirements including tax and allocates resources to different activities in order to achieve its objectives. The organisation monitors the organisation's financial and activity performance in delivering planned outcomes.	2.00	2.75
Performance	L4	The organisation has a developed financial strategy to underpin medium and longer term financial health. The organisation integrates its business and financial planning so that it aligns resources to meet current and future outcome focussed business objectives and priorities.	2.00	2.50
	L5	The organisation develops and uses financial/leadership expertise in its strategic decision-making and its performance management based on an appraisal of the financial environment and cost drivers.	1.75	2.50
Transformation	L6	The organisation's leadership integrates financial management into its strategies to meet future business needs. Its financial management approach supports the change agenda and a culture of customer focus, innovation, improvement and development.	1.50	2.25

Statement Scoring - People

	People		Scoring April 2019	Scoring May 2021
Delivering Accountability	P1	The organisation identifies its financial competency needs and puts arrangements in place to meet them.	0.50	2.00
	P2	The organisation has access to sufficient financial skills to meet its business needs.	2.00	3.00
Performance	P3	The organisation manages its finance function to ensure efficiency and effectiveness.	0.50	2.00
	P4	Finance staff provide business partner support by interpreting and explaining performance as well as advising and supporting on key business decisions.	1.50	2.25
	P5	Managers understand they are responsible for delivering services cost effectively and are held accountable for doing so. Financial literacy is diffused throughout the organisation so that decision takers understand and manage the financial implications of their decisions.	1.25	2.25
Transformation	P6	The organisation develops and sustains its financial management capacity to help shape and support its transformational programme.	1.00	2.00

Statement Scoring - Processes

	Processes		Scoring April 2019	Scoring May 2021
Delivering Accountability	PR1	Budgets are accrual-based and robustly calculated	2.00	2.50
	PR2	The organisation operates financial information systems that enable the consistent production of comprehensive, accrual based, accurate and up to date data that fully meets users' needs.	2.00	2.25
	PR3	The organisation operates and maintains accurate, timely and efficient transactional financial services (eg creditor payments, income collection, payroll, and pensions' administration).	2.50	2.50
	PR4	The organisation's treasury management is risk based. It manages its investments and cash flows, its banking, money market and capital market transactions, balancing risk and financial performance.	3.00	3.25
	PR5	The organisation actively manages budgets, with effective budget monitoring arrangements that ensure 'no surprises' and trigger responsive action.	2.25	3.00
	PR6	The organisation maintains processes to ensure that information about key assets and liabilities in its balance sheet is a sound and current platform for management action.	2.00	2.25
	PR7	Management understands and addresses its risk management and internal control governance responsibilities.	2.50	2.75
	PR8	Management is supported by effective assurance arrangements, including internal audit, and audit and risk committee(s).	3.00	3.25
	PR9	The organisation's financial accounting and reporting are accrual based and comply with international standards and meet relevant professional and regulatory standards.	3.00	3.25

Statement Scoring - Processes continued

	Processes (Continued)		Scoring April 2019	Scoring May 2021
Performance	PR10	The organisation's medium-term financial planning process underpins fiscal discipline, is focussed upon the achievement of strategic priorities and delivers a dynamic and effective business plan.	2.00	2.75
	PR11	Forecasting processes and reporting are well developed and supported by accountable operational management. Forecasting is insightful and leads to optimal decision making.	1.75	2.50
	PR12	The organisation systematically pursues opportunities to reduce costs and improve value for money in its operations.	1.25	2.00
	PR13	The organisation systematically pursues opportunities for improved value for money and cost savings through its procurement, commissioning and contract management.	1.75	1.75
Transformation	PR14	The organisation continually re-engineers its financial processes to ensure delivery of agreed outcomes is optimised.	1.75	2.00
	PR15	The organisation's financial management processes support organisational change.	1.25	1.50

Statement Scoring - Stakeholders

	Stakeholders		Scoring April 2019	Scoring May 2021
Delivering Accountability	S1	The organisation provides external stakeholders with evidence of the integrity of its financial conduct and performance, and demonstrates fiscal discipline including compliance with statutory/legal/regulatory obligations.	2.00	3.00
Performance	S2	The organisation demonstrates that it achieves value for money in the use of its resources.	1.25	2.00
Transformation	S3	The organisation is responsive to its operating environment, seeking and responding to customer and stakeholder service and spending priorities that impact on its financial management.	2.50	2.50

Strengths

- **Financial Leadership**
- **Financial Strategy – the Financial Plan 2021 – 2025**
- **In-year Monitoring, Forecasting and recalibration agility**
- **Treasury Management**
- **Governance and Risk**
- **Advanced Business Partnering**



Areas for Development

In priority order:

- **Accountability for financial performance and FM Competency and Performance Framework**
- **Transactional Finance**
- **Asset Management**
- **Finance Team Structuring**
- **Procurement**

We would be confident that these further development areas will be fully addressed within current change initiatives

High Level Commentary

- **Strong overall progress made since 2018/2019 assessment – we have a high level of confidence that the TOM Project Board will deliver an optimal Finance structure and overarching model that will make Birmingham a Finance ‘centre of excellence’**
- **Financial management has been significantly transformed at Birmingham CC**
- **Financial resilience now MODERATE**
- **Birmingham CC has now achieved a THREE STAR rating from CIPFA’s FIVE STAR global model**
- **Substantial improvements have been made to address the critical priority areas highlighted in 2018/2019 including accountability for financial performance, the setting of a robust financial strategy, in-year reliability and forecasting and strengthening of financial discipline throughout the organisation**
- **Significant potential to improve further – towards FOUR STAR within 18 months – September/October 2022 given current level of progression**

Financial Management capability

“Birmingham has moved beyond sound financial management towards highly effective financial management capability”

Next steps

- **Real potential to reach FOUR STAR by September 2022 and reach 'exemplar' status**
- **CIPFA can track improvement across this period and provide support to enable FOUR STAR achievement**
- **FOUR STAR requires:**
 - ✓ **Maintaining current direction of travel – re pace and grip**
 - ✓ **Delivering substantially on areas for further development**

Birmingham City Council

Report to Cabinet

29th June 2021



Subject: Outcome of CIPFA's Assessment of the Council's Financial Management capability

Report of: Sara Pitt,
Assistant Director, Service Finance

Relevant Cabinet Member: Cllr Chatfield, Cabinet Member for Finance and Resources

Relevant O &S Chair(s): Cllrs Carl Rice, Co-ordinating O&S Committee; and Cllr Mohammed Aikhlaq, Resources O&S Committee

Report author: Nick Coldicott,
Finance Transformation Programme Manager

Are specific wards affected?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No – All wards affected
If yes, name(s) of ward(s):		
Is this a key decision?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If relevant, add Forward Plan Reference:		
Is the decision eligible for call-in?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Does the report contain confidential or exempt information?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

1 Executive Summary

- 1.1 In April to May 2021, CIPFA undertook a review of the Council's Financial Management capability against their five-star model and scored the Council's Financial Management capability as three stars stating:

"It is clear that over the last two years at Birmingham City the core finance function has moved on from the more traditional stewardship aspects of financial management that had evolved through keeping the services 'safe' by trying to ensure that the organisation works within its approved financial targets to a much more mature and dynamic supporting and enabling service. Our evidence strongly points to a significant improvement having been achieved over the last two years on Financial Management capability to a position where high levels of effectiveness are now evident."

“Birmingham City Council should be considered to be an exemplar in the transformation of financial management capability given the extent of improvement achieved over the last two years.”

- 1.2 Three stars is a significant improvement on the previous one-star score received from CIPFA in July 2019, which indicated the Council was only at the basic ‘securing stewardship’ level. The full report from CIPFA is appended to this report.

2 Recommendations

- 2.1 Cabinet is recommended to formally note that the Council has now been awarded three stars against the CIPFA Financial management capability framework, 12 months in advance of the target date as approved in the Delivery Plan by Cabinet in November 2020.
- 2.2 Cabinet is recommended to note that CIPFA will be commissioned to undertake an assessment to establish the additional activities and effort required, beyond the existing TOM Programme, to achieve four stars. A cost benefit analysis will be undertaken to assess these implications of targeting four stars.

3 Background

- 3.1 In July 2019, Scrutiny Chairs received a briefing from CIPFA regarding the effectiveness of Financial Management at BCC. This briefing was based on the review that CIPFA had undertaken against their five-star financial management model. The review concluded that financial management capability was significantly compromised by:
- Damaging lack of accountability for financial performance in services – legacy issues including unachievable savings proposals;
 - Finance function structure has lacked effectiveness - credibility/confidence, skilling issues, not fully fit for purpose.
- 3.2 Consequently, the Council was awarded one star against the five-star framework, indicating the Council was only at the basic ‘securing stewardship’ level. This assessment reflected the combined effectiveness of both the Finance Service and Budget Managers dispersed across the Council.

4 Improving Financial Management Capability

- 4.1 In response to the 2019 CIPFA findings, a Finance Improvement Programme was established. The CIPFA review identified 16 areas for development and, in consultation with CIPFA, these were mapped into 18 finance improvement initiatives that were progressed by Service Finance. This significant work included the following activities and required substantial capacity and effort across Service Finance.
- Implement accountability letters
 - Review the scheme of delegation

- Business Partnering work programme
- Budget holder training
- Financial regulations review
- Rationalisation of recharges
- 'My Finance' intranet site for budget holders
- Capital programme management
- Finance training programme

- 4.2 The Finance Improvement Programme delivered significant improvements in the effectiveness of financial management, but it was recognised there still remained much to do and a different approach was required to ensure clear direction, traction and momentum.
- 4.3 In early summer 2020, KPMG were commissioned to help develop a new Target Operating Model with Finance that established a roadmap for the future. In parallel with this, the Oracle implementation programme was established to replace SAP with Oracle Fusion and this created further opportunities for improvement in financial management – for both Service Finance and Budget Managers.
- 4.4 Remaining tasks from the Finance Improvement Programme along with the Target Operating Model (TOM) implementation plan and Council delivery plan objectives have now been drawn into a single, comprehensive and cohesive plan - The Finance TOM Programme. This is being delivered in a coordinated and integrated approach, in parallel and in collaboration with the 1B Oracle implementation programme. The Finance TOM Programme and Oracle ERP implementation are separate programmes but run together - it is essential they are delivered in an integrated approach to maximise the opportunity and benefits available from Oracle.

5 2021 CIPFA Assessment

- 5.1 The council's delivery plan objective is to achieve a three-star CIPFA Financial Management rating by March 2022. In order to provide a baseline assessment of the Council's financial management capability towards achieving that goal and to ensure the combined programme would deliver a three-star score, CIPFA were commissioned, in April 2021, to undertake an informal 'self-assessment'.
- 5.2 This 'self-assessment' concluded that the Council had *achieved "strong overall progress"* since the previous review and *"financial management has been significantly transformed"*. CIPFA also provided an informal view that the Council had achieved a three-star rating.
- 5.3 The review also commented on the *"highly commendable response to issues arising within our April 2019 assessment. It would be our considered view that Birmingham City Council should be considered to be an exemplar in the*

transformation of financial management capability given the extent of improvement achieved over the last two years.”

5.4 Consequently, in order to formalise this ‘self-assessment’ rating, the Council commissioned CIPFA to undertake further interviews and a survey to complete a formal assessment and cement the three-star score.

5.5 The formal assessment has now concluded and CIPFA have confirmed the Council’s three-star status. CIPFA Commented that:

“Over the last two years the core finance function at Birmingham has moved on from delivering the more traditional stewardship aspects of financial management that had evolved through keeping the operational services ‘safe’, ensuring that the organisation works within its approved financial targets, to a much more mature and dynamic supporting and enabling service that drives diffused financial management. Our evidence strongly points to a significant improvement having been achieved on Financial Management capability including improved accountability for financial performance across the services. Indeed, there has been a highly significant improvement in the Supporting Performance Financial Management style which is a hallmark of a high level of effective Financial Management capability.”

5.6 In the context of strong controls over both revenue and capital spending supported and monitored by the Executive, Finance Service and budget holders, this review was undertaken with CIPFA having a clear understanding of the Finance TOM Programme which sets out the direction of travel and the improvement work planned in Service Finance over the next 12-18 months. The report states that: *“On a comparative basis, the average statement scoring would place Birmingham just within the top quartile for comparable organisation scoring at this point in time.”* and this further substantiates the need to continue driving the Finance TOM Programme in order to safely secure the three star rating on our journey to be a leading class finance function.

5.7 The CIPFA report includes a template for developing an improvement plan to address remaining issues. This template will be completed, in collaboration with CIPFA, drawing on the work that is already planned as part of:

- The Finance TOM Programme
- The Procurement Transformation Programme
- The council’s Delivery Plan and corporate transformation programme.

5.8 The full CIPFA report is attached at Appendix A.

6 Appendices

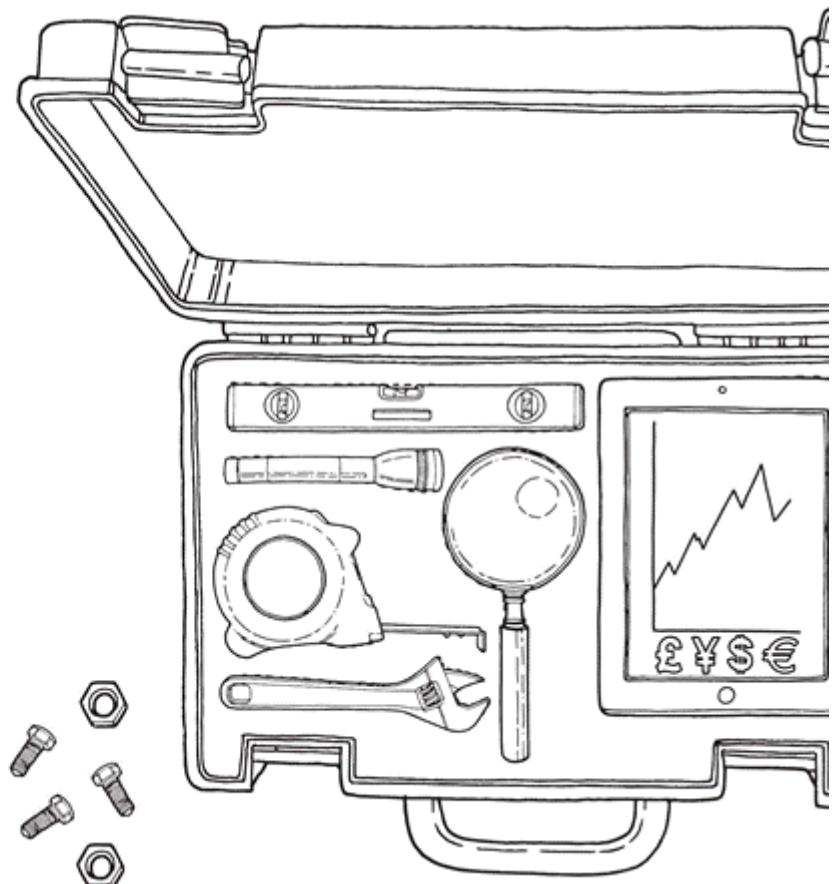
6.1 CIPFA Financial Management Capability Report.

7 Background Documents

7.1 None

Financial Management Capability Birmingham City Council

May 2021



Contact

Stuart W Fair LLB, AWS, FCPFA, CPA, FCCA, FRSA, JP.

Principal Consultant

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Executive Summary

From March to May 2021, CIPFA conducted a Financial Management Capability Review (FMCRC) at Birmingham City Council building upon a previous review carried out by CIPFA between October 2018 and April 2019. Within the 2018/2019 assessment exercise, CIPFA concluded that Birmingham, would be rated as a ONE STAR organisation as assessed against CIPFA's FIVE STAR World Class Financial Management programme.

Financial Resilience

As part of our updated assessment we assess financial resilience from the evidence collected for the CIPFA FIVE STAR Financial Management model. The review of Birmingham City Council's financial resilience as part of the reassessment encompassed three key areas:

- Adequacy of reserves
- Robustness of the Medium-Term Financial Strategy (MTEP)
- Planned efficiency savings and transformational change

Birmingham City Council faces significant financial resilience challenges including the need to bridge an emerging structural deficit in the face of growing operational pressures. Legacy constraints on Prudential Borrowing, the impacts of emerging service pressures together with medium and longer term impacts of Covid-19 and the need to deliver significant efficiency savings against that backdrop, will present significant challenges. Notwithstanding the emerging structural deficit arising from 2023/24, as highlighted by the Financial Plan 2021-2025, Birmingham now has a highly considered financial strategy, an advanced approach to the management of reserves and a significantly improved grip on Financial Management. Strong financial management capability has been rapidly developed and this has transformed Birmingham's overall financial resilience. We would now consider that Birmingham's overall financial resilience to be MODERATE and is fully reflected through our CIPFA Financial Management Model assessment criteria.

Financial Management Capability

As at May 2021, we have reassessed Birmingham City Council as a THREE STAR rated organisation against CIPFA's FIVE STAR Financial Management Model of global best practice – up from ONE STAR in April 2019. CIPFA has independently assessed 90 organisations against world class best practice using its Financial Management Model. On a comparative basis, the average statement scoring would place Birmingham just within the top quartile for comparable organisation scoring at this point in time.

Over the last two years the core finance function at Birmingham has moved on from delivering the more traditional stewardship aspects of financial management that had evolved through keeping the operational services 'safe', ensuring that the organisation works within its approved financial targets, to a much more mature and dynamic supporting and enabling service that drives diffused financial management. Our evidence strongly points to a significant improvement having been achieved on Financial Management capability including improved accountability for financial performance across the services. Indeed, there has been a highly significant improvement in the Supporting

Performance Financial Management style which is a hallmark of a high level of effective Financial Management capability.

Our reassessment identified six specific strengths. Some areas previously identified as weaknesses have been significantly developed to become strengths. These include the rapid development of the effectiveness of the Business Partnering function and refocusing on the wider Finance function. Unlike the issues highlighted within our April 2019 assessment, the five 2021 development issues are not predominately interlinked and are more aligned to continuous improvement than core fundamental good practice compliance change. These development areas, in context, do not materially impair the high level of effectiveness of Financial Management capability that now exists at Birmingham or the THREE Star status achieved within our assessment given the high average model statement scoring achieved.

