

Capital Monitoring Summary

Appendix B1

<u>Expenditure</u>	2019/20 £m	2020/21 £m	2021/22 £m	Later Years £m	Total Plan £m
Quarter 2 Approved Budget	641.178	613.842	435.925	1,856.474	3,547.420
Budget Changes - New Resources / (Reductions)	(4.920)	(22.546)	(20.600)	(122.425)	(170.491)
Budget Changes - Rephasing Approved by Cabinet	(0.100)	0.100	0.000	0.000	0.000
Budget Quarter 3	636.158	591.396	415.325	1,734.049	3,376.929
Forecast Slippage Quarter 3	(134.687)	95.059	21.463	18.165	(0.000)
Forecast Overspend / (Underspend) Quarter 3	1.029	(1.403)	9.910	47.590	57.125
Forecast Outturn at Quarter 3	502.500	685.052	446.697	1,799.804	3,434.054
 <u>Resources</u>					
Use of Specific Resources:					
Grants & Contributions	249.178	200.557	141.774	100.680	692.189
Earmarked Capital Receipts - RTB & Revenue Reform	58.163	55.017	34.296	185.883	333.359
Revenue Contributions - Departmental	11.810	19.332	15.863	28.856	75.861
Revenue Contributions - HRA	53.339	54.747	62.623	541.673	712.382
Use of Corporate or General Resources:					
Corporate Resources	7.027	15.689	1.756	0.434	24.905
Prudential Borrowing	122.982	339.709	190.386	942.279	1,595.357
Forecast Use of Resources	502.499	685.052	446.697	1,799.805	3,434.053

Ref.	Budget Movements					
	Current Year			All Years		
	Quarter 2 Budget £m	Current Budget £m	Change £m	Quarter 2 Budget £m	Current Budget £m	Change £m
ADULT SOCIAL CARE DIRECTORATE						
Adult Care & Health						
Property Schemes	0.731	0.731	0.000	1.208	1.208	0.000
Adults IT	1.020	1.020	0.000	1.266	1.266	0.000
Improvements To Social Care Delivery	0.000	0.000	0.000	0.000	0.000	0.000
Independent Living	10.278	10.278	0.000	21.685	21.685	0.000
Total Adult Social Care Directorate	12.029	12.029	0.000	24.158	24.158	0.000
EDUCATION AND SKILLS DIRECTORATE						
Education & Early Years						
Devolved Capital Allocation to Schools	3.379	3.379	0.000	7.496	7.496	0.000
School Condition Allocations	16.103	16.103	0.000	17.703	17.703	0.000
Basic Need - Additional School Places	50.301	50.301	0.000	120.249	120.249	0.000
Other Minor Schemes - Schools	0.013	0.013	0.000	0.013	0.013	0.000
EarlyYrs&Childcare	1.057	1.057	0.000	1.057	1.057	0.000
IT Investment	1.818	1.818	0.000	2.927	2.927	0.000
S106 Woodlinton Road	0.252	0.252	0.000	0.252	0.252	0.000
Total Education & Early Years	72.924	72.924	0.000	149.698	149.698	0.000
Skills & Employability						
Adult Ed & Youth	1.141	1.141	0.000	1.141	1.141	0.000
Birmingham Libraries	0.907	0.907	0.000	4.467	4.467	0.000
Total Skills & Employability	2.048	2.048	0.000	5.608	5.608	0.000
Total Education and Skills Directorate	74.971	74.971	0.000	155.306	155.306	0.000
NEIGHBOURHOODS DIRECTORATE						
Street Scene						
Waste Management Services	11.876	11.876	0.000	58.967	58.967	0.000
Parks & Nature Conservation	16.546	17.001	0.455	20.082	20.592	0.509
Total Street Scene	28.422	28.877	0.455	79.049	79.559	0.509
Housing Services						
Housing Options Service	0.284	0.284	0.000	2.604	2.604	0.000
Private Sector Housing	0.685	0.685	0.000	1.986	1.986	0.000
Housing Revenue Account						
Housing Improvement Programme	71.016	71.016	0.000	653.634	653.634	0.000
Redevelopment	38.243	38.243	0.000	401.659	401.659	0.000
Other Programmes	5.462	5.462	0.000	57.129	57.129	0.000
Total Housing Revenue Account	114.721	114.721	0.000	1,112.422	1,112.422	0.000
Total Housing Services	115.690	115.690	0.000	1,117.012	1,117.012	0.000
Neighbourhoods						
Community, Sport & Events	2.487	2.487	0.000	2.487	2.487	0.000
Neighbourhoods	0.002	0.002	0.000	0.002	0.002	0.000
Cultural Development	3.006	3.076	0.070	3.006	3.076	0.070
Total Neighbourhoods	5.495	5.565	0.070	5.495	5.565	0.070
Regulation & Enforcement						
Bereavement	0.095	0.095	0.000	0.095	0.095	0.000
Markets Services	0.244	0.244	0.000	1.003	1.003	0.000
Environmental Health	0.009	0.009	0.000	0.009	0.009	0.000
Mortuary/Coroners	0.278	0.278	0.000	0.278	0.278	0.000
Total Regulation & Enforcement	0.626	0.626	0.000	1.385	1.385	0.000
Total Neighbourhoods Directorate	150.233	150.758	0.525	1,202.941	1,203.520	0.579
INCLUSIVE GROWTH DIRECTORATE						
Planning & Development						
Major Projects						
Enterprise Zone - Paradise Circus	32.978	32.978	0.000	63.219	63.219	0.000
Enterprise Zone - Site Development & Access	2.500	2.500	0.000	2.500	2.500	0.000
Enterprise Zone - Connecting Economic Opportunities	1.115	1.115	0.000	139.707	139.707	0.000
Enterprise Zone - Southern Gateway Site	0.450	0.450	0.000	150.450	150.450	0.000
Enterprise Zone - Southside Public Realm	0.000	0.000	0.000	9.060	9.060	0.000
Enterprise Zone - LEP Investment Fund	0.000	0.000	0.000	20.000	20.000	0.000
Enterprise Zone - HS2-Interchange Site	0.000	0.000	0.000	20.000	20.000	0.000
EZ Phase II - HS2 Station Environment	2.438	2.438	0.000	59.410	59.410	0.000
EZ Phase II - HS2 Site Enabling	1.500	1.500	0.000	101.500	101.500	0.000
EZ Phase II - Local Transport Improvements	0.000	0.000	0.000	104.800	104.800	0.000
EZ Phase II - Metro Extension to E Bham/Solihull	0.000	0.000	0.000	183.300	183.300	0.000
EZ Phase II - Social Infrastructure	0.000	0.000	0.000	0.000	0.000	0.000
EZ Capitalised Interest	3.960	3.960	0.000	31.790	31.790	0.000
Jewellery Quarter Cemetary	1.295	1.295	0.000	1.798	1.798	0.000
Unlocking Housing Sites	5.554	5.554	0.000	5.554	5.554	0.000
Life Sciences	0.000	0.000	0.000	0.973	0.973	0.000
Other (Major Projects)	0.263	0.263	0.000	0.263	0.263	0.000