Given the grip, pace and progression (including the ongoing Target Operating Model Project for the Finance function) it is envisaged that if this level of progress from a 2019 baseline is maintained, Birmingham has real potential to achieve FOUR STAR Status by September 2022, subject to the current trajectory of improvement and the areas for further development highlighted within this report being substantially addressed.

In summary, Birmingham City Council has made great strides in addressing the issues that constrained overall financial management capability. We would conclude that this progression highlights a highly commendable response to issues arising from our April 2019 assessment. It would be our considered view that Birmingham City Council should be considered to be an exemplar in the transformation of financial management capability given the extent of improvement achieved over the last two years.

Introduction

About this Report

This report outlines an updated assessment of Birmingham City Council's financial management capability, first conducted within 2018/19 and reported in April 2019. We have expanded this reassessment within a wider Financial Management Capability Review (FMCRC) which seeks to provide a view on Financial Resilience based on the evidence obtained in assessing overall Financial Management capability.

The FMCRC encompasses a review of the organisation's Financial Resilience and Financial Management and this report sets out findings in respect of each element. It seeks to highlight key observations, issues and recommendations, along with a more detailed Improvement Plan which will be assimilated within Birmingham City Council's existing improvement planning arrangements.

Background

Birmingham City Council is the largest local authority in the UK and is comprised of 101 councillors in what is the UK's second largest city and is the West Midlands regional capital. Politically there is a Labour Party majority with 65 Councillors and the members represent over 1.2 million people, in 40 wards covering some 440,000 households with some 200,000 children attending school. Birmingham City is the UK's largest landlord with approximately 60,000 council owned dwellings. Council services are delivered through some 25,000 staff.

The revenue income requirement to fund all Council services is approximately £2.96 billion for 2021/2022 and Council Tax Band D was set at £1,748.19. In 2019/20, on an overall activity quantum of approximately £3 billion, Birmingham outturned a total net underspend of £23.6m reduced to £11.5m after a net transfer to reserves of £12.1m. We are led to understand that the 2020/21 outturn position is similar in that a marginal underspend is being reported within the draft statement of accounts. In terms of investment, total expenditure on capital projects in 2019/20 was £432.3m which was up from the 2018/19 equivalent despite the impact of Covid-19 pandemic.

In setting its 2021/22 budget the Council have committed to delivering some planned £33 million of savings in 2021/22 within a four-year financial strategy delivering savings target of £190.5 million.

The Commonwealth Games Federation announced in 2017 that it had selected Birmingham as the host city partner of the XXII Commonwealth Games in 2022 and the City Council has been pivotal in helping deliver the major supporting infrastructure work required.

What is the FMCRC?

The FMCRC is an independent 'as-is' assessment of a Council's capability to effectively manage its current finances, internal process and operations, as well as how it plans future financial management. Key outputs include identification of areas of best practice and areas for improvement. The FMCRC at Birmingham City Council was conducted over a three month period from March to May 2021. The assessment is based on the CIPFA Global FIVE STAR Financial Management Model (FM Model) best practice.

In updating a previous assessment reported in April 2019, CIPFA obtained the following background evidence from Birmingham City Council:

- Electronic survey – 84 contributors in scope
- One-to-one interviews – 42 interviewees with Birmingham City Council members and staff
- Documents– (38) e.g., Medium-Term Financial Strategy, Budget Monitoring etc.

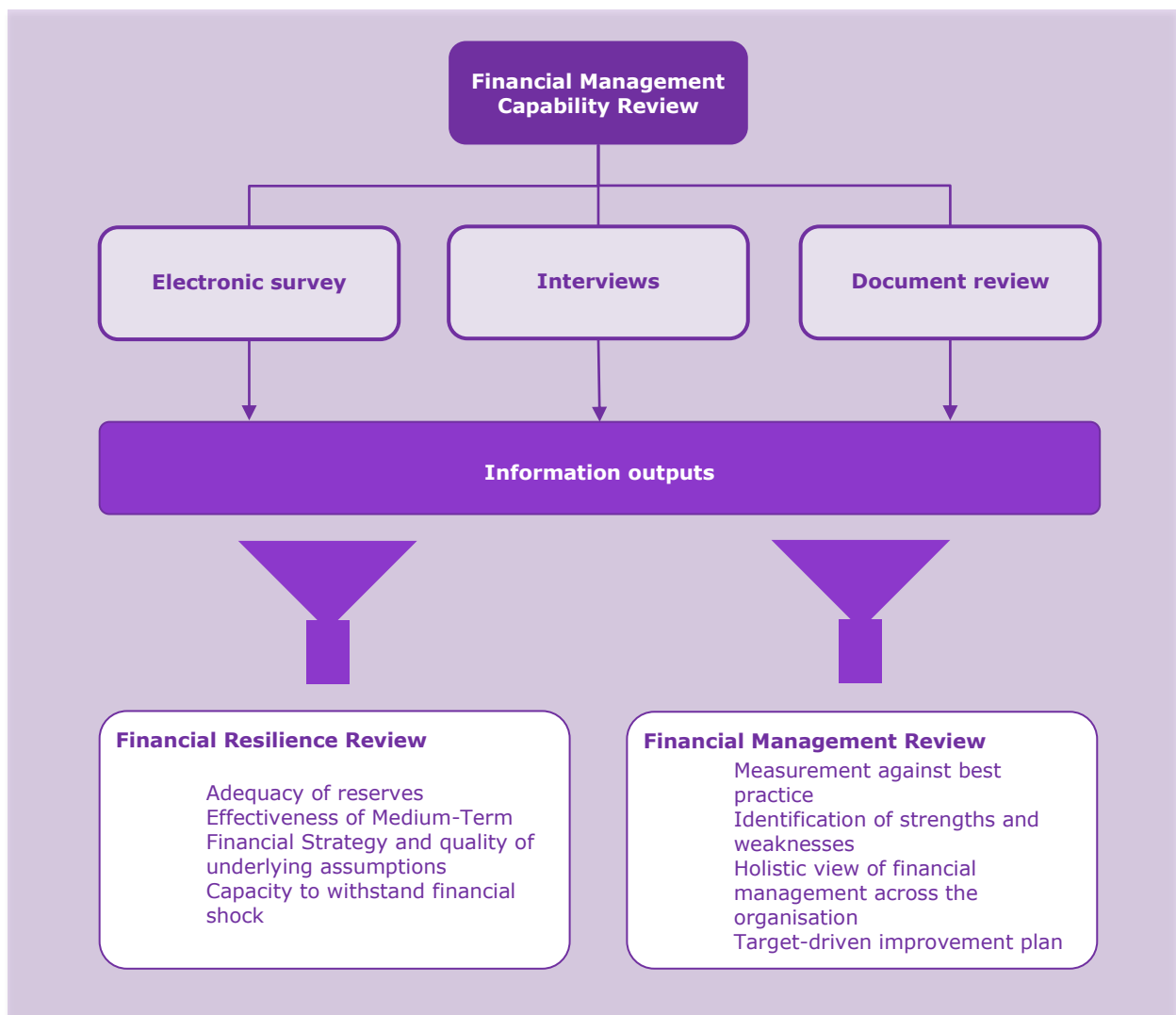
More detailed information on the response to the electronic survey, those interviewed and the documents reviewed can be found in the Appendix I: FMCR Information Sources. Further information about the FMCR approach is set out below.

Financial Capability Review Approach

How is the FMCR conducted?

As explained by the diagram below, the FMCR consists of two elements:

- **A financial resilience review** – an assessment of the financial sustainability of an organisation and its ability to withstand financial shock
- **A financial management review** – an updated assessment against best practice and other organisations who have also been reviewed using the CIPFA FIVE STAR Financial Management Model (FM model) to identify issues and create an improvement plan that can be tracked



Financial Resilience

Key Findings

The review of Birmingham City Council's Financial Resilience within the overall Financial Management capability assessment encompassed three key areas:

- Adequacy of reserves
- Robustness of the Medium-Term Financial Plan (MTFP) – Financial Plan 2021-2025
- Planned efficiency savings and transformational change.

Although we have full confidence that Birmingham can fully meet its balanced budget obligations for 2021/22, the City faces significant financial challenges ahead as it has an underlying medium term structural deficit, impacts of the 'tail of Covid-19 pandemic and growing service demand pressures. There are a number of factors that influence the City's ability to deal with such challenges. We have outlined our review findings arising from our Financial Management Model assessment across each of these areas that impact financial resilience below:

Summary	Key points
<i>Adequacy of reserves</i>	
Adequacy of reserves	<ul style="list-style-type: none"> • Within an overall General Reserve which excludes earmarked reserves, Birmingham is estimated to hold some £179.375 million as at March 2021. Within this Corporate General Fund, Balances equate to £38.382 million which is approximately 4.6% of Net General Revenue expenditure. A prudent position has been taken to provide for known pressures. There is a sophisticated approach taken in the management of the overall reserves position which is projected to stand at some £901.991 million by the end of 2020/21. • Birmingham's position on managing reserves is considered to be robust and follow good practice particularly in the delineation of Covid-19 funding and pressures.
<i>Robustness of the MTFP</i>	
MTFP Modelling	<ul style="list-style-type: none"> • The Financial Plan 2021 – 2025 represents a detailed and realistic high level four-year medium term with an extended 10-year profile • The financial modelling incorporates core assumptions through an assessment of risk and is fully linked to the objectives that are core to Birmingham City Council Delivery Plan 2020-2022 • The Plan identifies a structural deficit position starting in year 3 (2023/24) and platforms a range of efficiency savings and the overall direction of travel using the 2021-2025 Financial Plan is highly likely to yield approaches that have a high probability of bridging 'the gap' • Still some way to go in integrating service planning and core strategies • Legacy constraints on Prudential Borrowing leaves Birmingham City with lower capability in terms of overall headroom to optimise its capital programme investment but that is more than counter

balanced by strategically effective funding strategies and approach to managing reserves and efficiency savings

- Overall, the current Financial Plan is considered to be robust and will be highly effective in, the context of strong financial management capability, in successfully creating strategies that will meet any emerging structural deficit position

Planned efficiency savings and transformational change

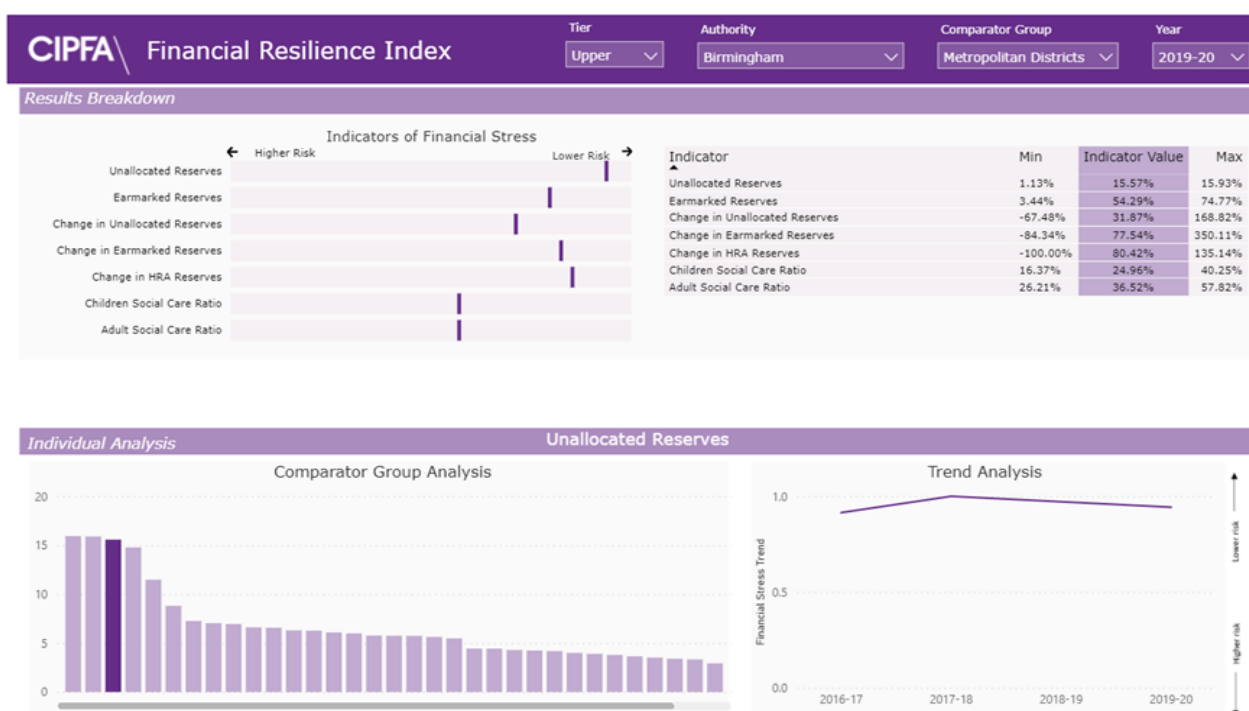
Planned efficiency savings and transformational change

- Planned savings of approximately £190.5 million are profiled to be delivered by the end of 2024/25
- A robust approach has been taken on 'front end' formulation of savings proposals with services supported by Business Partnering
- Effective tracking through a star chamber scrutiny process that is considered to achieve 'grip' and improved accountability
- Mature approach to transformational change through reengineering services

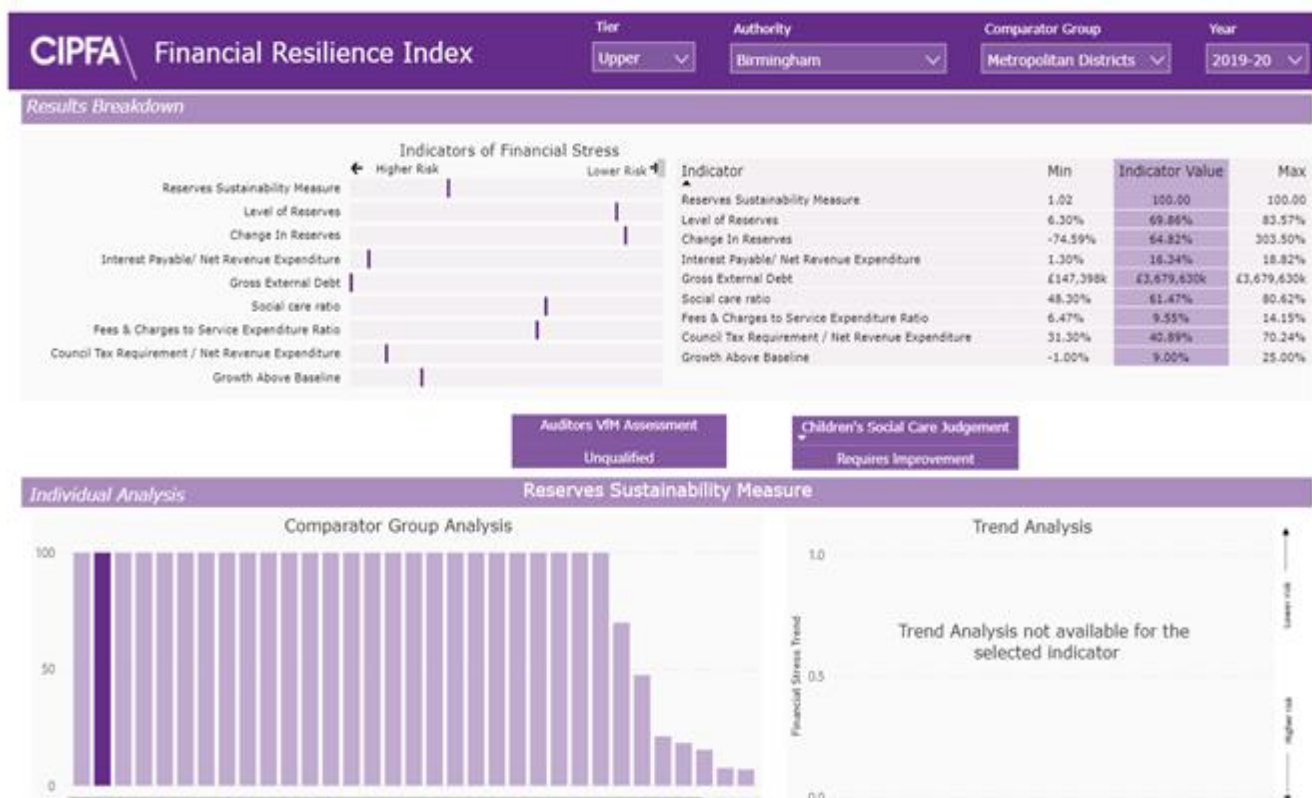
Adequacy of Reserves

Consolidating stability

At the end of 2019/2020, Birmingham City's Council's overall General Unallocated Reserves pitched at some 15.6% of Net Revenue spend, as at March 2020. The indicators of financial stress highlighted through the CIPFA Financial resilience index to 2019/20 show relatively low levels of risks relative to reserves as highlighted below and on a comparative position amongst the top quartile of the comparator group:



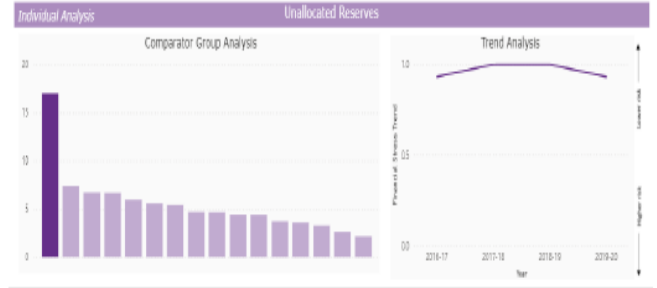
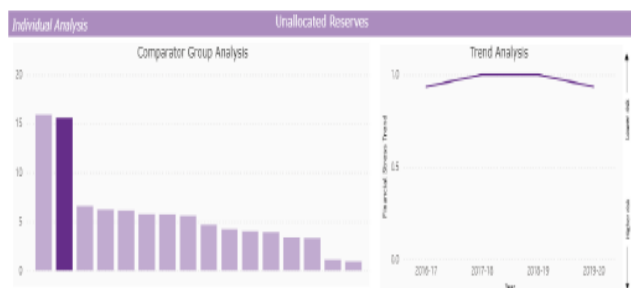
This is indicative of an approach that generates stability and overall resilience in the face of significant legacy debt and a highly geared Council Tax to Net Revenue expenditure requirement, albeit the Reserves Sustainability Measure is pointing to higher risk:



Birmingham's own internal analysis of the CIPFA data picked up that whilst PRIMARY Indicators are broadly similar comparing 2019 to 2021, however SECONDARY indicators reveal positive incremental change:

2021

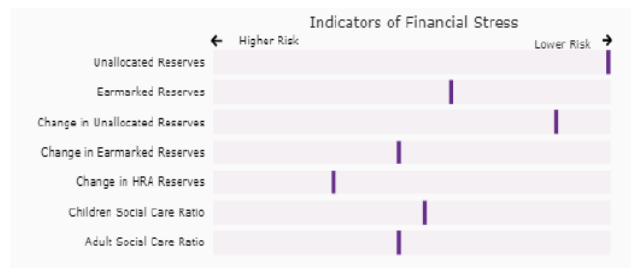
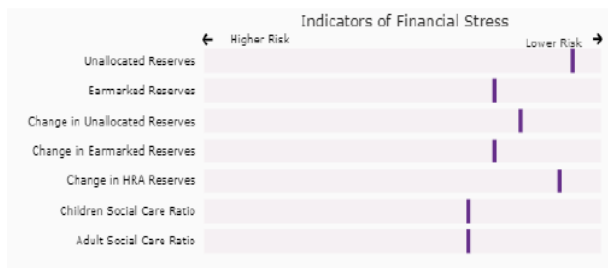
2019



Changes on the positioning of SECONDARY Indicators from 2019 have been positive:

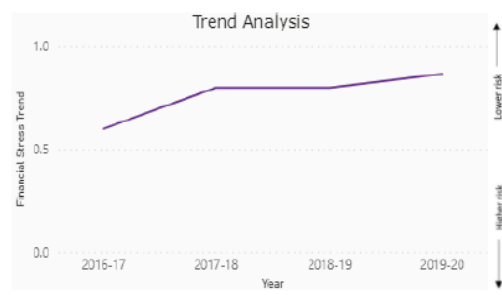
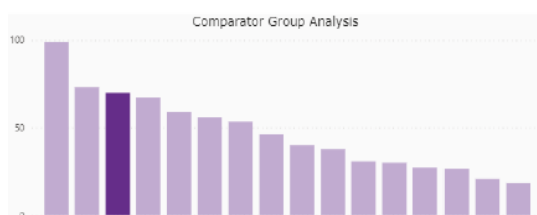
2021

2019

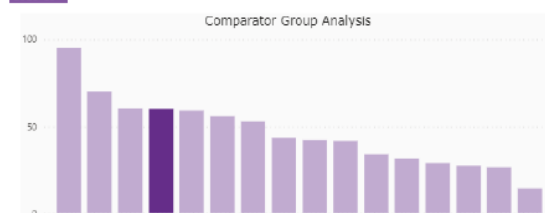


On changes to reserves, a comparative analysis shows a similar positive direction of travel albeit within the comparator group level:

2019-20



2018-19



Overall the reserve strategy at Birmingham is highly considered and the earmarking of funds including the impact of Covid-19 pandemic grants and recycling of linked funds are assessed as prudent and good practice. Year-on-year positions including a tracking of the growth/depletion of non-earmarked/earmarked General Fund Reserves are highlighted below:

Year	Net Spend	Surplus/(Deficit)	Movements General Fund Non earmarked	Movements General Fund earmarked
2016/17	£869.6 million	£87.2 million	£100.8 million	£136.2 million
2017/18	£553.8 million	£96.2 million	£130.9 million	£169.9 million
2018/19	£935.8 million	£112.4 million	£144.0 million	£106.5 million
2019/20	£783.5 million	£83.4 million	£141.7 million	£193.1 million
2020/21	£828.7 million	Forecast £0 million	£179.4 million	£390.0 million
Total reserve movement			(£12.3 million)	(£9.6 million)

Robustness of the MTFP 2021/22 to 2024/25

The Birmingham City Finance Plan 2021/22 to 2024/25 is a four-year medium term financial plan with an extended 10-year profile. The impact of Covid-19 and the recalibration of service demand pressures have been incorporated within the Plan and the vision for the financial modelling is framed within the six priorities that are core to the Birmingham City Council Delivery Plan 2020- 2022:

- An entrepreneurial city to learn, work and invest in
- An aspirational city to grow up in
- A fulfilling city to age well in
- A great city to live in
- A city whose residents gain the most from hosting the 2022 Commonwealth Games
- A city that takes a leading role in tackling climate change

Architecture and robustness of modelling

In terms of the strength of financial modelling, our assessment on the 2021-2025 Financial Plan included the identification and testing of key assumptions used within the modelling. The core high level assumptions were deemed to be prudent and the overall model appears to be comprehensive and well-constructed. Compared to the 2019 position, the Plan is significantly more transparent and robust. On good practice, the setting of the annual budget and extended rolling MTFP should have the following characteristics and we found these substantially in place during our assessment:

- Full participation of budget holders in the budget formulation process in a way that enables full ownership to take place
- Being founded upon operational service planning objectives, within a 'bottom up approach' formulation
- Stress tested core assumptions (sensitivity analysis) within flexing of potential outcomes across a number of core variables - risk assessment of material items of income and expenditure inform budget setting, and their reporting to the board with financial implications, mitigating actions and contingency provisions
- Forecast or actual budget variances and trends are fully reflected in the budget setting process
- Reporting of cashable efficiency gains reconciled with and fully reflected in the budget setting process
- Revenue consequences of the capital programme and other expenditure commitments, including the consumption of capital (e.g. depreciation) fully reflected in revenue budget
- Incorporating, where possible, aspects of outcome and/or zero based budgeting
- Annual budget construction being embedded within a rolling and dynamic Medium Term Financial Plan (MTFP)

CIPFA Financial Management Code

Aspects of good practice are also reinforced within the CIPFA Financial Management Code¹ including the requirements to attest to the viability of budgets set and the robustness of the MTFP. Having looked at Financial Management Code framework, it would be our considered opinion that Birmingham can now demonstrate strong compliance with the code and substantially meet the above good practice attributes set within the CIPFA Financial Management Model. Marked improvements in the delivery of good practice, especially within the last two years have significantly strengthened the effectiveness of Birmingham's Financial Strategy. Key improvements noted have been in the following areas:

- Advanced corporate finance skills in delivering an approach to managing reserves including a full range of contingency reserves including the Financial Resilience Reserve
- Strong Capital and Treasury Management

Previous savings delivery

Within our 2018/19 assessment we previously identified difficulties in delivering real savings that were 'cashable'. The Financial Plan identifies the tracking from 2016/17 to 2020/21 with the obvious impacts on 2019/20 from the drag arising from Covid-19²:

	Planned Savings	Savings Delivered	
	£m	£m	%
2016/17	88.2	32.4	37%
2017/18	70.9	48.3	68%
2018/19	52.9	42.8	81%
2019/20	46.2	38.7	84%
2020/21*	22.1	17.2	78%

The 2021-2025 Financial Plan commits to some £190.5 million of planned savings to 2024/2025. Whilst this may seem to be ambitious, in historical context, the *"Financial Plan 2021-2025 reflects a realistic position for the savings programme going forward."*³ As is highlighted separately below, this is a statement which we would substantially concur with.

¹ CIPFA Financial Management Code - <https://www.cipfa.org/policy-and-guidance/publications/f/financial-management-code>

² Birmingham City Council – Financial Plan 2021 – 2025 Page 14: Para 2.8

³ Birmingham City Council – Financial Plan 2021 – 2025 Page 14 : Para 2.9

Assessment of funding changes on services and management of external funding

A key strength that has emerged within the last two years is the ability of the services to assess the likely service change requirements together with a corporate approach to optimizing external funding including the management of funding with the reserve strategy. This has been ably demonstrated in two areas:

- Impact of strong Business Partnering and improved service accountability
- Corporate focus on optimizing external grant sources

Budget pressures

The Financial Plan clearly signposts budget pressures that will be faced moving forward⁴:

Categorisation	2021/22 £m	2022/23 £m	2023/24 £m	2024/25 £m
Demography	19.171	27.921	36.761	45.511
Member priorities	19.044	54.234	4.048	4.048
Rebasing	17.969	18.891	18.866	18.866
Revenue cost of redundancy	9.281	3.194	0.750	0.000
Time limited resources	7.137	(2.707)	(8.218)	(9.679)
Change in legislation or regulation	4.753	6.127	7.460	5.790
Pension Fund	3.079	3.548	3.651	3.826
Savings non-delivery	1.635	0.768	(0.260)	(0.148)
Pressures resulting from COVID 19	0.423	0.152	0.152	0.000
Revenue cost of capital projects	(1.134)	2.618	4.702	4.702
Invest to Save (including repayments)	(15.799)	(15.943)	(17.329)	(16.348)
Other	3.584	3.327	4.014	6.089
Total	69.143	102.130	54.597	62.657

Social Care (Adults and Children's Trust) pressures are well highlighted, as are Education and Homelessness, within the model and the assumptions are embedded within the overall high-level modelling. From our experience Social Care costs and associated demand management are routinely at the very top of the list of challenges faced by relevant local authorities throughout the UK. This is an area that Birmingham City Council appears to be able to effectively manage exposure through highly effective 'front-end' prevention strategy investment.

Looking at these within the round, there appears to be a highly considered approach taken by the services to deliver forecasts on horizon scanning. This approach has been possible due to the high level of Business Partnering effectiveness (though challenge and validation) and the significantly more enabling role played by the corporate finance function.

Structural Deficit

⁴ Birmingham City Council – Financial Plan 2021 – 2025 Page 23: Para 2.9

The Financial Plan identifies an emerging structural deficit, some of which will be met with savings that have been formulated. Appendix A of the Plan highlights a 10 year profile on key metrics. The structural gap is clearly identified and within the specific 4 year scope of the Financial Plan it is clear that a material gaps begins to emerge in 2023/24 and 2024/25 of £76 million and £81 million respectively⁵:

	2021/22 £m	2022/23 £m	2023/24 £m	2024/25 £m	2025/26 £m	2026/27 £m	2027/28 £m	2028/29 £m	2029/30 £m	2030/31 £m
Base Budget 2020/21	852.933	852.933	852.933	852.933	852.933	852.933	852.933	852.933	852.933	852.93
Pay & Price Inflation	27.717	57.787	87.072	117.722	152.275	185.852	220.936	260.625	299.094	339.76
Meeting Budget Issues and Policy Choices	69.143	102.130	54.597	62.657	71.815	81.372	90.107	99.174	108.262	117.30
Savings Plans	(33.051)	(77.274)	(39.427)	(40.722)	(40.808)	(40.891)	(40.946)	(41.002)	(37.453)	(37.45)
Corporate Adjustments:										
Other net change in use / contribution to reserves	26.807	(44.077)	21.489	31.374	32.288	33.259	33.814	34.327	34.856	32.46
Corporately Managed Budgets	(53.803)	(23.011)	(9.839)	(13.901)	(16.693)	(26.091)	(31.343)	(45.928)	(49.600)	(38.59)
Changes in Corporate Government Grants	(61.075)	(16.348)	(18.105)	(19.827)	(20.791)	(21.779)	(23.559)	(25.376)	(27.230)	(28.29)
Total Net Expenditure	828.671	852.140	948.720	990.236	1,031.019	1,064.655	1,101.942	1,134.753	1,180.862	1,238.11
Business Rates	(411.693)	(432.135)	(445.154)	(456.877)	(468.890)	(481.198)	(493.811)	(506.736)	(519.980)	(533.55)
Business Rates Deficit in 20/21 funded by S31 grants	177.261	-	-	-	-	-	-	-	-	-
Use of S31 grant reserve to fund Business Rates deficit arising in 20/21	(177.261)	-	-	-	-	-	-	-	-	-
Business Rates Deficit from 2019/20	1.435	-	-	-	-	-	-	-	-	-
Business Rates Deficit 20/21 spread over three years	16.224	16.223	16.222	-	-	-	-	-	-	-
Council Tax	(382.923)	(394.022)	(405.362)	(417.027)	(428.729)	(440.758)	(453.126)	(465.841)	(478.913)	(492.35)
Council Tax Deficit from 2019/20	2.707	-	-	-	-	-	-	-	-	-
Council Tax Deficit 20/21 spread over three years	1.530	1.532	1.532	-	-	-	-	-	-	-
Top Up Grant	(55.951)	(57.070)	(58.212)	(59.376)	(60.563)	(61.774)	(63.010)	(64.270)	(65.555)	(66.86)
Top Up Grant - Reset	-	12.254	13.583	14.565	15.575	16.619	17.707	18.833	19.998	21.18
Potential impact of spending review	-	1.000	5.000	9.000	9.000	9.000	9.000	9.000	9.000	9.00
Total Resources	(828.671)	(852.218)	(872.391)	(909.715)	(933.607)	(958.111)	(983.240)	(1,009.014)	(1,035.450)	(1,062.57)
Gap	0.000	(0.078)	76.329	80.521	97.412	106.544	118.702	125.739	145.412	175.53

The emerging gap levels have already been adjusted to reflect planned savings and the Plans seeks to be fully transparent on this underlying position. Actual financial performance in 2019/20 and 2020/21 has illustrated a strong level of predictability and reliability on in-year forecasting and agility in recalibrating net spend. Given the strength of existing savings plans (see below), the overall direction of travel on financial management capability and the high level of clarity on the tasks required to 'square the circle' and realign net spend pressure with resources, we would be confident that Birmingham will mitigate the inherent emerging structural deficit.

The Financial Plan as an MTFP attempts to forecast available resources, demand and spend pressures as well as impacts on reserves – all in the context of spending priorities set within the wider corporate planning framework – The Birmingham Delivery Plan. Overall the current Financial Plan is considered to be robust but there is inevitably still scope for further improvement that would put the MTFP within exemplar status. More work is still needed to fully link operational services planning, asset management and procurement strategies together with closer alignment of external delivery partners but it is clear that the Financial Plan as currently constituted will serve Birmingham extremely well.

Planned efficiency savings and transformational change

Since our 2018/19 assessment Birmingham City have significantly changed their approach to the formulation and tracking on the delivery of savings through an enhanced monthly Star Chamber approach. As highlighted above the Financial Plan 2021-2025 commits to approximately £190.5 million of planned savings being delivered by 2024/25. The impact of Covid-19 and the recalibration of service demand pressures have been highlighted. Planned savings for 2021/22 are £33.051 million and this rises to £77.274 million in 2022/23 and falls back to approximately £39 million/£40 million for the remaining two

⁵ Birmingham City Council – Financial Plan 2021 – 2025 Page 75: Appendix A

years of the MTFP as constituted in the 2021 – 2025 Financial Plan. The profiles against the high-level modelling are extracted below⁶:

	2021/22 £m	2022/23 £m	2023/24 £m	2024/25 £m
Base budget 2020/21	852.933	852.933	852.933	852.933
Changes in pay and price inflation	27.717	57.787	87.072	117.722
Budget pressures	69.143	102.130	54.597	62.657
Corporate adjustments:				
Other net change in use of / contribution to reserves	26.807	(44.077)	21.489	31.374
Corporately managed budgets	(53.803)	(23.011)	(9.839)	(13.901)
Changes in corporate government grants	(61.075)	(16.348)	(18.105)	(19.827)
Total expenditure	861.722	929.414	988.147	1,030.958
Business Rates	(411.693)	(432.135)	(445.154)	(456.877)
Top Up Grant	(55.951)	(43.816)	(39.629)	(35.811)
Council Tax	(382.923)	(394.022)	(405.362)	(417.027)
Collection Fund (surplus)/deficit Business Rates	17.659	16.223	16.222	0.000
Collection Fund (surplus)/deficit Council Tax	4.237	1.532	1.532	0.000
Total resources	(828.671)	(852.218)	(872.391)	(909.715)
Savings requirement	33.051	77.196	115.756	121.243
Planned savings	(33.051)	(77.274)	(39.427)	(40.722)
Other solutions to be determined	0.000	(0.078)	76.329	80.521

Notwithstanding a sound approach to the management of reserves, the Financial Plan seeks to provide a transparent view of both the savings that have been already formulated and the emerging budget gap arising from an underlying structural deficit position. The Financial Plan highlights this pressure, manifesting itself in 2023/24 with a gap of £76 million growing to approximately £81 million in 2024/25. Critical to this modelling is the efficacy and robustness of the identified savings. The Financial Plan highlights that the approach to the formulation and tracking of savings has been significantly overhauled with *"some savings have to be rephased due to changing circumstances, some have to be replaced with an alternative saving and others have to be removed from the programme since they are no longer considered to be deliverable. The Council therefore has a saving programme for the period 2021/22 to 2024/25 in which there can be some reasonable confidence in its delivery."*⁷

More effective 'front end' scrutiny of savings proposals templates and business cases and the enhanced star chamber approach in tracking, led by Scrutiny has led to a strong level of testing and validation of savings proposals. With extensive challenge applied through Business Partnering and the Deputy Section 151 Officer, the statement on 'reasonable confidence on delivery' is based on a fully committed approach being taken. There has been a marked change from 2019 savings being 'aspirational' to a position now where savings are substantially based on finite change proposals. Indeed, the Plan recognizes the extent of previous savings exercises on base budgets and that a more radical approach that embraces 'true transformation' requires to be taken:

⁶ Birmingham City Council – Financial Plan 2021 – 2025 Page 32: Table 3.9

⁷ Birmingham City Council – Financial Plan 2021 – 2025 Page 32, Para 22.1.1

“For 2021/22 onwards, the Council has taken a different approach to the development of new savings, having recognised that after delivering over £700m worth of savings over the past 11 years, it is unlikely that any further major savings could be made purely through efficiencies. Additionally, planning for savings was difficult at a time when managers were dealing with the response to the pandemic. Therefore, no Directorate targets were issued for the 2021/22 budget planning process and it is anticipated that any new savings, beyond that relating to review of the establishment referred to in paragraph 22.4 below, will be delivered through the Delivery Plan.”⁸

One new saving of £20.1m has been identified as being deliverable from implementing establishment controls within the Council. This work started in 2020/21 and will be built upon in 2021/22. We do not envisage any redundancies as a result of this proposal as the outcomes are likely to be deleted vacancies and reduced agency costs.”⁹

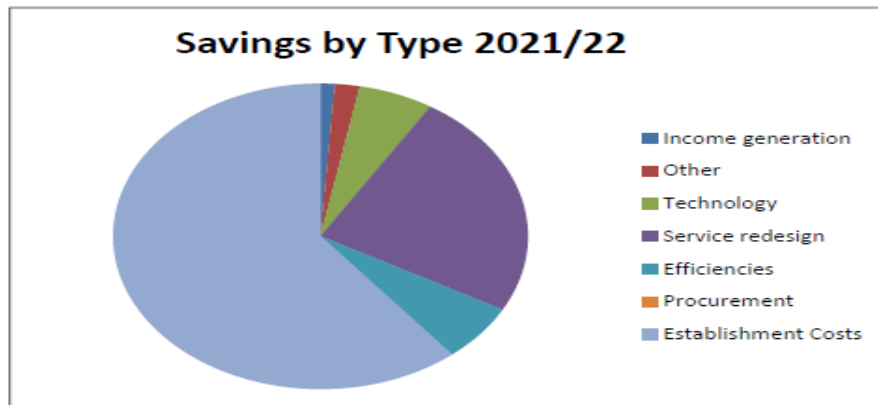
Overall, the approach to savings demonstrates a realistic and considered approach including the assessment of risk with a Budget Risk assessment being a key determining factor in the inclusion of planned savings to model. It is inevitable that current circumstances arising from the global Covid-19 pandemic will produce some element of delay or drag on delivery of transformational change, but that has certainly not stopped an appetite for moving significantly beyond ‘business as usual’. There will inevitably be more opportunities for savings, particularly within Procurement activities and it is encouraging that Birmingham is putting significant effort into improving Procurement capability.

The current approach is a significant movement away from the previous position whereby the base budget adjustments were carried out irrespective of the impact on service. An example includes raising car parking and other fee income for various services in a way that would have actually adversely impacted customer behaviours, to the extent that alternative provision would have effectively eliminated existing income sources. The previous approach of ‘salami slicing’ budgets in order to contribute to the overall savings target has been ‘called out’ and Business Partnering development has led to a ‘paradigm shift’ towards service challenge and redesign rather than the services recalibrating the pace of spend. Whilst the extent of 2021/22 savings are primarily within establishment costs, it is clear to see the extent that Birmingham seeks to redesign services in a way that points to transformational change¹⁰ rather than reduce incremental resources:

⁸ Birmingham City Council – Financial Plan 2021 – 2025 Page 32, Para 22.3

⁹ Birmingham City Council – Financial Plan 2021 – 2025 Page 32, Para 22.4

¹⁰ Birmingham City Council – Financial Plan 2021 – 2025 Page 33, Para 22.4.1



Resilience profile

On overall resilience, legacy constraints on Prudential Borrowing and the impacts of emerging service pressures together with medium and longer term impacts of Covid-19 will present significant challenges. Notwithstanding the emerging structural deficit, a highly considered Financial Plan, advanced management of reserves and a rapidly developed strong financial management capability has transformed Birmingham's financial resilience. There is high level of developed maturity in the approaches taken in formulation of a high-level financial strategy.

Given these considerations we would now consider that Birmingham's overall financial resilience to be MODERATE and is fully reflected through our CIPFA Financial Management Model assessment criteria. This is highly commendable particularly in the context of lack of continuity at Chief Executive level and the interim nature of the post of the current Section 151 Officer. The extend of improvement since or 2019 assessment has been highly significant.

Financial Management Review

Financial Management Review

Overall Assessment

Using CIPFA's FIVE STAR rating system, Birmingham City Council is now rated overall as a THREE STAR organisation. "Leadership" is the strongest management dimension reflecting effective stakeholder engagement in the formulation of financial strategy. The "Leadership" dimension comes in slightly ahead of "Stakeholders" on aggregate scoring from our high-level matrix of Financial Management styles and Financial Management Dimensions. From our experience the "People" Dimension is typically the weakest of the Management Dimensions across almost all the range of organisations we have worked with and this is also the case at Birmingham City Council. However, there is now only a marginal difference between People scoring and overall Process scoring. Within the 2019 assessment the People scoring dimension scored significantly lower than Processes, so the gap has been substantially bridged. Our experience points to 'People' issues being the most difficult to improve scoring so the upward movement on People scoring is considered as being extremely positive as is indicative of the focus delivered to improve this area.

From the best practice matrix the Delivering Accountability style of financial management scores best and this is exactly what we would expect. This is an area that is heavily regulated and prescribed by HM Treasury and CIPFA best practice and is also most closely related to the traditional role of the finance function. Typically, there should be a pattern of progression in scoring with the highest being Delivering Accountability and the lowest being Enabling Transformation with a stepped progression between the financial management styles. Within our 2019 assessment, Supporting Performance scoring was the weakest albeit only marginally lower than Enabling Transformation. Our 2021 assessment position highlights that the expected pattern of stepped progression has been restored with Supporting Performance, as a Financial Management style, being significantly improved - some 40% in terms of scoring capability. Weaknesses previously identified in this area such as Business Partnering have been turned into a strengths. Overall, both Financial Management Styles (Supporting Performance and the People Management Dimension have seen significant improvement to the extent that advanced decision support is now evident at Birmingham City.

Birmingham City Council's Financial Management was assessed against Best Practice and results are shown in the first table below "Current Snapshot". Actual scoring positions in numerical format from 0 to 4.00 in increments of 0.25) within our high level matrix scoring across Management Dimensions and Financial Management Styles are as follows:

	Management Dimensions			
Financial Management Styles	Leadership	People	Processes	Stakeholders
Delivering Accountability	3.00	2.50	2.75	3.00
Supporting Performance	2.50	2.00	2.25	2.00
Enabling Transformation	2.25	2.00	1.75	2.50

The above scoring matrix position has markedly changed from the 2019 equivalent:

	Management Dimensions			
Financial Management Styles	Leadership	People	Processes	Stakeholders
Delivering Accountability	2.50	1.50	2.50	2.00
Supporting Performance	2.00	1.00	1.75	1.50
Enabling Transformation	1.50	1.00	1.50	2.50

Overall, our 2021 assessment identified six high level strengths and five areas for further development. It is important to recognise, in context, that these development areas do not negatively affect the THREE STAR rating and could be found in organisations with different star ratings.

Key Strengths

The remainder of this document is dedicated to highlighting issues identified through the Financial Management assessment. However, it is important to acknowledge that the review also identified a number of areas in which Birmingham City Council is particularly effective. Six specific strengths were identified and, indeed some areas previously identified as weaknesses have been significantly developed to become strengths. These include the rapid development of the effectiveness of the Business Partnering function and refocusing on the wider Finance function. The key strengths identified included (relevant model statements are in brackets):

- **Financial Leadership** – leadership provided by the Section 151 and Deputy Section 151 officers in addition to the Finance and Governance Leadership Team have significantly addressed the weaknesses highlighted within our April 2019 assessment and transformed some elements of weaknesses into real strengths. There is a real focus on learning from best in class on practice excellence, tightly

managing improvements and obtaining real grip through robust project management **(L1)**

- **Financial Strategy** – the Financial Plan 2021 – 2025 is a comprehensive and technically strong, top-down strategy covering detail plan a 4 year medium term financial plan together with an extended 10 year profile. The architecture of the model is considered to be robust and fully supports the overarching City Council Delivery Plan with appropriate focus on risk. Core assumptions are prudent and the plan is considered to be highly effective in providing a stable platform upon which to appropriately inform decision makers in the development of short, medium and longer term financial strategy. However, the Plan could be enhanced further with more pronounced linkages to Asset Management, Procurement and Workforce Planning. In relation to the latter we recognize that a refocus on planned establishment savings will deliver on this area **(L4 and PR10)**
- **In-year Monitoring, Forecasting and recalibration agility**– effective and insightful forecasting with a good mix of narrative and metrics. Provides a high level of confidence in the ability to recalibrate activity in relation to unforeseen challenges **(L3 and PR5)**
- **Treasury Management** – advance capability and optimisation of existing portfolio of borrowing/lending **(PR4)**
- **Governance and Risk** – highly effective arrangements around audit and risk. Good interplay between the Audit Committee and Scrutiny **(PR7 and PR8)**
- **Advanced Business Partnering** – formerly a weakness identified in April 2019 but moving towards a real strength in 2021. The recruitment of highly experience Business Partners has led to a paradigm shift and dynamics in shaping significantly improved accountability for financial performance. As well as business as usual, Business Partners have been able to forge strong supports as enablers to service directorate management. The work of Business Partners in the formulation and testing the efficacy of savings and service strategy within the Financial Plan has been critical. If there is any weakness, it is the fact that most of the Finance Business Partners hold interim posts and there will be challenges in maintaining a level of continuity of skilling and experience. Within the scope of the current Target Operating Model (TOM) Project we see no reason that the Finance Team at Birmingham cannot progress towards recognition as a 'centre of excellence' in UK Local Government Finance **(P4)**

Development areas

Notwithstanding these strengths we have identified five areas for improvement. Indeed, addressing these development areas would be critical to Birmingham In priority order:

- **Accountability for financial performance and FM Competency and Performance Framework** – has been significantly strengthened through the issue of formal letters outlining delegated responsibility and accountability for financial performance. Significant improvement is also evidenced through advanced Business Partnering support. However, there is still work to be done to move away from high levels of dependence on finance. The move to a new Oracle ERP system with potential for meaningful self-service functionality should further encourage ownership and less dependency on budget holders relying on finance support for a basic in-year position. There is still some work required to embed a competency framework across the operational services in a way that allows for clarity on financial management objectives and appraisal of financial performance in the same way as operational performance. This needs to be linked to training needs being assessed and any gap analysis addressed by appropriate external/inhouse training as best matches any deficiency **(L2, P1 and P5)**

- **Transactional Finance** - limitations around accounts payable functionality and overall KPI performance needs to improve although we are aware of additional resources being deployed to assist. We are led to understand that the transition to the planned Oracle ERP version, in conjunction with process redesign will improve performance **(PR3)**

- **Asset Management** – whilst the Property and Assets Board and the Capital Board have a grip of assets earmarked for disposal, we are unsighted on a comprehensive strategy to actively manage and report asset utilisation and performance in year that can make balance sheet data a sound platform for optimal decision making **(PR6)**

- **Finance Team Structuring** – real progress has been made on delivering best practice but continuity risks remain where key staff are interim. Over the medium term it is critical that the structure of the Finance Team reporting to the Deputy S151 Officer has an appropriate blend of full time and interim senior practitioners. We are mindful that Birmingham have engaged KPMG to assist with the formulation of an improved Target Operating Model (TOM) and that the overall TOM project is being managed at pace, yet creating the optimal balance on the assimilation of staff with long standing experience and the recruitment of external specialists will be key. Indeed, our evidence points to the TOM Project being robustly managed and we would have a high level of confidence that the TOM Project Board will deliver an optimal Finance structure and overarching model that will make Birmingham a Finance centre of excellence **(P2)**

- **Procurement** – there is difficulty gaining traction with service directorates and alongside issues of under resourcing place drag on overall procurement capability – particularly on active performance management of contractor/supplier performance throughout the life of each contract – this remains partial at best. Capacity of the Procurement Team is considered to be a stretched. We understand that work is underway in creating a Target Operating Model (TOM) for Procurement and would, as with the Finance TOM Project, have full confidence that the issues identified will be fully addressed with the same rigour in early course **(PR13)**

Unlike the issues highlighted within our April 2019 assessment, the 2021 development issues are not predominately interlinked and are more aligned to continuous improvement than core fundamental good practice compliance change.

Overall assessment

It is clear that over the last two years at Birmingham City the core finance function has moved on from the more traditional stewardship aspects of financial management that had evolved through keeping the services 'safe' by trying to ensure that the organisation works within its approved financial targets to a much more mature and dynamic supporting and enabling service. Our evidence strongly points to a significant improvement having been achieved over the last two years on Financial Management capability to a position where high levels of effectiveness are now evident. Average total statement scoring for the 30 statements across the Financial Management Model has increased by approximately 30%. Our latest assessment using CIPFA's FIVE STAR model is outlined below.

Current Snapshot: Overall Star Rating

Overall, as at May 2021 Birmingham City Council received a **three-star rating based on a revised statement average scoring.**

CIPFA has independently assessed 90 organisations against best practice using its Financial Management Model. On a comparative basis the average statement scoring would place Birmingham just within the top quartile for comparable organisation scoring.

Birmingham City Council's rating is consistent with the key aspects of CIPFA's Definition of a Three-Star Rating

Notwithstanding average statement scoring, CIPFA developed generic attribute definitions for each star rating linked to capability maturity and, from experience, key factors that are typically found in organisations with specific star rating profiles. The typical generic rating for a THREE STAR organization profile is outlined below. Whilst aspects of this generic definition are clearly evident within Birmingham, the pace and direction of travel on improvement points to a more than adequate approach to deal with 'challenging times' and Birmingham has moved beyond sound financial management towards highly effective financial management capability.

CIPFA's Definition of a Three-Star Rating (generic Three Star) :

"The organisation has sound financial management capability and has arrangements in place that are adequate in supporting the organisation under stable conditions and enables it to incrementally develop but is not sufficient for challenging times or driving transformational change. There is a medium-term financial strategy and competent investment programme management that ensures that most programmes are implemented although invariably not all projects will come within budget and timescales. Commercial capabilities exist but are only partially developed. "

Direction of travel – progression to a FOUR-STAR organisation

Given the grip, pace and progression described above it is envisaged that if this level of progress is maintained, **Birmingham has real potential to achieve FOUR STAR Status by September 2022, subject to the current trajectory of improvement and the series of development area improvements highlighted within this report being substantially addressed**. FOUR STAR attributes are outlined below (albeit generic). Given the current trajectory of improvement we believe that this can be achieved at Birmingham and indeed, already have some evidence that aspects of this generic description current exist albeit not fully embedded.

CIPFA's Definition of a Four-Star Rating (generic Four Star) :

The organisation has in place strong financial management capability which enables it to deliver effective outcomes in challenging times, provides stability through to the medium term, is agile in adapting to unforeseen events, continually identifies opportunities to improve its performance and contributes to organisational transformational change. Most investment programmes are delivered to time and cost. The organisation has strong insight into cost drivers and commercial capabilities are highly evident with strategic and operational planning.

In summary, Birmingham have made great strides in addressing the issues that constrained overall financial management capability. Indeed, turning some weaknesses into strengths. We would conclude that this progression highlights a highly commendable response to issues arising within our April 2019 assessment. It would be our considered view that Birmingham City Council should be considered to be an exemplar in the transformation of financial management capability given the extent of improvement achieved over the last two years.

We would also like to take this opportunity to record our sincere gratitude to the members, staff and related stakeholders of Birmingham City Council who provided extremely valuable support in the course of our work through liaison, interview or survey participation.

Issues and Recommendations

As highlighted above five issues were identified as requiring development during our assessment. These recommendations have been translated into a more detailed Improvement Plan which will be agreed with Birmingham City Council.

Summary	Issues	Recommendation
Accountability for financial performance and competency framework		
Accountability for financial performance and Financial Competency Framework	Significantly strengthened through the issue of formal letters outlining delegated responsibility and accountability for financial performance. Significant improvement also provided by advance Business Partnering support. However, there is still work to be done to move away from high levels of dependency. The move to a new Oracle ERP system with potential for meaningful self-service functionality should further encourage ownership and less dependency on budget holders relying on finance support for a basic in-year position. Linked to this still some work required to embed a competency framework across the operational services in a way that allows for clarity on financial management objectives and appraisal of financial performance in the same way as operational performance. This needs to be linked to training needs being assessed and any gap analysis addressed by appropriate external/inhouse training as best matches any deficiency	More formally embed financial objectives within performance appraisal for budget holders including financial management responsibilities. Exploit self-service capabilities from replacement Oracle ERP to enable budget holders to be fully committed and responsible for in-year forecasting. Create a Financial Management competency framework to articulate the various accountabilities and responsibilities for financial management across the organisation. This should clarify the budget management expectations of budget holders as well as the more strategic responsibilities of the finance function. Connected to appraisal carry out a systematic training needs analysis which seeks to fill gaps through external/internal provision of appropriate training. The emerging competency framework should be used as a basis to assess current performance, desired performance and the skills gap
Transactional Finance		
Transactional Finance	Limitations around accounts payable functionality and overall KPI performance needs to improve although we are aware of additional resources being deployed to assist. We are led to understand that the transition to the planned Oracle ERP version, in conjunction with process redesign will improve performance	Improved grip required on KPI performance and active transitional arrangements towards relevant functionality within replacement Oracle ERP. New interim resource to be used to import best practice improvement on process management
Asset Management		
Asset Management	Whilst the Property and Assets Board and the Capital Board have a grip of assets earmarked for disposal, we are unsighted on any comprehensive strategy to actively manage and	Stronger link between active asset management and balance sheet reporting required which highlights the scope for optimising asset creation, enhancement and disposal

report asset utilisation/performance in year that can make balance sheet data a sound platform for optimal decision making

Finance Team restructuring

Finance Team restructuring

Real progress made on delivering best practice but continuity risks remain where key staff are interim. Over the medium term it is critical that the structure of the Finance Team reporting to the Deputy S151 Officer has an appropriate blend of full time and interim senior practitioners. Indeed, our evidence points to the TOM Project being robustly managed and we would have a high level of confidence that the TOM Project Board will deliver an optimal Finance structure and overarching model that will make Birmingham a Finance 'centre of excellence '

Within the formulation of the Target Operating Model (TOM) an optimal structure that allows an effective blending of external expertise (high calibre practitioners) with existing experienced staff.