Budget Movements						
Ref.	Current Year			All Years		
	Quarter 2 Budget £m	Current Budget £m	Change £m	Quarter 2 Budget £m	Current Budget £m	Change £m
Total Major Projects	52.053	52.053	0.000	894.323	894.323	0.000
Employment & Skills	2.171	2.171	0.000	6.723	6.723	0.000
Public Realm	4.339	4.339	0.000	4.339	4.339	0.000
Infrastructure/Site Enabling Programme	0.319	0.319	0.000	0.319	0.319	0.000
Grants/Loans Programme	0.000	0.000	0.000	1.000	1.000	0.000
Total Planning & Development	58.882	58.882	0.000	906.704	906.704	0.000
Housing Development						
In Reach						
IG1 ●	5.650	0.085	(5.565)	124.265	51.075	(73.190)
CWG-Sale To In Reach	0.000	0.000	0.000	100.000	0.000	(100.000)
IG2 ●	0.000	0.000	0.000	100.000	0.000	(100.000)
Total Housing Development	5.650	0.085	(5.565)	224.265	51.075	(173.190)
Transport Connectivity						
Major Schemes						
Ashted Circus	0.730	0.730	0.000	0.730	0.730	0.000
Metro Extension	0.150	0.150	0.000	4.724	4.724	0.000
Iron Lane	4.207	4.207	0.000	10.216	10.216	0.000
Minworth Unlocking	0.001	0.000	(0.001)	0.001	0.000	(0.001)
Battery Way Extension	2.015	2.015	0.000	2.158	2.158	0.000
Longbridge Connectivity	0.292	0.336	0.045	0.292	0.336	0.045
A457 Dudley Road	0.955	0.955	0.000	28.898	28.898	0.000
Journey Reliability	0.768	0.674	(0.094)	0.768	0.774	0.006
Tame Valley Phase 2 & 3	2.097	2.097	0.000	87.805	87.805	0.000
Selly Oak New Road Phase 1B	6.000	6.000	0.000	7.312	7.312	0.000
Wharfdale Bridge	2.542	2.542	0.000	2.695	2.695	0.000
Snow Hill Station	4.268	4.268	0.000	7.308	7.308	0.000
Other (Major Schemes)	2.802	2.828	0.026	4.752	4.778	0.026
Total Major Schemes	26.828	26.803	(0.024)	157.660	157.735	0.076
Inclusive & Sustainable Growth	60.662	60.552	(0.110)	68.355	68.245	(0.110)
Walking & Cycling	9.008	9.203	0.195	13.006	13.201	0.195
Local Measure	0.000	0.000	0.000	0.000	0.000	0.000
Infrastructure Dev	0.725	0.725	0.000	1.294	1.294	0.000
Transportation & highways Funding Strategy	0.000	0.000	0.000	13.013	13.013	0.000
Section 278/S106	0.012	0.012	0.000	0.012	0.012	0.000
Total Transport Connectivity	97.235	97.296	0.061	253.340	253.500	0.161
Highways Infrastructure						
Safer Routes to Schools	0.669	0.669	0.000	1.869	1.869	0.000
Network Integrity and Efficiency	1.572	1.572	0.000	3.572	3.572	0.000
S106 & S278 Schemes	0.069	0.069	0.000	0.069	0.069	0.000
Road Safety	0.869	0.854	(0.016)	4.294	4.279	(0.016)
District Schemes	0.773	0.748	(0.025)	0.773	0.748	(0.025)
Total Highways Infrastructure	3.952	3.911	(0.041)	10.577	10.536	(0.041)
Property Services						
Attwood Green Parks	0.059	0.059	0.000	0.059	0.059	0.000
AttwoodGreen-Holloway Head Playing Field	0.038	0.038	0.000	0.038	0.038	0.000
Attwood Green-Woodview Community Centre	0.090	0.090	0.000	0.090	0.090	0.000
Council House Major Works	0.000	0.000	0.000	0.000	0.000	0.000
Bham Crisis Centre-Nursery Extension	0.005	0.005	0.000	0.005	0.005	0.000
Lee Bank Business Centre	0.000	0.000	0.000	0.000	0.000	0.000
Highbury Hall Essential Works	0.463	0.463	0.000	0.463	2.463	2.000
Property Strategy	9.500	9.500	0.000	55.000	55.000	0.000
Total Property Services	10.153	10.153	0.000	55.653	57.653	2.000
Total Inclusive Growth Directorate	175.873	170.327	(5.545)	1,450.539	1,279.469	(171.070)
DIGITAL & CUSTOMER SERVICES DIRECTORATE						
ICT & Digital						
DCS1 ●	7.876	13.640	5.764	12.344	20.885	8.541
Total Digital & Customer Services Directorate	7.876	13.640	5.764	12.344	20.885	8.541
FINANCE & GOVERNANCE DIRECTORATE						
Development & Commercial						
Gateway/Grand Central Residual Costs	12.800	12.800	0.000	18.564	18.564	0.000
Capital Loans & Equity	1.000	1.000	0.000	3.242	3.242	0.000
Total Development & Commercial	13.800	13.800	0.000	21.806	21.806	0.000
Corporately Held Funds						
Revenue Reform Projects						
FG1 ●	20.311	14.547	(5.764)	39.557	31.016	(8.541)
Corporate Capital Contingency	5.000	5.000	0.000	25.392	25.392	0.000
Total Corporately Held Funds	25.311	19.547	(5.764)	64.949	56.408	(8.541)