Procurement

Procurement

There is difficulty gaining traction with service directorates and alongside issues of under resourcing place drag on overall procurement capability – particularly on active performance management of contractor/supplier performance throughout the life of each contract – this remains partial at best. Capacity of the Procurement Team is considered to be a stretched. We understand that work is underway in creating a Target Operating Model (TOM) for Procurement and would, as with the Finance TOM Project, have full confidence that the issues identified will be fully addressed with the same rigour in early course

Team resourcing and impact may be linked. We understand that work is underway in creating a Target Operating Model (TOM) for Procurement and the issues identified above will be fully addressed

Improvement Plan – this is still to be agreed

In relation to the above issues and recommendations we understand that the TOM Project would be the appropriate forum to take these initiatives forward. The table below is a standard template outlining roles/responsibilities but we would assume that our recommendations would be fully absorbed within the existing TOM Project planning.

Actions required	Current position	Lead responsibility	Timescale
Accountability for financial performance and Financial Competency Framework			
<i>Transactional Finance</i>			
<i>Asset Management</i>			
<i>Finance Team restructuring Accountability for Financial</i>			
<i>Procurement</i>			

Appendices

Appendix I: FMCR Information Sources

Electronic Survey

83 contributors were surveyed as part of the electronic survey. The contributors were categorised into a specific survey group which best reflected their own characteristics. Each survey group were given only those FM Model statements and supporting questions that were considered to have best relevancy to that survey group, The survey groups and number of contributors is highlighted below:

Group	Survey Groups	Totals
SG1	Strategic/Corporate Finance	14
SG2	Operational Finance	21
SG4	Operational Managers	48
Total		83

List of Interviewees – 42

The following is a listing of some 42 contributors that took part in the 2021 assessment. This is up on 31 interviewees brought within the corresponding 2019 exercise:

	Forename	Surname	Job Title
1	Cllr Ian	Ward	Council Leader
2	Cllr Tristan	Chatfield	Finance Portfolio Holder
3	Cllr Sir Albert	Bore	Scrutiny Chair
4	Cllr Fred	Grindrod	Audit Committee Chair
5	Cllr Paulette	Hamilton	Cabinet Member
6	Cllr Carl	Rice	Cabinet Member
7	Cllr John	O'Shea	Cabinet Member
8	Rebecca	Hellard	Interim Director of Finance and Governance – S151 Officer
9	Sara	Pitt	Assistant Director Service Finance – Deputy S151 Officer
10	Suzanne	Dodd	City Solicitor and Monitoring Officer
11	Kevin	Hicks	Assistant Director Highways and Infrastructure
12	Jonathan	Tew	Assistant Chief Executive
13	Peter	Bishop	Director Digital and Customer Services
14	Nicola	Jones	Assistant Director Inclusion and SEND
15	Robert	James	Acting Director Neighbourhoods
16	John	Williams	Assistant Director Community and Operational
17	Chris	Jordan	Assistant Director Neighbourhoods
18	Waqar	Ahmed	Assistant Director Community Safety and Resilience
19	Ian	MacLeod	Acting Director Inclusive Growth
20	Tim	Normanton	Acting Assistant Director – Human Resources
21	Andy	Couldrick	Chief Executive – Birmingham Children's Trust
22	Sarah	Dunlavy	Assistant Director – Audit and Risk Management
23	Graeme	Betts	Director of Adult Social Care
24	Mike	Fagan	Interim Head of Transactional Services

25	Paul	Busst	Head of IT Transformation
26	Carl	Tomlinson	Business Partner - Neighbourhoods
27	Kalvinder	Kholi	Head of Servicing Commissioning Adult Social Care
28	Lee	Bickerton	Business Partner – Central Services
29	Alan	Layton	Head of Financial Planning
30	Ilgan	Yusuf	Acting Assistant Director Skills and Employability
31	Simon	Ansell	Business Partner Inclusive Growth
32	Mohammed	Sajid	Head of Financial Strategy Capital & Treasury
33	Anthony	Farmer	Head of Practice Management – Legal Services
34	Richard	Tibbats	Acting Head of Procurement
35	John	Hardy	Commissioning Manager
36	Paul	Lankester	Interim Assistant Director Regulation and Enforcement
37	Nick	Smith	Manager – Customer Services
38	Louise	Collett	Assistant Director Commissioning
39	Philip	Edwards	Assistant Director – Transport and Connectivity
40	Mark	Astbury	Business Partner – Adults Social Care
41	Wendy	Griffiths	Assistant Director – Customer Services and Business Support
42	Jon	Roberts	Partner - Grant Thornton – External Auditors

List of Documents Reviewed

The documents reviewed by CIPFA included but were not limited to the following:

- Birmingham City Council Delivery Plan 2020-2022
- Financial Plan 2021-2025
- Revenue Budget - 2021/22
- Birmingham City Council Annual Report and Accounts 2019/2020
- Committee Structure 2021/2022
- Senior Management Organisation Chart
- Corporate Finance Organisation Chart
- Directorate Business Partner Teams Organisation Structure
- Service Innovation (Service Finance) Org Structure Chart
- CLT Scrutiny Framework 2021/2022
- Officer and Member Report Checklist
- Annual Governance Statement 2019/2020
- Management Level Governance arrangements
- Assurance Statement – Internal Audit 2019/20
- Procurement Business Unit Assurance Statement 2019/20
- Legal and Democratic Business Unit Assurance Statement
- Birmingham City Council: Commissioning Strategy v14
- Birmingham City Council Constitution – Final September 2020
- Financial Monitoring Reports for CLT – Monthly
- Monitoring 2020/21 Q1 and Q2
- Financial Monitoring Q3
- CPS Business Plan Action Plan
- 2020-2021 Summary Slide Template
- Finance Staff Training Strategy
- Budget Holder Training including Induction
- Accountable Bodies Framework
- Core Cities Analysis
- West Midlands Analysis
- Commissioning Frameworks
- Prevention Outcomes Framework
- Cabinet Report – PPF Vulnerable Adults Housing
- VAH1 – anonymized suppliers and values

- Social Justice Board TORs - 2.3.2021
- Vulnerable Adults PBO evaluation - 5.05.2021
- Annual Performance data Year 1 2019 2020 Offenders/Yong People Singles/Couples
- Birmingham City Council Financial Regulations
- Birmingham City Council – Contract Standing Orders
- Birmingham City Council – Scheme of Delegation

Appendix II: FM Model Statement Definitions and Scoring

Three Financial Management styles:

Delivering Accountability

Emphasis on control, probity, meeting regulatory requirements and accountability.

Supporting Performance

Responsive to customers, efficient and effective, and with a commitment to improving performance.

Enabling Transformation

Strategic and customer-led, future orientated, proactive in managing change and risk, outcome focused and receptive to new ideas.

Four Management dimensions

Leadership

Strategic direction and business management, and the impact on financial management of the vision and involvement of the organisation's Board members and senior managers.

People

Includes both the competencies and the engagement of staff. This aspect generally faces inward to the organisation.

Processes

Examines the organisation's ability to design, manage, control and improve its financial processes to support its policy and strategy.

Stakeholders

Relationships between the organisation and those with an interest in its financial health; customer relationships within the organisation, between finance services and its internal users.

Rating	Qualifying Scoring	
*****	4	World Class
	3.5	Totally evidenced
	3.25	Strong
****	3.24	Strong
	2.75	Highly evident
***	2.74	Evident
	2.25	Mostly
**	2.24	Competent
	2	Basic
*	1.99	Lower than basic
	1.5	Minimal
	1.25	Weak
	1	Weak
	0.75	Inadequate
	0.5	Inadequate
	0	Not at all

Statement scoring – April 2019 and May 2021

	Leadership		Scoring April 2019	Scoring May 2021
Delivering Accountability	L1	Financial capability is regarded as integral to supporting the delivery of the organisation's objectives. The CFO is an active member of the board, is at the heart of corporate strategy/business decision making and leads a highly visible, influential and supportive finance team.	3.00	3.25
	L2	The organisation has an effective framework of financial accountability that is clearly understood and applied throughout, from the board through executive and non-executive directors to front line service managers.	2.50	2.75
	L3	Within an annual budget setting process the organisation's leadership sets income requirements including tax and allocates resources to different activities in order to achieve its objectives. The organisation monitors the organisation's financial and activity performance in delivering planned outcomes.	2.00	2.75
Performance	L4	The organisation has a developed financial strategy to underpin medium and longer term financial health. The organisation integrates its business and financial planning so that it aligns resources to meet current and future outcome focussed business objectives and priorities.	2.00	2.50
	L5	The organisation develops and uses financial/leadership expertise in its strategic decision-making and its performance management based on an appraisal of the financial environment and cost drivers.	1.75	2.50
Transformation	L6	The organisation's leadership integrates financial management into its strategies to meet future business needs. Its financial management approach supports the change agenda and a culture of customer focus, innovation, improvement and development.	1.50	2.25

	People		Scoring April 2019	Scoring April 2021
Delivering Accountability	P1	The organisation identifies its financial competency needs and puts arrangements in place to meet them.	0.50	2.00
	P2	The organisation has access to sufficient financial skills to meet its business needs.	2.00	3.00
Performance	P3	The organisation manages its finance function to ensure efficiency and effectiveness.	0.50	2.00
	P4	Finance staff provide business partner support by interpreting and explaining performance as well as advising and supporting on key business decisions.	1.50	2.25
	P5	Managers understand they are responsible for delivering services cost effectively and are held accountable for doing so. Financial literacy is diffused throughout the organisation so that decision takers understand and manage the financial implications of their decisions.	1.25	2.25
Transformation	P6	The organisation develops and sustains its financial management capacity to help shape and support its transformational programme.	1.00	2.00

	Processes		Scoring April 2019	Scoring April 2021
Delivering Accountability	PR1	Budgets are accrual-based and robustly calculated	2.00	2.50
	PR2	The organisation operates financial information systems that enable the consistent production of comprehensive, accrual based, accurate and up to date data that fully meets users' needs.	2.00	2.25
	PR3	The organisation operates and maintains accurate, timely and efficient transactional financial services (eg creditor payments, income collection, payroll, and pensions' administration).	2.50	2.25
	PR4	The organisation's treasury management is risk based. It manages its investments and cash flows, its banking, money market and capital market transactions, balancing risk and financial performance.	3.00	3.25
	PR5	The organisation actively manages budgets, with effective budget monitoring arrangements that ensure 'no surprises' and trigger responsive action.	2.25	3.00
	PR6	The organisation maintains processes to ensure that information about key assets and liabilities in its balance sheet is a sound and current platform for management action.	2.00	2.25
	PR7	Management understands and addresses its risk management and internal control governance responsibilities.	2.50	2.75
	PR8	Management is supported by effective assurance arrangements, including internal audit, and audit and risk committee(s).	3.00	3.25
	PR9	The organisation's financial accounting and reporting are accrual based and comply with international standards and meet relevant professional and regulatory standards.	3.00	3.25

	Processes (Continued)		Scoring April 2019	Scoring April 2021
Performance	PR10	The organisation's medium-term financial planning process underpins fiscal discipline, is focussed upon the achievement of strategic priorities and delivers a dynamic and effective business plan.	2.00	2.75
	PR11	Forecasting processes and reporting are well developed and supported by accountable operational management. Forecasting is insightful and leads to optimal decision making.	1.75	2.50
	PR12	The organisation systematically pursues opportunities to reduce costs and improve value for money in its operations.	1.25	2.00
	PR13	The organisation systematically pursues opportunities for improved value for money and cost savings through its procurement, commissioning and contract management.	1.75	1.75
Transformation	PR14	The organisation continually re-engineers its financial processes to ensure delivery of agreed outcomes is optimised.	1.75	2.00
	PR15	The organisation's financial management processes support organisational change.	1.25	1.50

	Stakeholders		Scoring April 2019	Scoring April 2021
Delivering Accountability	S1	The organisation provides external stakeholders with evidence of the integrity of its financial conduct and performance, and demonstrates fiscal discipline including compliance with statutory/legal/regulatory obligations.	2.00	3.00
Performance	S2	The organisation demonstrates that it achieves value for money in the use of its resources.	1.25	2.00
Transformation	S3	The organisation is responsive to its operating environment, seeking and responding to customer and stakeholder service and spending priorities that impact on its financial management.	2.50	2.50

Overview and Scrutiny Committee Information briefing

Briefing From: Rokneddin Shariat

Briefing For: Coordinating Overview & Scrutiny Committee

Date: 9 July 2021

Title: Review of the Birmingham Business Charter for Social Responsibility (BBC4SR) and associated policies.

Purpose:

- To brief the members of the Coordinating Overview and Scrutiny Committee (Resources) on the proposals being considered for inclusion in the update the Birmingham Business Charter for Social Responsibility and associated policies (Social Value Policy and Living Wage Policy)
- To engage Scrutiny Members' comments and suggestions on the proposals

Background:

The BBC4SR and its associated policies were introduced in September 2013 and have been updated twice since then. The application and delivery of Social Value is being developed continually both in national and regional settings and whilst knowledge and learning is shared, we now need to reflect the current thinking and development into our policies, procedures and practices.

The revised policies are due to be presented to Cabinet for approval in September 2021 with a number of proposed changes described further in this document and given the number and extent of changes proposed it is important that the relevant stake holders are consulted.

Living Wage: The policy and practice is well understood and communicated to bidders and contractors. The next area of development is the work being undertaken to make Birmingham a Living Wage City. There is a group progressing this work which is led by Councillor John Cotton. Charter signatories will be encouraged to become accredited Living Wage employers and contribute to

this initiative. Therefore, it is not intended for the Living Wage policy to be updated at this stage.

The BBC4SR and Social Value Policy are interlinked and any changes in one will need to be reflected in the other. Officers will consult relevant stakeholders and consider those views before making recommendations to Cabinet.

Considerations:

The following are being considered for inclusion in the update and depending on the result of the consultation will be recommended or not for inclusion in the policy update.

Group 1: This group are general updates reflecting the progress made in social value both externally and within the Council and should be included to ensure consistency and therefore consultation is not proposed. Collectively, they will improve our approach to social value by making it more up to date.

- Review and update the Themes, Outcomes, Measures according to the latest release of TOMs from the National social value taskforce. The National Social Value Taskforce updates the TOMs regularly according to the latest economic and fiscal data.
- Update the ward ranking according to the Index of Multiple Deprivation 2019 (Currently 2015).
- Re- insert list of commitments in one sheet to assist in completing the action plan.
- Update the guidance documents on the Council's web site to reflect the updated policies.
- Incorporate the SV rationale into the process and the priority matrix in the guidance for commissioners and procurers to complete.
- Make reference to ward plans so that prospective bidders are better able to understand context and local priorities.
- Inclusion of the Fair Tax Mark and the Council's adoption of the 'Councils for Fair Tax Declaration'.
- Inclusion of the Steel Charter, as promoted by Trade Unions and the Industry, which aims to maximise opportunities for UK steel produces.
- Frameworks are by nature complex as the value of the contract is not clear at the outset. Further guidance to be developed for commissioners and procurers.
- Provide further guidance and information to businesses on how they can contribute to outcomes by focusing on specific activities and target groups such as:
 - Providing support to ex-offenders
 - Providing support to refugees and migrants
 - Contributing to address period poverty

Group 2: This group of considerations require consultation and review of the options:

- Replace 10 miles and 30 miles with Birmingham and WMCA borders. This change will align the approach from the Social Value Policy and the Employment Access Team (EAT).
- The definition of employment differs between the BBC4SR and EAT commitments. The Charter defines employment as anyone employed on the project (or contract). However, EAT only recognises new entrants (i.e. not employed before the project). It is proposed to include a new measure that recognises new entrants. This can be in the form of FTE, apprentice or work placement.
- PPN 06/20. The Cabinet Office issued this Policy Notice and associated guidance for central government departments together with a minimum requirement of 10% weighting for bid evaluation. The Council needs to consider to what extent it may wish to align its social value approach to this national framework.
- It is proposed to include a new measure(s) to make commitments on carbon neutral position to align to the Council's Route to Zero (R20) strategy. Consultation will need to take place on whether to adopt the National TOMs NT44 (Programme to achieve net zero by 2030) or any other similar measure. The difficulty being whether this is an organisational commitment or a contract commitment.
- Consider removing the innovation theme from the Charter. This change will ensure that commitments are more tangible. However innovative approaches to social value will be recognised in the method statement which is also evaluated and scored.
- The nationally recognised Prompt Payment Code is referenced in the Charter as a commitment. However, this commitment is to pay suppliers within 60 days. Since the Council pays suppliers within 30 days, it is proposed to make this a minimum requirement for our contracts. If agreed, it can be incorporated in the Terms and Conditions.
- Consult with stakeholders about their views on paying apprentices the Real Living Wage. This is not required under the Real Living Wage rules.

Group 3: These considerations are long term views and aspirational and need discussion with stakeholders both internally within the Council and externally.

- Consult on whether main contractors are prepared to consider changes to the deprivation matrices as a social value commitment.
- Consider including COVID-19 related measures that focus on target groups and issues to address.

- Map which charter measures contribute to the outcomes sought by the Anchor Institutions.
- Consider Including Social Value in Property sale agreements
- Consider including Social Value in the Planning process – This is an opportunity to achieve Social Value outcomes from an area of activity not previously considered. Other Councils such as Coventry and Islington are leading in this area.
- Develop guidance on the interplay between spend value, Social Value maturity of the market, risk, competitiveness and profitability for commissioners and procurers to help define more achievable Social Value.

The resulting recommendations from the consultation will strengthen the Council's approach and help to achieve more, both in the quantity and quality of social value outcomes delivered.

R Shariat
25/06/2021



Co-ordinating O&S Committee: Work Programme 2021 /22

Chair	Cllr Carl Rice
Deputy Chair	TBC
Committee Members:	Cllrs: Mohammed Aikhlaq, Deirdre Alden, Debbie Clancy, Liz Clements, Roger Harmer, Penny Holbrook, Shabrana Hussain, Mariam Khan, Narinder Kaur Kooner, Ewan Mackey and Rob Pocock
Scrutiny Support:	Emma Williamson, emma.williamson@birmingham.gov.uk

1 Terms of Reference

Co-ordinating Overview and Scrutiny Committee

- 1.1 To plan and co-ordinate the work of all the Overview & Scrutiny Committees. To fulfil the functions of an Overview and Scrutiny Committee as they relate to any policies, services and activities concerning governance (including transparency, regional working and partnerships); citizens (including communications and public engagement); performance; customer services; social cohesion; equalities and emergency planning.
- 1.2 These functions include:
 - a) giving such guidance to the Overview and Scrutiny Committees in any cases of uncertainty, as to work which they should or should not be undertaking, as may be necessary to achieve such co-ordination, including the allocation of "call-in" to the appropriate Committee;
 - b) determining, in any cases of uncertainty, the allocation of responsibility for specific tasks between the Overview and Scrutiny Committees;
 - c) ensuring (by means, for example, of issuing appropriate guidance and/or instructions) that the Overview & Scrutiny Committees pay proper attention in their work to the consideration of key cross cutting issues, in particular equalities, transparency and improvement;
 - d) publishing each year an Annual Programme of major scrutiny inquiries as suggested by individual Overview and Scrutiny Committees following consideration of the Council Plan and priorities;
 - e) agreeing the establishment of any task & finish groups; and
 - f) considering overview and scrutiny development, working practices and constitutional arrangements.



2 Meeting Schedule

Date	Item	Officer contact
18 June 2021 at 10.00 am On-line Informal Meeting	Leader of the Council Work Programme	Rebecca Grant, Cabinet Support Officer
09 July 2021 10.00 am On-line Informal Meeting	Update from CIPFA on Financial Management capability (Cllr Tristan Chatfield, Cabinet Member for Finance & Resources) Review of the Birmingham Business Charter for Social Responsibility	Jon Lawton, Cabinet Support Officer Rokneddin Shariat, Procurement Strategy and Development Mgr
10 September 2021 10.00 am	Update from Cabinet Member on equalities and social cohesion City of Sanctuary Policy Statement 2018-22 – progress report	Amayo Fajinmi, Cabinet Support Officer Bethany Finch, Commissioning Manager
15 October 2021 10.00 am	Deputy Leader update <ul style="list-style-type: none"> • Customer services • Council Performance Plan • Digital inclusion / on-going broadband work 	Mary Crofton, Cabinet Support Officer
12 November 2021 10.00 am	Electoral Update (tbc)	Rob Connelly, Assistant Director, Governance
10 December 2021 10.00 am		
21 January 2022 10.00am		
18 February 2022 10.00am		
11 March 2021 10.00am		
08 April 2021 10.00am	Annual Review of Scrutiny Framework	Emma Williamson, Head of Scrutiny Services

3 Items to be Scheduled

3.1 Citizen engagement – overview



3.2 Covid-19 – review

4 Other Meetings

Informal Meetings

Call in

None scheduled

Petitions

None scheduled

Councillor Call for Action requests

None scheduled

5 Forward Plan

5.1 Below is an extract of the Forward Plan, detailing those decisions relating to this Committee's remit.

Ref No.	Title	Proposed Date of Decision
008892/2021	New Ways of Working Enhanced Business Case	27 Jul 21
008866/2021	USE-IT Transfer Network	27 Jul 21

6 Scrutiny Reports to City Council

O&S Committee	Report Title	Date for Council
All	Scrutiny Business Report	September 2020
All	Scrutiny Business Report	December 2020
All	Scrutiny Business Report	April 2021



Commonwealth Games, Culture & Physical Activity O&S Committee: Work Programme 2021/22

Chair: Cllr Mariam Khan

Deputy Chair: Cllr Gurdial Singh Atwal

Committee Members: Cllrs: Mohammed Azim, Morriam Jan, John Lines, Yvonne Mosquito, Ron Storer and Martin Straker Welds

Officer Support: Acting Group O&S Manager: Ceri Saunders (303 2786)
Scrutiny Officer: Amanda Simcox: (675 8444)
Committee Manager: Louisa Nisbett (303 9844)

1 Terms of Reference

- 1.1 'To fulfil the functions of an Overview and Scrutiny Committee as they relate to any policies, services and activities concerning the Commonwealth Games; arts and culture; libraries and museums; sport; events and physical activity'.

2 Members Proud Host City Forum

- 2.1 Elected Members are also invited to attend the Members Proud Host City Forum. This is an informal group meeting open to all Elected Members. Guest speakers from across Commonwealth Games (CWG) partnership are invited, alongside officers from the council. Dates and topics are:
- 20 May 2021 at 17:30 - 18:30. Topic: CWG volunteering. Andrew Newman, Laura Easton and Lee Marsham from the Organising Committee (OC) were guest speakers.
 - 24 June 2021 at 17:45 - 18:30. Topic: CWG tickets. Elizabeth Howells, from the OC was the guest speaker.
 - 15 July 2021 at 17:30 - 18:30. Topic: CWG tourism, business & marketing. Neil Rami, chief executive of West Midlands Growth Company will be the guest speaker.

3 Priority Issues and Items to be Scheduled

- 3.1 The following were highlighted at the informal meeting on 8th July 2020 as potential priorities to be followed up through the work programme:
- (a) There is an opportunity for the committee to track the participation of women in sport – a possible place to start would be to invite key women who have achieved in the sporting arena to provide their input to the committee.
- (b) To continue the work on community engagement already begun, community representatives including possibly representatives from Commonwealth countries could be invited to talk to the



committee (remotely) about how we can work together to promote the CWG.

(c) Engage with small voluntary and arts organisations on what they would like to see happen/how they can get involved.

3.2 Members would like to invite Directors within the Council to future committee meetings to discuss their plans for their Directorate in relation to supporting the Games (**taking place from 28 July to 8 August 2022**) and the Games legacy. Policy Insight and Prevention Directorate - Jonathan Tew, CWG Legacy Lead & Assistant Chief Executive; Waqar Ahmed, AD, Community Safety and Resilience; and Eleri Roberts, AD, Communications from the Policy Insight and Prevention Directorate attended the 7 April 2021 committee meeting. Members had a workshop to discuss resilience, safety and security, and public health on 8th June 2021. Janet Bradley on behalf of Mark Croxford, Head of Environmental Health is to be invited to a future meeting to discuss public health.

3.3 A joint visit with the Economy & Skills O&S Committee to the Alexander Stadium to be organised.

3.4 The following have been followed up through the work programme:

(d) There is also an opportunity for committee members to be involved in and influence and shape the £2m ward funding, by working to input to the 'co-design' process to come up with ideas and suggestions about what criteria would work best locally and how to maximise the legacy benefits to the local community. Discussed 7th October 2020.

(e) Invite Martin Green, who is in charge of the cultural programme to a future committee meeting to discuss the cultural programme with members. Attended 7th October 2020.

(f) Maximising the benefits for businesses is another strand of work. There is an opportunity for Birmingham businesses to benefit from the trade and tourism investment being developed by the West Midlands Growth Company. Neil Rami, Chief Executive of the WMGC attended the 2nd September 2020 meeting to discuss the investment programme.

4 Meeting Schedule

4.1 Below is the list of committee dates and items. However, Members may want to use some of these dates for other things, such as visits and informal briefings etc.

Date & Time (1.30pm)	Items	Officers / Attendees
8 June 2021 @12.30 Informal Meeting	Commonwealth Games: Safety, Resilience and Health Protection Workshop	Jonathan Tew, CWG Legacy Lead & Assistant Chief Executive; Waqar Ahmed, AD, Community Safety and Resilience; Michael Enderby, Head of Resilience; Dr Mary Orhewere, AD, Environmental PH and Health Protection & Janet Bradley on behalf of Mark Croxford, Head of Environmental Health
	Future Work Programme Discussion	



Date & Time (1.30pm)	Items	Officers / Attendees
14 July 2021 Report Deadline: 5 July 2021	CWG: City Operations Directorate Building the stadium and involved in City Readiness for matters such as parks Above and beyond core games deliverables: <ul style="list-style-type: none"> • What is the City Operations Directorate doing to exploit the opportunity presented by the CWG • What is Street Scene doing to exploit the opportunity presented by the CWG • What are Regulations and Enforcement doing to exploit the opportunity presented by the CWG 	Rob James, Managing Director, City Operations Directorate
	CWG: City Housing Directorate <ul style="list-style-type: none"> • What is the Housing Directorate doing to exploit the opportunity presented by the CWG 	Julie Griffin, Managing Director, City Housing Directorate & John Jamieson, Head of Service for Housing Management
	CWG: Human Resources Directorate Above and beyond core games deliverables: What if anything is HR doing to exploit the opportunity presented by the CWG.	Craig Scriven, AD, Human Resources & Organisational Development, and Amarjit Sahota, Culture Change Manager, Human Resources
8 September 2021 Report Deadline: 26 Aug 2021	CWG: Children's Trust Opportunities for Children in Care and Care Leavers	Andy Couldrick, Chief Executive, Children's Trust, Jake Shaw, RAP and CP Head of Service, and Alex Hillman, Corporate Parenting Coordinator
	CWG: Adult Social Care Directorate Involved in Legacy? Above and beyond core games deliverables: <ul style="list-style-type: none"> • What if anything is Adult Social Care doing to exploit the opportunity presented by the CWG • What if anything is Adults Commissioning to exploit the opportunity presented by the CWG 	Maria Gavin, Assistant Director – Quality and Improvement, Adult Social Care, (Donna Nock PA)



Date & Time (1.30pm)	Items	Officers / Attendees
	<p>CWG: Education and Skills Directorate</p> <p>Involved in Legacy?</p> <p>Above and beyond core games deliverables:</p> <ul style="list-style-type: none"> • What is Education and Skills doing to exploit the opportunities presented by the CWG • What if anything is Education and Skills looking to do with Birmingham Schools related to the CWG • What if anything is Education and Skills doing with relation to the Virtual School and Commonwealth Games • What if anything is Education and Skills doing with relation to SEND and the CWG • What if anything is Education and Skills looking to do with Early Years and the CWG <p>What if anything is Education and Skills looking to do with employability and the CWG</p>	<p>Kevin Crompton, Interim Director Children's Services</p> <p>TBC</p>
<p>6 October 2021</p> <p>Report Deadline: 27 Sep 2021</p>	<p>CWG: Inclusive Growth Directorate</p> <p>Involved in City Readiness Matters such as transport, public realm, still building the PBRS</p> <p>Above and beyond core games deliverables:</p> <ul style="list-style-type: none"> • What is the Inclusive Growth Directorate doing to exploit the opportunity presented by the CWG • What is the Planning department doing to exploit the opportunity presented by the CWG • What is Transport and Connectivity doing to exploit the opportunity presented by the CWG • What is Highways and Infrastructure doing to exploit the opportunity presented by the CWG • What is Housing and Planning doing to exploit the opportunity presented by the CWG <p>CWG: Finance and Governance Directorate</p> <p>Looking after games finances, legal and procurement matters, and council governance matters</p> <p>Above and beyond core games deliverables:</p> <ul style="list-style-type: none"> • What is the Finance and Governance doing to exploit the opportunity presented by the CWG • What is Commercial doing to exploit the opportunity presented by the CWG 	<p>Ian MacLeod (Louise O'Neill, Business Support Manager)</p> <p>Rebeca Hellard</p> <p>TBC</p>



Date & Time (1.30pm)	Items	Officers / Attendees
3 November 2021 Report Deadline: 25 Oct 2021	CWG: Digital and Customer Services Directorate Assisting the Programme with IT systems. Above and beyond core games deliverables: <ul style="list-style-type: none">• What is the Digital and Customer Services Directorate doing to exploit the opportunity presented by the CWG• What is the IT department doing to exploit the opportunity presented by the CWG• What is Revenue and Benefits doing to exploit the opportunity presented by the CWG• What is Customer Services doing to exploit the opportunity presented by the CWG	Dr Peter Bishop, Director for Digital & Customer Services (Freya Lane PA)
8 December 2021 Report Deadline: 29 Nov 2021		
12 January 2022 Report Deadline: 3 Jan 2022		
9 February 2022 Report Deadline: 31 Jan 2022		
9 March 2022 Report Deadline: 28 Feb 2022		
6 April 2022 Report Deadline: 28 Mar 2022		

5 Other Meetings

Call in Meetings:

None scheduled

Petitions

None scheduled



Councillor Call for Action requests

None scheduled

It is suggested that the Committee approves Wednesday at 1.30pm as a suitable day and time each week for any additional meetings required to consider 'requests for call in' which may be lodged in respect of Executive decisions.

6 Report(s) to City Council

6.1 Community Engagement in CWG - on hold due to Covid 19

Date	Item
2 October 2019 & 6 November 2019	Discuss piece of work to City Council / Executive
January 2020 – March 2020	Evidence Gathering
TBC	Report to City Council / Executive

7 Forward Plan for Cabinet Decisions

7.1 The following decisions, extracted from the Cabinet Office Forward Plan of Decisions, are likely to be relevant to the Commonwealth Games, Culture and Physical Activity O&S Committee's remit.

ID Number	Title	Proposed Date of Decision	Date of Decision
008307/2021	Perry Barr Regeneration Scheme – Full Business Case Update	27 Jul 21	
008869/2021	Future Parks Accelerator – 25 Year City of Nature Vision	07 Sep 21	



Economy & Skills O&S Committee: Work Programme 2021/22

Chair:	Cllr Shabrana Hussain
Deputy Chair:	Cllr TBC
Committee Members:	Cllrs Alex Aitken, Nicky Brennan, Maureen Cornish, Zaheer Khan, Chaman Lal, Simon Morrall and Darius Sandhu
Officer Support:	Ceri Saunders, Acting Group Overview & Scrutiny Manager (303 2786) Baseema Begum, Scrutiny Officer (303 1668) Errol Wilson, Committee Manager (675 0955)

1 Meeting Schedule

Date	What	Officer Contact / Attendees
16th June 2021 (informal) 1000 hours Online meeting	To discuss priorities for the 2021/22 work programme.	Scrutiny Office
14th July 2021 1000 hours BMI Main Hall Report deadline: 5 th July	Supporting the Economic Recovery from Covid-19 – jobs & skills & supporting SMEs	Maria Dunn, Head of Development Policy Ilgun Yusuf, Assistant Director, Skills & Employability
15th September 2021 1000 hours BMI Main Hall Report deadline: 6 th Sept	Cabinet Member for Education, Skills & Culture – Portfolio update to include Skills & Youth unemployment, Apprenticeship Levy (TBC) Update on Brexit (TBC)	Cllr Jayne Francis, Cabinet Member for Education, Skills & Culture Lloyd Broad, Head of European Affairs
6th October 2021 1000 hours BMI Main Hall Report deadline: 27 th Sept	East Birmingham Inclusive Growth Strategy – update (TBC) Redevelopment of Birmingham Smithfield and surrounding area (TBC)	Mark Gamble, East Birmingham Development Manager TBC



Date	What	Officer Contact / Attendees
10th November 2021 1 000 hours BMI Main Hall Report deadline: 1 st Nov	Economic impacts on Birmingham's night-time economy (culture, leisure and hospitality sectors) – in relation to the Birmingham Development Plan and residential developments and Covid-19 recovery (TBC)	TBC
8th December 2021 1 000 hours BMI Main Hall Report deadline: 29 th Nov	6-month assessment on the impact of the Clean Air Zone on businesses within the area (TBC)	TBC
5th January 2022 1 000 hours BMI Main Hall Report deadline: 23 rd Dec		
2nd February 2022 1 000 hours BMI Main Hall Report deadline: 24 th Jan		
2nd March 2022 1 000 hours BMI Main Hall Report deadline: 21 st Feb		
27th April 2022 1 000 hours BMI Main Hall Report deadline: 18 th April		

2 Other Meetings

Call in Meetings	<i>None scheduled</i>
Petitions	<i>None scheduled</i>



Councillor Call for Action Requests	<i>None scheduled</i>
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- 2.1 It is suggested that the Committee approve Wednesday at 1000 hours as a suitable day and time each week for any additional meetings required to consider 'requests for call in' which may be lodged in respect of Executive decisions.

3 Forward Plan for Cabinet Decisions

The following decisions, extracted from the Cabinet Office Forward Plan of Decisions, are likely to be relevant to the Economy & Skills O&S Committee's remit. **Please note this is correct at the time of publication.**

Reference	Title	Portfolio	Proposed Date of Decision
008987/2021	Medium Term Financial Plan (MTFP) update	n/a	27 Jul 2021
008892/2021	New Ways of Working Enhanced Business Case	Deputy Leader	27 Jul 2021
007538/2020	Disposal of Surplus Properties	Leader	27 Jul 2021
007686/2020	Historic Environment Supplementary Planning Document	Leader	27 July 2021
008307/2021	Perry Barr Regeneration Scheme – Full Business Case Update	Leader	27 Jul 2021
008398/2021	Moseley Road Baths – Outline Business case	Leader	27 Jul 2021
008632/2021	Property Strategy: Asset Review - Birmingham City Property Company	Leader	27 Jul 2021
008853/2021	East Birmingham Inclusive Growth: Programme Business Case	Leader	27 Jul 2021
008894/2021	Sale of Land at Lawson Street, Birmingham B4 7AT	Leader	27 Jul 2021
008948/2021	Place to Work - Place to Buy	Leader	27 Jul 2021
007884/2020	Proposed Compulsory Purchase Order –Digbeth & Allison Street Birmingham for the Beorma Quarter Development	Leader	07 Sep 2021
008303/2021	Asset Review - Multi-storey car park, Brunel Street, Birmingham	Leader	07 Sep 2021
008314/2021	Adoption of Parking Supplementary Planning Document	Leader	12 Oct 2021
009059/2021	Adoption of the Development Management in Birmingham (DMB) Development Plan Document	Leader	12 Oct 2021
009010/2021	Medium Term Financial Plan (MTFP) update	n/a	09 Nov 2021
008971/2021	Sale of 1 Lancaster Circus, City Centre	Leader	09 Nov 2021



Reference	Title	Portfolio	Proposed Date of Decision
009030/2022	Business Rates Income 2022/23	Leader	18 Jan 2022
009031/2022	DRAFT FINANCIAL PLAN 2022-2026	n/a	08 Feb 2022



Education and Children's Social Care O&S Committee: Work Programme 2021–2022

Chair: Cllr Narinder Kooner

Deputy Chair: Cllr Kerry Jenkins

Committee Members: Cllrs: Olly Armstrong, Charlotte Hodiola, Katherine Iroh, Saddak Miah, Kath Scott and Alex Yip

Education Representatives: Omar Hanif, Parent Governor; Adam Hardy, Roman Catholic Diocese; Rabia Shami, Parent Governor and Sarah Smith, Church of England Diocese

Officer Support: Acting Group O&S Manager: Ceri Saunders (303 2786)

Scrutiny Officer: Amanda Simcox: (675 8444)

Committee Manager: Mandeep Marwaha (303 5950)

1 Terms of Reference

- 1.1 As per City Council on 25th May 2021 the Committee's Terms of Reference is 'to fulfil the functions of an Overview and Scrutiny Committee as they relate to any policies, services and activities concerning schools and education, the Children's Trust, vulnerable children, corporate parenting, children and young people's health and wellbeing and other child social care and safeguarding functions of the council'.
- 1.2 The Overview and Scrutiny Committee dealing with education matters shall include in its membership the following voting representatives: a) Church of England diocese representative (one); b) Roman Catholic diocese representative (one); and c) Parent Governor representatives (two, who are on the Committee until April 2022).