Ref.	Budget Movements					
	Current Year			All Years		
	Quarter 2 Budget £m	Current Budget £m	Change £m	Quarter 2 Budget £m	Current Budget £m	Change £m
SAP Investments	0.414	0.414	0.000	3.989	3.989	0.000
Total Finance & Governance Directorate	39.525	33.761	(5.764)	90.744	82.203	(8.541)
ASSISTANT CHIEF EXECUTIVE DIRECTORATE						
Public Health	0.750	0.750	0.000	0.750	0.750	0.000
Total Assistant Chief Executive Directorate	0.750	0.750	0.000	0.750	0.750	0.000
COMMONWEALTH GAMES 2022						
CWG Village	164.926	164.926	0.000	466.587	466.587	0.000
CWG Alexander Stadium	2.187	2.187	0.000	70.806	70.806	0.000
CWG Organising Cttee	12.809	12.809	0.000	73.244	73.244	0.000
Total Commonwealth Games 2022	179.922	179.922	0.000	610.637	610.637	0.000
Total Capital Programme	641.178	636.158	(5.020)	3,547.419	3,376.928	(170.491)

**Capital Monitoring Quarter 3 2019/20 - Budget Movements
Commentary**

Appendix B3

INCLUSIVE GROWTH DIRECTORATE				
Ref.	Project/Programme	Comments	2019/20 Increase (Decrease) £m	All Years Increase /(Decrease) £m
IG1	In Reach	This budget comprises loan and share investment in InReach, part of which was to fund the purchase by InReach of vacant Council properties for private rental sector housing. This was subject to Secretary of State approval, but significant delays were experienced in gaining approval. InReach have since completed a further review of the proposal and this scheme is no longer deemed to be viable and it is not currently expected to progress.	(5.565)	(73.190)
IG2	CWG-Sale To In Reach	This budget funded investment in InReach to enable it to acquire completed Games Village properties post CWG. The Council will need to ensure best value for the disposal and appropriate arrangements cannot be confirmed until nearer the planned disposal date. It is now considered prudent to exclude this investment assumption from the capital budget and medium term financial plan.	0.000	(100.000)
IG3	Highbury Hall Essential Works	The budget increase for essential works at Highbury Hall relates to Cabinet approved (September 2018) match funding for anticipated grant from the Heritage Lottery Fund.	0.000	2.000

DIGITAL & CUSTOMER SERVICES DIRECTORATE				
Ref.	Project/Programme	Comments	2019/20 Increase (Decrease) £m	All Years Increase /(Decrease) £m
DCS1	ICT & Digital	The ITD Transition Programme funded by Flexible Use of Capital Receipts has been transferred from Finance & Governance - see reference FG2 below.	5.764	8.541

FINANCE & GOVERNANCE DIRECTORATE				
Ref.	Project/Programme	Comments	2019/20 Increase (Decrease) £m	All Years Increase /(Decrease) £m
FG1	Revenue Reform Projects	The ITD Transition Programme Scheme, funded by Flexible Use of Capital Receipts has been transferred to Digital & Customer Directorate - see reference DCS1 above.	(5.764)	(8.541)