2 Meeting Schedule

Date & Time	Items	Officers / Attendees
9 June 2021 at 10am Informal Meeting	To discuss priorities for 2021/22 work programme.	



Date & Time	Items	Officers / Attendees
<p>21 July 2021 at 10am</p> <p>In the Birmingham & Midland Institute (BMI)</p> <p>Deadline for Send Out: 12 July 2021</p>	<p>Cllr Booth, Children's Wellbeing Update (last discussed 10 Jul 2019).</p> <p>To include:</p> <ul style="list-style-type: none"> • Early Years. • SEND and EHCPs including the health aspect (waiting times etc). • Birmingham Youth Justice Strategic Plan 2021/22 (this is now scheduled for Cabinet 7 September 2021 rather than 29 June 2021). 	<p>Suman McCartney, Cabinet Support Officer</p> <p>Lisa Fraser, AD Education and Early Years, Ilgun Yusuf, Acting AD, Skills and Employability and Alan Michell, Head of School Admissions and Fair Access</p>
	<p>SEND Ofsted Inspection Outcome (SEND & WSoA last discussed 28 Oct 2020).</p> <p>To include:</p> <p>EHCPs including the health aspect (waiting times etc).</p>	<p>Kevin Crompton, Interim Director, Education & Skills / Director of Children Services (DCS)</p>
	<p>Hunters Hill College Update.</p>	<p>Cllr Francis & Cllr Booth</p>
	<p>Cllr Francis, Cabinet Member for Education, Skills and Culture update (last discussed 11 Sep 2019).</p> <p>To include:</p> <ul style="list-style-type: none"> • Youth Engagement and Youth Service (Youth voices – to include how the different forums are supported and how they can support each other; and the resources to support these). • Personal, Social and Health Education (PSHE) provided in schools. • Education of Children and Young People and the difficulty with the school system being set up for young people to be job ready, however there is a lack of jobs and universities are expensive. • Schools Forum and monitoring schools on how they are spending the money they are allocated. 	<p>Rose Horsfall, Cabinet Support Officer</p>
<p>1 September 2021 at 10am in the BMI</p>	<p>Home to School Transport Update.</p>	
<p>Deadline for Send Out: 23 August 2021</p>	<p>Children's Trust Update to include:</p> <ul style="list-style-type: none"> • Youth Justice Strategic Plan • Children in Care • Independent Advocacy Service / Rights and Participation Service 	<p>Andrew Christie, Chair and Andy Couldrick, Chief Executive, Children's Trust</p>



Date & Time	Items	Officers / Attendees
13 October 2021 at 10am in the BMI Deadline for Send Out: 4 October 2021	Cllr Cotton, Cabinet Member for Social Inclusion, Community Safety and Equalities to include: <ul style="list-style-type: none"> Rise in hate crime, youth crime and knife crime. Engagement of young people and how they are supported in relation to climate, BLM and increase in racism, Palestine, Me too campaigns etc. 	Marcia Wynter, Cabinet Support Officer
24 November 2021 at 10am in the BMI Deadline for Send Out: 15 November 2021	Birmingham Safeguarding Children's Partnership Annual Update to include: <ul style="list-style-type: none"> FGM 	Penny Thompson, Independent Chair, BSCP and Simon Cross, Business Manager (to be re-scheduled as Penny cannot make this date)
12 January 2022 at 10am in the BMI Deadline for Send Out: 30 December 2021		
16 February 2021 at 10am in the BMI Deadline for Send Out: 7 February 2022		
30 March 2022 at 10am in the BMI Deadline for Send Out: 21 March 2022		
6 April 2022 at 10am in the BMI Deadline for Send Out: 28 March 2022		

3 Items to be Programmed

3.1 The above bullet points are issues that were identified at the June 2021 meeting.



3.2 In addition to the above bullet points, Children's and Young People's health and wellbeing was identified. This is to be discussed with Co-ordinating Overview and Scrutiny Committee to ensure there is no duplication. However, the following could be joint piece of work with the Health and Adults Social Care O&S Committee regarding mental health due to the pandemic.

- The impact on children's and young people's mental health and how this is being supported.
- To include an update on the development of the footprint as a response to the pandemic to provide support to children with mental health, emotional neglect, domestic abuse (early help hubs). Early Help: Nigel Harvey-Whitten, AD, Children's Services (Commissioning) & Richard Selwyn, Birmingham Children's Partnership have now left and the contact is Garath Symonds.
- How children and young people and mainstream schools coped with the return to school and the resulting pressures (including missed education and anxiety regarding returning to school).
- Forward Thinking Birmingham.
- An update on Preparation for Adulthood which should include data that allows for comparison, tracking and monitoring (attended 20 January 2021).
- Youth Justice.

4 Other Meetings

- Call in Meetings: None scheduled
- Petitions: None scheduled
- Petitions: None scheduled
- Councillor Call for Action Requests: None scheduled

5 Report to City Council / Pieces of Work

5.1 The Committee's Home to School Transport report was debated at City Council on 15th September 2020 and the following motion was agreed:

That the Executive provide an assessment of progress against the outcomes set out above, and the key areas listed in Section 7 in this report, to the Education & Children's Social Care Overview & Scrutiny Committee in March 2021.

That the Chief Executive at Birmingham City Council:

- a) Take steps to ensure that immediate changes will be made to the most pressing issues within the Travel Assist service, including [but not limited to] safeguarding of children, cancelled routes, guide changes, bus lateness, and telephone lines going unanswered;
- b) Commission an external and independent inquiry into the Full Travel Assist Service that fully addresses the concerns laid out by Parents, Carers, Schools and other users of the service as



listed in section 7 and listed in paragraph number a.) above, by providing clear recommendations, lines of accountability together with an open and transparent timetable for sustainable improvement;

- c) Commission an external and independent investigation into the assurances that have been given to Members about the safety of the service and the status of improvements at meetings of Overview and Scrutiny, City Council and Audit Committee since January 2020

The investigations referred to in paragraphs b) & c) will report by 1 November 2020.

6 Forward Plan for Cabinet Decisions

- 6.1 The following decisions, extracted from the Cabinet Office Forward Plan of Decisions, are likely to be relevant to the Education and Children's Social Care O&S Committee's remit.

Cabinet Member for Education, Skills and Culture			
ID Number	Title	Proposed Date	Date of Decision
008943/2021	Birmingham Youth Justice Strategic Plan 2021/22	29 Jun 21	
009043/2021	Proposed Admission Arrangements for Published Admission Numbers for Community and Voluntary Controlled Schools 2021/2022 and 2022/2023	27 Jul 21	
009054/2021	Skilts School conversion from Community School to Academy Status	27 Jul 21	



Health & Social Care O&S Committee: Work Programme 2021/22

Chair:	Cllr Rob Pocock
Deputy Chair:	Cllr Safia Akhtar
Committee Members:	Cllrs Safia Akhtar, Debbie Clancy, Peter Fowler, Mohammed Idrees, Ziaul Islam, Saima Suleman and Paul Tilsley
Officer Support:	Scrutiny Officer: Gail Sadler (303 1901) / Emma Williamson (464 6870) Committee Manager: Errol Wilson (675 0955)

1 Meeting Schedule

Date	Agenda Item	Officer Contact / Attendees
15th June 2021 1000 hours Committee Room Via Microsoft Teams Report Deadline: 3 rd June	Work Programming Session	John Williams, Adult Social Care; Bal Kaur, Adult Social Care; Simon Furze/Adib Qassim, Citizen Involvement Team; Dr Justin Varney/Dr Marion Gibbon, Public Health; Maria Gavin, Adult Social Care; Andy Cave, Healthwatch.
Friday 23rd July 2021 1000 hours BMI Main Hall Report Deadline: 15 th July	Appointment of Deputy Chair and membership of JHOSCs Update on the Reopening of Day Centres Q4 Adult Social Care Performance Monitoring Healthwatch Birmingham Annual Report	John Williams, Assistant Director, Adult Social Care Maria Gavin, Assistant Director, Adult Social Care Andy Cave, CEO, Healthwatch Birmingham.
21st September 2021 1000 hours BMI Main Hall Report Deadline: 9 th September	Cabinet Member for Health and Social Care – Adult Social Care Update Period Poverty and Raising Period Awareness - Tracking Report	Councillor Paulette Hamilton, Cabinet Member for Health and Social Care; Professor Graeme Betts/Louise Collett. Councillor Paulette Hamilton, Cabinet Member for Health and Social Care/Dr Justin Varney, Director of Public Health



Date	Agenda Item	Officer Contact / Attendees
21st September 2021 1000 hours BMI Main Hall Report Deadline: 9 th September	Citizen Involvement Session: Delayed Transfers of Care/Early Intervention Programme	Bal Kaur, Assistant Director, Adult Social Care; June Marshall, Citizen Involvement Manager.
19th October 2021 1000 hours BMI Main Hall Report Deadline: 7 th October	Forward Thinking Birmingham Infant Mortality – Tracking Report Update on Preparation for Adulthood Shared Lives Workstream	Elaine Kirwan, Deputy Chief Nurse, Mental Health Services/FTB Councillor Paulette Hamilton, Cabinet Member for Health and Social Care / Dr Marion Gibbon, AD Public Health. John Williams, Assistant Director, Adult Social Care; Dionne McAndrew, Assistant Director, Birmingham Children's Trust; Caroline Naven, Head of Preparation for Adulthood & Vulnerable Adults. John Williams, Assistant Director, Adult Social Care
16th November 2021 1000 hours BMI Main Hall Report Deadline: 4 th November	Birmingham Substance Misuse Recovery System (CGL) Citizen Involvement Session: Direct Payments	Karl Beese, Commissioning Manager, Adult Public Health Services John Williams, Assistant Director, Adult Social Care; June Marshall, Citizen Involvement Manager; Andy Cave, Healthwatch Birmingham.
21st December 2021 1000 hours BMI Main Hall Report Deadline: 9 th December	Plan for Day Opportunities Budget Consultation	John Williams, Assistant Director, Adult Social Care.



Date	Agenda Item	Officer Contact / Attendees
25th January 2022 1000 hours BMI Main Hall Report Deadline: 13 th January	Health and Wellbeing Board Update Birmingham Safeguarding Adults Board Annual Report Creating a City without Inequality Forum: Health Inequalities Draft Action Plan Update on the evaluation of Preparation for Adulthood	Dr Justin Varney, Director of Public Health Cherry Dale, Independent Chair of the Birmingham Safeguarding Adults Board Councillor John Cotton, Cabinet Member for Social Inclusion, Community Safety & Equalities; Andy Cave, CEO, Healthwatch Birmingham University of Warwick
15th February 2022 1000 hours BMI Main Hall Report Deadline: 3 rd February	Birmingham Sexual Health Services – Umbrella (UHB) Neighbourhood Network Scheme	Karl Beese, Commissioning Manager, Adult Public Health Services John Williams, Assistant Director, Adult Social Care.
29th March 2022 1000 hours BMI Main Hall Report Deadline: 17 th March	Cabinet Member for Health and Social Care - Public Health Update.	Councillor Paulette Hamilton, Cabinet Member for Health & Social Care; Dr Justin Varney, Director of Public Health.
19th April 2022 1000 hours BMI Main Hall Report Deadline: 7 th April		

2 Work to be programmed/Further work areas of interest

2.1 The following items could be scheduled into the work programme if members wish to investigate further:

- Obesity and Healthy Weight Programme – Dr Justin Varney
- Triple Zero Strategy – Outcome of Consultation – Dr Justin Varney
- Annual Review of the Adult Social Care Vision & Delivery Plan 2020-2024
- Redesigning support around out of hospital pathways – Bal Kaur



- Black African and Caribbean Health Inequalities – Dr Justin Varney
- Health and Wellbeing Strategy – Dr Justin Varney
- Statistical Update on the Life Expectancy Data for the City – Dr Justin Varney
- Restoration and Recovery of Services – Managing Patient Waiting Lists – Andy Cave, Healthwatch Birmingham
- Access to NHS Dentistry – Andy Cave, Healthwatch Birmingham
- Feedback from Care Homes – Andy Cave, Healthwatch Birmingham
- Mental Health and Wellbeing
 - Update on the Mental Health and Wellbeing of the Population Post-Covid – Dr Justin Varney
 - Access to Mental Health Services – Andy Cave, Healthwatch Birmingham.

3 Chair & Committee Visits

Date	Organisation	Contact

4 Inquiry

Title:	
Lead Member:	
Inquiry Members:	
Evidence Gathering:	
Drafting of Report:	
Report to Council:	



5 Councillor Call for Action requests

6 Forward Plan for Cabinet Decisions

The following decisions, extracted from the Cabinet Office Forward Plan of Decisions, are likely to be relevant to the Health and Social Care O&S Committee's remit. **Please note this is correct at the time of publication.**

Reference	Title	Portfolio	Proposed Date of Decision
005730/2018	Sport and Leisure Transformation – Wellbeing Service	Health and Social Care	12 Oct 21
008855/2021	Putting Prevention First: Consultation and Commissioning Plans and Procurement Strategy for Vulnerable Adults Support for Disabilities and Mental Health Services	Health and Social Care	27 July 21



7 Joint Birmingham & Sandwell Scrutiny Committee Work

Members	Cllrs Safia Akhtar, Debbie Clancy, Ziaul Islam, Rob Pocock, Paul Tilsley.	
Meeting Date	Key Topics	Contacts
July 2021 @ 2.00pm Sandwell	<p>JHOSC Terms of Reference</p> <p>Delivering Solid Tumour Oncology Cancer Services for Sandwell and West Birmingham</p> <p>Black Country Chronic Kidney Disease and Birmingham Fastrack</p> <p>Primary Care Networks in Sandwell and West Birmingham Update</p> <p>Status Report on Waiting Times for Elective Treatment</p>	<p>Kieran Caldwell, West Midlands Commissioning Unit, NHS England; Richard Beeken, Interim Chief Executive, Sandwell & West Birmingham Hospitals NHS Trust; Andrew Clements, Managing Director, Division 5; Jonathan Brotherton, Executive Chief Operating Officer, UHB NHS Foundation Trust.</p> <p>Kieran Caldwell, West Midlands Commissioning Unit, NHS England</p> <p>Carla Evans, Head of Primary Care, SWBCCG</p> <p>Richard Beeken, Interim Chief Executive, Sandwell and West Birmingham Hospitals NHS Trust.</p>
October 2021 @ 2.00pm Birmingham	<p>Midland Metropolitan University Hospital Update</p> <p>Provider Trust Collaboration Update</p>	<p>Richard Beeken, Interim Chief Executive, Sandwell and West Birmingham Hospitals NHS Trust.</p> <p>Richard Beeken, Interim Chief Executive, Sandwell and West Birmingham Hospitals NHS Trust.</p>
January 2022 @ 2.00pm Sandwell		



April 2022 @ 2.00pm Birmingham		
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8 Joint Birmingham & Solihull Scrutiny Committee Work

Members	Cllrs Peter Fowler, Mohammed Idrees, Rob Pocock, Saima Suleman, Paul Tilsley.	
Meeting Date	Key Topics	Contacts
10 th June 2021 2.00pm Birmingham Via Microsoft Teams Report Deadline: 2 nd June 2021	<ul style="list-style-type: none"> JHOSC Terms of Reference UHB NHS Trust's Performance during the Covid-19 Pandemic and Recovery of Services. Birmingham and Solihull System Operational Planning 2021/22 Birmingham and Solihull ICS Financial Planning 21/22 Post-COVID Syndrome ('Long COVID') Rehabilitation Goodrest Croft Surgery Closure 	<p>Jonathan Brotherton, Chief Operating Officer, UHB</p> <p>Harvir Lawrence; Lesa Kingham.</p> <p>Paul Athey, Chief Finance Officer, BSol CCG; David Melbourne, System Finance Lead</p> <p>Claire Underwood; Joanne Williams.</p> <p>Paul Sherriff; Michelle Williams.</p>
September 2021 6.00pm Solihull	<ul style="list-style-type: none"> NHS 111 First Update Birmingham and Solihull ICS Financial Planning 21/22 Update Access to Primary Care Update on Post-COVID Syndrome ('Long COVID') Rehabilitation UHB NHS Foundation Trust – Staff Mental Health and Wellbeing Data 	<p>Helen Kelly, Associate Director of Integration (Urgent Care/Community), BSol CCG</p> <p>Paul Athey, Chief Finance Officer, BSol CCG; David Melbourne, System Finance Lead</p> <p>Paul Sherriff, BSol CCG; Andy Cave, CEO, Healthwatch Birmingham and Healthwatch Solihull.</p> <p>Jo Williams, CEO, The Royal Orthopaedic Hospital.</p> <p>Jonathan Brotherton, Chief Operating Officer, UHB.</p>



December 2021 @ 2.00pm Birmingham	<ul style="list-style-type: none">	
March 2022 @ 6.00pm Solihull	<ul style="list-style-type: none">	



Housing and Neighbourhoods O&S Committee: Work Programme 2021/22

Chair:	Councillor Penny Holbrook
Deputy Chair:	Councillor Marje Bridle
Committee Members:	Councillors Deirdre Alden, Roger Harmer, Mahmood Hussain, Mary Locke, Mike Sharpe and Ken Wood
Officer Support:	Scrutiny Team: Emma Williamson (464 6870) and Jayne Bowles (303 4810) Committee Manager: Mandeep Marwaha (303 5950)

1 Terms of Reference

To fulfil the functions of an Overview and Scrutiny Committee as they relate to any policies, services and activities concerning housing; waste management; neighbourhood management; parks and allotments; localisation; bereavement services and community safety.