		Forecast Variations										
		Current Year					All Years					
Ref.		Current Budget £m	Current Actuals £m	Forecast £m	Variation £m	Quarter 2 Variation £m	Change £m	Current Budget £m	Forecast £m	Variation £m	Quarter 2 Variation £m	Change £m
ADULT SOCIAL CARE DIRECTORATE												
Adult Care & Health												
	Property Schemes	0.731	0.524	0.731	0.000	0.000	0.000	1.208	1.208	0.000	0.000	0.000
	Adults IT	1.020	0.063	0.327	(0.692)	0.000	(0.692)	1.266	1.266	0.000	0.000	0.000
	Improvements To Social Care Delivery	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
	Independent Living	10.278	9.216	13.278	3.000	0.000	3.000	21.685	21.685	0.000	0.000	0.000
AC1	Total Adult Social Care Directorate	12.029	9.803	14.336	2.308	0.000	2.308	24.158	24.158	0.000	0.000	0.000
EDUCATION AND SKILLS DIRECTORATE												
Education & Early Years												
	Devolved Capital Allocation to Schools	3.379	2.381	3.379	0.000	0.000	0.000	7.496	7.496	0.000	0.000	0.000
ES1	School Condition Allocations	16.103	6.580	14.203	(1.900)	0.000	(1.900)	17.703	17.703	0.000	0.000	0.000
ES2	Basic Need - Additional School Places	50.301	35.427	45.301	(5.000)	0.000	(5.000)	120.249	120.249	0.000	0.000	0.000
	Other Minor Schemes - Schools	0.013	0.013	0.013	0.000	0.000	0.000	0.013	0.013	0.000	0.000	0.000
	EarlyYrs&Childcare	1.057	0.667	1.057	0.000	0.000	0.000	1.057	1.057	0.000	0.000	0.000
	IT Investment	1.818	0.923	1.818	0.000	0.000	0.000	2.927	2.927	0.000	0.000	0.000
	S106 Woodlington Road	0.252	0.409	0.409	0.157	0.000	0.157	0.252	0.409	0.157	0.000	0.157
	Total Education & Early Years	72.924	46.400	66.181	(6.743)	0.000	(6.743)	149.698	149.855	0.157	0.000	0.157
Skills & Employability												
	Adult Ed & Youth	1.141	0.297	0.300	(0.841)	0.000	(0.841)	1.141	1.141	0.000	0.000	0.000
	Birmingham Libraries	0.907	0.186	0.342	(0.564)	0.000	(0.564)	4.467	4.447	(0.020)	0.000	(0.020)
	Total Skills & Employability	2.048	0.483	0.642	(1.405)	0.000	(1.405)	5.608	5.588	(0.020)	0.000	(0.020)
Total Education and Skills Directorate												
74.971 46.883 66.823 (8.148) 0.000 (8.148) 155.306 155.443 0.137 0.000 0.137												
NEIGHBOURHOODS DIRECTORATE												
Street Scene												
N1	Waste Management Services	11.876	1.739	2.825	(9.051)	(8.851)	(0.200)	58.967	58.967	0.000	0.000	0.000
N2	Parks & Nature Conservation	17.001	5.484	6.971	(10.030)	(6.800)	(3.230)	20.592	20.592	0.000	0.000	0.000
	Total Street Scene	28.877	7.222	9.796	(19.082)	(15.651)	(3.430)	79.559	79.559	0.000	0.000	0.000
Housing Services												
	Housing Options Service	0.284	0.317	0.444	0.160	0.000	0.160	2.604	2.764	0.160	0.000	0.160
	Private Sector Housing	0.685	0.169	0.332	(0.353)	0.000	(0.353)	1.986	1.973	(0.013)	0.000	(0.013)
Housing Revenue Account												
N3	Housing Improvement Programme	71.016	39.572	71.016	0.000	(0.860)	0.860	653.634	623.091	(30.543)	(29.301)	(1.242)
N4	Redevelopment	38.243	17.709	28.954	(9.289)	(4.022)	(5.267)	401.659	465.569	63.910	63.912	(0.002)
N5	Other Programmes	5.462	1.404	5.462	0.000	0.000	0.000	57.129	87.827	30.698	14.500	16.198
	Total Housing Revenue Account	114.721	58.685	105.432	(9.289)	(4.882)	(4.407)	1,112.422	1,176.487	64.065	49.111	14.954
Total Housing Services												
115.690 59.171 106.208 (9.482) (4.882) (4.600) 1,117.012 1,181.224 64.212 49.111 15.101												
Neighbourhoods												
	Community, Sport & Events	2.487	2.207	2.343	(0.144)	0.178	(0.322)	2.487	2.825	0.338	0.178	0.160
	Neighbourhoods	0.002	0.000	0.000	(0.002)	0.000	(0.002)	0.002	0.000	(0.002)	0.000	(0.002)
	Cultural Development	3.076	0.065	0.076	(3.000)	0.000	(3.000)	3.076	3.076	0.000	0.000	0.000
N6	Total Neighbourhoods	5.565	2.272	2.419	(3.146)	0.178	(3.324)	5.565	5.901	0.336	0.178	0.158
Regulation & Enforcement												
	Bereavement	0.095	(0.155)	0.095	0.000	0.000	0.000	0.095	0.095	0.000	0.000	0.000
	Markets Services	0.244	0.475	0.899	0.655	0.655	0.000	1.003	1.658	0.655	0.655	0.000
	Environmental Health	0.009	0.000	0.009	0.000	0.000	0.000	0.009	0.009	0.000	0.000	0.000
	Mortuary/Coroners	0.278	0.043	0.043	(0.235)	0.000	(0.235)	0.278	0.278	0.000	0.000	0.000
	Total Regulation & Enforcement	0.626	0.363	1.046	0.420	0.655	(0.235)	1.385	2.040	0.655	0.655	0.000
Total Neighbourhoods Directorate												
150.758 69.029 119.469 (31.289) (19.700) (11.589) 1,203.520 1,268.723 65.203 49.944 15.259												