This Committee shall be the Crime and Disorder Committee (Police and Justice Act 2006).

2 Meeting Schedule

Date	Item	Officer Contact / Attendees
17 June 2021 1400 hours Deadline for reports: 8 June	Localisation Update	Chris Jordan, AD, Neighbourhoods/Karen Cheney, Head of Service, Neighbourhood Development and Support Unit
	Work Programme Discussion	Emma Williamson/Jayne Bowles, Scrutiny Office
8 July 2021 1400 hours Deadline for reports: 29 June	Performance Monitoring	Mira Gola, Head of Business Improvement and Support
	Progress Report on Implementation: Reducing Fly-tipping Street Litter Bins	Jon Lawton, Cabinet Support Officer/Darren Share, AD, Street Scene



Date	Item	Officer Contact / Attendees
23 September 2021 1400 hours Deadline for reports: 14 September	Housing Maintenance and Repairs (including capital investment) Voids (process and turnaround times) Tenant Engagement (to check dates with Executive)	Julie Griffin, Managing Director of City Housing/John Jamieson, Head of Service – Housing Management/Louise Fletcher, Senior Service Manager
14 October 2021 1400 hours Deadline for reports: 5 October	Progress Report on Implementation: Reducing Fly-tipping Begging (numbers, causes and effects)	Jon Lawton, Cabinet Support Officer/Darren Share, AD, Street Scene Marcia Wynter, Cabinet Support Officer/Waqar Ahmed, AD, Community Safety and Resilience
	Performance Monitoring	Mira Gola, Head of Business Improvement and Support
11 November 2021 1400 hours Deadline for reports: 2 November	Annual Report of the Birmingham Community Safety Partnership	Marcia Wynter, Cabinet Support Officer/Waqar Ahmed, AD, Community Safety and Resilience
	Localisation	
16 December 2021 1400 hours Deadline for reports: 7 December		
27 January 2022 1400 hours Deadline for reports: 18 January	Performance Monitoring	Mira Gola, Head of Business Improvement and Support
17 February 2022 1400 hours Deadline for reports: 8 February	Progress Report on Implementation: Reducing Fly-tipping	Jon Lawton, Cabinet Support Officer/Darren Share, AD, Street Scene
	Localisation	
10 March 2022 1400 hours Deadline for reports: 1 March		



Date	Item	Officer Contact / Attendees
14 April 2022 1400 hours Deadline for reports: 5 April	Performance Monitoring	Mira Gola, Head of Business Improvement and Support

3 Items to be programmed

- 3.1 Review of Impact/Outcomes of PSPOs
- 3.2 Housing Options Update
- 3.3 Update on Tower Blocks
- 3.4 HLBs/Tenant Engagement Review – project programme
- 3.5 Resourcing of the PRS Team – recruitment and apprenticeship scheme
- 3.6 CCTV policy
- 3.7 Street Litter Bins Policy
- 3.8 Bereavement Services Strategy

4 Outstanding Tracking

Inquiry	Outstanding Recommendations
Reducing Fly-tipping	R01 to R07

5 Other Meetings

Inquiry

Call in Meetings

None scheduled

Petitions

None scheduled

Councillor Call for Action requests

None scheduled

It is suggested that the Committee approves Thursday at 2.00pm as a suitable day and time each week for any additional meetings required to consider 'requests for call in' which may be lodged in respect of Executive decisions.



6 Forward Plan for Cabinet Decisions

The following decisions, extracted from the Cabinet Office Forward Plan of Decisions, are likely to be relevant to the Housing and Neighbourhoods O&S Committee's remit.

009093/2021	Acceleration of City Housing Transformation to enable reduction in Temporary Accommodation	27 Jul 21
Cabinet Member for Homes and Neighbourhoods		
008773/2021	Invest to Save – Housing Options and Private Rented Sector New Operating Model	27 Jul 21
008959/2021	Green Homes Grant Local Authority Delivery (LAD) 2	27 Jul 21
008961/2021	Rough Sleepers Accommodation Programme 2021-2022	27 Jul 21
008858/2021	Working with the Private Sector to Deliver Housing Growth	07 Sep 21
008859/2021	Building Birmingham – Long Nuke Road Development	07 Sep 21
008915/2021	Druids Heath Regeneration	12 Oct 21
008759/2021	Working in Partnership with the Alderson Trust	09 Nov 21
Cabinet Member for Street Scene and Parks		
008869/2021	Future Parks Accelerator – 25 Year City of Nature Vision	07 Sep 21
007349/2020	Waste Vehicle Replacement Programme	12 Oct 21
Leader		
008307/2021	Perry Barr Regeneration Scheme – Full Business Case Update	27 Jul 21



Resources O&S Committee: Work Programme 2021/22

Chair Councillor Mohammed Aikhlaq

Deputy Chair

Committee Members: Councillors David Barrie, Barbara Dring, Meirion Jenkins, Majid Mahmood, Shafique Shah, Paul Tilsley and Lisa Trickett

Committee Support: Scrutiny Team: Emma Williamson (464 6870) and Jayne Bowles (303 4810)
Committee Manager: Mandeep Marwaha (303 5950)

1 Terms of Reference

To fulfil the functions of an Overview and Scrutiny Committee as they relate to any policies, services and activities concerning finance (including strategic finance, budget setting and financial monitoring); revenues and benefits; treasury management; council land use and property assets; human resources; contracting, commissioning and commercialisation.

2 Meeting Schedule

Date	Item	Officer contact
24 June 2021 1400 hours Informal Online Meeting Deadline for reports: 15 June 2021	Financial Outturn 2020/21	Rebecca Hellard, Interim Director of Council Management
	Implementation of the Council's Finance and HR Enterprise Resource Planning (ERP) System	Rebecca Hellard, Interim Director of Council Management/Peter Bishop, Director of Digital and Customer Services/James Couper, ERP Programme Director
	CityServe Update	Alison Jarrett, AD, Development and Commercial
22 July 2021 1400 hours Deadline for reports: 13 July 2021	Financial Monitoring 2021/22 - Quarter 1/Month 3	Rebecca Hellard, Interim Director of Council Management
	ERP Update	Rebecca Hellard, Interim Director of Council Management/Peter Bishop, Director of Digital and Customer Services/James Couper, ERP Programme Director



9 September 2021 1400 hours Deadline for reports: 31 August 2021	Financial Monitoring 2021/22 – Month 4	Rebecca Hellard, Interim Director of Council Management
	ERP Update	Rebecca Hellard, Interim Director of Council Management/Peter Bishop, Director of Digital and Customer Services/James Couper, ERP Programme Director
7 October 2021 1400 hours Deadline for reports: 28 September 2021	Financial Monitoring 2021/22 – Month 5	Rebecca Hellard, Interim Director of Council Management
	ERP Update	Rebecca Hellard, Interim Director of Council Management/Peter Bishop, Director of Digital and Customer Services/James Couper, ERP Programme Director
4 November 2021 1400 hours Deadline for reports: 26 October 2021	Financial Monitoring 2021/22 – Quarter 2/Month 6	Rebecca Hellard, Interim Director of Council Management
	ERP Update	Rebecca Hellard, Interim Director of Council Management/Peter Bishop, Director of Digital and Customer Services/James Couper, ERP Programme Director
9 December 2021 1400 hours Deadline for reports: 30 November 2021	Financial Monitoring 2021/22 – Month 7	Rebecca Hellard, Interim Director of Council Management/Sara Pitt, AD, Service Finance
	ERP Update	Rebecca Hellard, Interim Director of Council Management/Peter Bishop, Director of Digital and Customer Services/James Couper, ERP Programme Director
20 January 2022 1400 hours Deadline for reports: 11 January 2022	Financial Monitoring 2021/22 – Month 8	Rebecca Hellard, Interim Director of Council Management
	ERP Update	Rebecca Hellard, Interim Director of Council Management/Peter Bishop, Director of Digital and Customer Services/James Couper, ERP Programme Director



10 February 2022 1400 hours Deadline for reports: 1 February 2022	Financial Monitoring 2021/22 – Quarter 3/Month 9	Rebecca Hellard, Interim Director of Council Management
	ERP Update	Rebecca Hellard, Interim Director of Council Management/Peter Bishop, Director of Digital and Customer Services/James Couper, ERP Programme Director
24 March 2022 1400 hours Deadline for reports: 15 March 2022	Financial Monitoring 2021/22 – Month 10	Rebecca Hellard, Interim Director of Council Management
	ERP Update	Rebecca Hellard, Interim Director of Council Management/Peter Bishop, Director of Digital and Customer Services/James Couper, ERP Programme Director
28 April 2022 1400 hours Deadline for reports: 19 April 2022		

3 Items to be programmed

3.1 Planned Procurement Activities Report to be a standing item on all meeting agendas

4 Other Meetings

Inquiry: Procurement and Contract Management

8 April 2021 Informal Session

Call-in

Petitions

None scheduled

Councillor Call for Action requests

None scheduled



5 Forward Plan for Cabinet Decisions

008987/2021	MTFP Update	27 Jul 21
009010/2021	MTFP Refresh	09 Nov 21
009031/2022	Draft Financial Plan 2022-2026	08 Feb 22

Leader		
009033/2021	Financial Monitoring Report Quarter 1 2021/22	27 Jul 21
007538/2020	Disposal of Surplus Properties	27 Jul 21
008307/2021	Perry Barr Regeneration Scheme – Full Business Case Update	27 Jul 21
008632/2021	Property Strategy: Asset Review – Birmingham City Property Company	27 Jul 21
008894/2021	Sale of land at Lawson Street, Birmingham B4 7AT	27 Jul 21
007884/2020	Proposed Compulsory Purchase Order – Digbeth & Allison Street, Birmingham for the Beorma Quarter Development	07 Sep 21
008303/2021	Asset Review – Multi-storey car park, Brunel Street, Birmingham	07 Sep 21
009034/2021	Financial Monitoring Report Quarter 2 2021/22	09 Nov 21
008971/2021	Sale of 1 Lancaster Circus, City Centre	09 Nov 21
009035/2022	Financial Monitoring Report Quarter 3 2021/22	08 Feb 22

Deputy Leader		
008892/2021	New Ways of Working Enhanced Business Case	27 Jul 21

Cabinet Member for Finance and Resources		
008907/2021	Gas and Power Procurement Strategy	27 Jul 21

Cabinet Member for Street Scene and Parks		
007349/2020	Waste Vehicle Replacement Programme	12 Oct 21



Sustainability & Transport O&S Committee: Work Programme 2021/22

Chair:	Cllr Liz Clements
Deputy Chair:	Cllr TBC
Committee Members:	Cllrs Mick Brown, Zaker Choudhry, Mohammed Fazal, Eddie Freeman, Julie Johnson, Hendrina Quinnen and Timothy Huxtable.
Officer Support:	Ceri Saunders, Acting Group Overview & Scrutiny Manager (303 2786) Scrutiny Officer: Baseema Begum (303 1668) Committee Manager: Louisa Nisbett (303 9844)

1 Meeting Schedule

Date	What	Officer Contact / Attendees
9th June 2021 (informal) 1400 hours Online meeting Report Deadline: 1 st June	To discuss priorities for the 2021/22 work programme.	Scrutiny Office
7th July 2021 1400 hours BMI Main Hall Report Deadline: 28 th June	Cabinet Member for Transport & Environment – Annual Report & Priorities	Rose Horsfall, Cabinet Support Officer
22nd September 2021 1400 hours BMI Main Hall Report Deadline: 13 th Sept	Plastic Free Birmingham – Tracking Birmingham Tree Policy Inquiry – Tracking (TBC)	Darren Share, Assistant Director, Street Scene and Parks Simon Needle, Principal Arboriculturist



Date	What	Officer Contact / Attendees
20th October 2021 1400 hours BMI Main Hall Report Deadline: 11 th Oct	Restoring public confidence in public transport (TBC)	Transport for West Midlands, Network West Midlands, West Midlands Railway (TBC)
17th November 2021 1400 hours BMI Main Hall Report Deadline: 8 th Nov	Update on the implementation of the Climate Change Action Plan (tbc) Disinvestment in fossil fuels – Follow up on Motion to City Council (TBC)	TBC West Midlands Local Government Pension Fund (TBC)
15th December 2021 1400 hours BMI Main Hall Report Deadline: 6 th Dec		
19th January 2022 1400 hours BMI Main Hall Report Deadline: 10 th Jan		
16th February 2022 1400 hours BMI Main Hall Report Deadline: 7 th Feb		
16th March 2022 1400 hours BMI Main Hall Report Deadline: 7 th March	Flood Risk Management Annual Report (TBC)	Kevin Hicks, Assistant Director, Highways & Infrastructure
20th April 2022 1400 hours BMI Main Hall Report Deadline: 11 th April		



2 Outstanding Tracking

Inquiry	Outstanding Recommendations	Last Tracking
Birmingham Tree Policy Inquiry	R01, R03, R06, R07, R08, R09, R10 & R11	November 2019
Plastic Free Birmingham	R01, R02, R05, R06 & R07	September 2020

3 Further work areas of interest/Work to be programmed

3.1 The following items could be scheduled into the work programme if members wish to investigate further:

- Improving the public realm to aid the cycling and walking offer and using the sustainability agenda to green-up areas including an update on the City of Nature Vision for Birmingham.
- DFT Active Travel Fund update including an update on e-scooters, West Midlands Bike Scheme, Places for People and Low Traffic Neighbourhoods (LTNs).
- Commonwealth Games (CWG) Sustainability Pledge (TBC)
- Update on the progress made with the development of the Clean Air Strategy (TBC)
- Car Free School Streets Pilot Scheme – A review of the pilot completed in March 2021 and future proposals
- The West Midlands Combined Authority Transport Delivery Committee's work on Bus Strategy.
- An update on the status of the Highways Management & Maintenance PFI contract and future proposals following the appointment of Kier in April 2020 for an interim period.
- Environmental, Public Open Space and Transport Issues within City Council Masterplans (i.e. Smithfield) and Urban Regeneration Frameworks.
- To continue to receive regular updates on the Waste Disposal Procurement Process from Cllr O'Shea, Cabinet Member for Street Scene and Parks.
- Clean Air Zone – Operational update (TBC)
- Public Highway issues: Parking/Grass verges/pavement parking – (information from previous sessions to be circulated to members when available).

4 Other Meetings

Call in Meetings

*None
scheduled*



Petitions

*None
scheduled*

Councillor Call for Action requests

*None
scheduled*

It is suggested that the Committee approve Wednesday at 1400 hours as a suitable day and time each week for any additional meetings required to consider 'requests for call in' which may be lodged in respect of Executive decisions.

Contact Officers

Ceri Saunders, Acting Group Overview and Scrutiny Manager, ceri.saunders@birmingham.gov.uk – 0121 303 2786

Baseema Begum, Research & Policy Officer, baseema.begum@birmingham.gov.uk – 0121 303 1668

5 Forward Plan for Cabinet Decisions

The following decisions, extracted from the Cabinet Office Forward Plan of Decisions, are likely to be relevant to the Sustainability & Transport O&S Committee's remit. **Please note this is correct at the time of publication. Highlighted rows show a change to the previously listed cabinet proposed date.**



Reference	Title	Portfolio	Proposed Date of Decision
008987/2021	Medium Term Financial Plan (MTFP) update	n/a	27 Jul 2021
008907/2021	Gas and Power Procurement Strategy	Finance & Resources	27 Jul 2021
008959/2021	Green Homes Grant Local Authority Delivery (LAD) 2	Homes & Neighbourhoods	27 Jul 2021
008307/2021	Perry Barr Regeneration Scheme – Full Business Case Update	Leader	27 Jul 2021
008853/2021	East Birmingham Inclusive Growth: Programme Business Case	Leader	27 Jul 2021
008531/2021	Highways and Infrastructure: Footway Crossings Policy and Information for Applicants	Transport & Environment	27 Jul 2021
008945/2021	Transfer of Local Land Charges Enquiries to HM Land Registry	Transport & Environment	27 Jul 2021
008314/2021	Adoption of Parking Supplementary Planning Document	Leader	7 Sep 2021
008965/2021	Renewal of Building Energy Management Systems	Leader	7 Sep 2021
008869/2021	Future Parks Accelerator – 25 Year City of Nature Vision	Street Scene & Parks	7 Sep 2021
008192/2021	Contribution to Decarbonising Construction of HS2 - Approval to accept Grant and Full Business Case	Transport & Environment	7 Sep 2021
008863/2021	Metro Centenary Square Extension – GBSLEP EZ additional funding	Transport & Environment	7 Sep 2021
008947/2021	Birmingham Transport Plan	Transport & Environment	7 Sep 2021
009086/2021	BCC Highway Permit Scheme	Transport & Environment	7 Sep 2021
009068/2021	Paradise Circus Update	Leader	12 Oct 2021
007349/2020	Waste Vehicle Replacement Programme	Street Scene & Parks	12 Oct 2021
005048/2018	Moor Street Queensway Public Realm Improvements Outline Business Case	Transport & Environment	12 Oct 2021
009037/2021	Highway Maintenance and Management PFI Contract	Transport & Environment	12 Oct 2021
009090/2021	Adoption of the City-wide Electric Vehicle (EV) Charge Point Strategy	Transport & Environment	12 Oct 2021
009010/2021	Medium Term Financial Plan (MTFP) Refresh	n/a	09 Nov 2021
009031/2022	DRAFT FINANCIAL PLAN 2022-2026	n/a	8 Feb 2022