Ref.	Forecast Variations										
	Current Year						All Years				
	Current Budget £m	Current Actuals £m	Forecast £m	Variation £m	Quarter 2 Variation £m	Change £m	Current Budget £m	Forecast £m	Variation £m	Quarter 2 Variation £m	Change £m
Infrastructure Dev	0.725	0.417	0.823	0.098	0.000	0.098	1.294	1.392	0.098	0.000	0.098
Transportation & highways Funding Strat	0.000	0.000	0.000	0.000	0.075	(0.075)	13.013	13.013	0.000	0.075	(0.075)
Section 278/S106	0.012	0.311	0.012	0.000	0.000	0.000	0.012	0.012	0.000	0.000	0.000
Total Transport Connectivity	97.296	17.970	31.776	(65.520)	(16.356)	(49.164)	253.500	255.583	2.083	0.075	2.008
Highways Infrastructure											
Safer Routes to Schools	0.669	0.257	0.269	(0.400)	(0.219)	(0.181)	1.869	1.869	0.000	0.000	0.000
Network Integrity and Efficiency	1.572	0.720	0.822	(0.750)	(0.052)	(0.698)	3.572	3.572	0.000	0.000	0.000
S106 & S278 Schemes	0.069	0.073	0.069	0.000	0.000	(0.000)	0.069	0.079	0.010	0.000	0.010
Road Safety	0.854	0.287	0.281	(0.572)	(0.190)	(0.382)	4.279	4.302	0.023	0.000	0.023
District Schemes	0.748	0.082	0.200	(0.549)	(0.490)	(0.058)	0.748	0.814	0.066	0.000	0.066
Total Highways Infrastructure	3.911	1.419	1.640	(2.271)	(0.951)	(1.320)	10.536	10.636	0.099	0.000	0.099
Property Services											
Attwood Green Parks	0.059	0.000	0.059	0.000	0.000	0.000	0.059	0.059	0.000	0.000	0.000
AttwoodGreen-Holloway Head Playing Field	0.038	0.022	0.038	0.000	0.000	0.000	0.038	0.038	0.000	0.000	0.000
Attwood Green-Woodview Community Centre	0.090	0.000	0.090	0.000	0.000	0.000	0.090	0.090	0.000	0.000	0.000
Council House Major Works	0.000	0.129	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Bham Crisis Centre-Nursery Extension	0.005	0.000	0.005	0.000	0.000	0.000	0.005	0.005	0.000	0.000	0.000
Lee Bank Business Centre	0.000	0.038	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Highbury Hall Essential Works	0.463	0.032	0.089	(0.373)	0.000	(0.373)	2.463	2.463	0.000	0.000	0.000
Property Strategy	9.500	7.500	8.000	(1.500)	0.000	(1.500)	55.000	55.000	0.000	0.000	0.000
Total Property Services	10.153	7.722	8.280	(1.873)	0.000	(1.873)	57.653	57.653	0.000	0.000	0.000
Total Inclusive Growth Directorate	170.327	52.436	86.570	(83.757)	(27.186)	(56.571)	1,279.469	1,271.729	(7.741)	(185.843)	178.103
DIGITAL & CUSTOMER SERVICES DIRECTORATE											
ICT & Digital	13.640	2.371	7.277	(6.363)	0.000	(6.363)	20.885	20.885	0.000	0.000	0.000
Total Digital & Customer Services Directorate	13.640	2.371	7.277	(6.363)	0.000	(6.363)	20.885	20.885	0.000	0.000	0.000
FINANCE & GOVERNANCE DIRECTORATE											
Development & Commercial											
Gateway/Grand Central Residual Costs	12.800	(0.141)	0.200	(12.600)	0.000	(12.600)	18.564	18.564	0.000	0.000	0.000
Capital Loans & Equity	1.000	0.209	0.500	(0.500)	0.000	(0.500)	3.242	3.242	0.000	0.000	0.000
Total Development & Commercial	13.800	0.069	0.700	(13.100)	0.000	(13.100)	21.806	21.806	0.000	0.000	0.000
Corporately Held Funds											
Revenue Reform Projects	14.547	17.388	25.954	11.407	(0.727)	12.134	31.016	31.016	0.000	(0.727)	0.727
Corporate Capital Contingency	5.000	0.000	4.525	(0.475)	(0.475)	0.000	25.392	24.917	(0.475)	(0.475)	0.000
Total Corporately Held Funds	19.547	17.388	30.479	10.932	(1.202)	12.134	56.408	55.933	(0.475)	(1.202)	0.727
SAP Investments	0.414	0.196	0.198	(0.216)	0.000	(0.216)	3.989	3.989	0.000	0.000	0.000
Total Finance & Governance Directorate	33.761	17.653	31.377	(2.384)	(1.202)	(1.182)	82.203	81.728	(0.475)	(1.202)	0.727
ASSISTANT CHIEF EXECUTIVE DIRECTORATE											
Public Health	0.750	0.750	0.750	0.000	0.000	0.000	0.750	0.750	0.000	0.000	0.000
Total Assistant Chief Executive Directorate	0.750	0.750	0.750	0.000	0.000	0.000	0.750	0.750	0.000	0.000	0.000
COMMONWEALTH GAMES 2022											
CWG Village	164.926	73.681	164.926	0.000	0.000	0.000	466.587	466.587	0.000	0.000	0.000
CWG Alexander Stadium	2.187	3.806	4.376	2.189	0.000	2.189	70.806	70.806	0.000	0.000	0.000
CWG Organising Cttee	12.809	0.000	6.595	(6.214)	0.000	(6.214)	73.244	73.244	0.000	0.000	0.000
Total Commonwealth Games 2022	179.922	77.487	175.897	(4.025)	0.000	(4.025)	610.637	610.637	0.000	0.000	0.000
Total Capital Programme	636.158	276.412	502.499	(133.659)	(48.089)	(85.570)	3,376.928	3,434.053	57.125	(137.101)	194.226

ADULT SOCIAL CARE DIRECTORATE			
Project/Programme	Comments	Current Year (£m)	All Years (£m)
AC1 - Independent Living	The forecast acceleration of £3m is to address a progression of a backlog of cases from last year which were held up due to a legal challenge. In addition to this there has been an increase in the number of referrals to OT DFG service hence resulting in a higher expenditure than previously forecast.	3.000	0.000

EDUCATION AND SKILLS DIRECTORATE			
Project/Programme	Comments	Current Year (£m)	All Years (£m)
ES1	£1m has been slipped into future years as originally it had been anticipated that more Asbestos Management Surveys would have been completed by BCL and works related to these undertaken in 19/20. However, this has been a slower process and as a result the budget is being slipped. £900k has also been slipped into future years for the schools academisation due to schools not converting in 19/20. This will be used towards those Academy conversions in 20/21.	(1.900)	0.000
ES2	£5m has been slipped into future years due to the delay in getting the approval for the Skills School relocation. The project will now be on site in January 2020 and not September 2019 as originally profiled. As a result the majority of spend on this project will now take place in 2020/21.	(5.000)	0.000

NEIGHBOURHOODS DIRECTORATE			
Project/Programme	Comments	Current Year (£m)	All Years (£m)
N1 - Waste Management Services	Waste are reviewing their Fleet requirements to seek alternative fuels to comply with the Clean Air policy and seek to maximise their return on investment. Manufacturers cannot deliver before April 2020. Therefore £8.8m has been slipped into the next financial year. The remainder of the variation is due to small slippage on the Waste Depot Modernisation scheme.	(9.051)	0.000
N2 - Parks & Nature Conservation	There is a limited supply of Clean Air Zone compliant Grounds Maintenance vehicles which has resulted in slippage of £9.4m into 2020/21 when more vehicles to this standard are likely to enter the market. £0.4m minor Parks slippage.	(10.030)	0.000
N3 - Housing Improvement Programme (HRA)	An underspend of £29.301m for all years was previously reported at Quarter 2. There is a further underspend in quarter 3 of £1.242m in future years which is offset by the allocation of capital budget to other priority areas, including Adaptations to HRA dwellings. This is reflected in the HRA Business Plan 2020+.	0.000	(30.543)

N4 - Redevelopment (HRA)	Slippage of £4.022m relating to the Current Year was previously reported at quarter 2. Additional Slippage of £5.267m has been identified in quarter 3. Of this there is net slippage on BMHT of £3.939m and net slippage on the Clearance programme of £1.328m. The main reasons for the slippage is due to delays in achieving planning and tendering approvals at Abbeyfields, Alum Drive, Houldey Road, Kestrel Avenue, Gladstone Road and Farnborough Road for BMHT. The Clearance slippage is at Kings Norton (difficulty in acquiring properties due to owners not fully engaging); rehousing issues at Alfred and Beach Road and additional service diversions at Heath House. An overspend of £63.912m for all years was previously reported at quarter 2. This is reflected in the HRA Business Plan 2020+.	(9.289)	63.910
N5 - Other Programmes (HRA)	An all years overspend of £14.5m was previously reported at Quarter 2. Further resources in quarter 3 have been identified within the revised HRA Business Plan 2020+ of £16.198m. This will be reallocated on a priority basis in due course, subject to governance arrangements.	0.000	30.698
N6 - Cultural Development	The £3m loan has been slipped into future years as Performance Birmingham Ltd are undertaking a fund raising programme and therefore may not require the Council's loan facility. The loan is funded by prudential borrowing so this will have no impact on BCC if the loan is not required.	(3.000)	0.000

INCLUSIVE GROWTH DIRECTORATE			
Project/Programme	Comments	Current Year (£m)	All Years (£m)
IG1 - Enterprise Zone - Paradise Circus	Following the revised Business Case submission to the GBSLEP the project has taken considerably longer than it would have hoped to satisfy the GBSLEP's revised funding conditions. This delay impacted on the programme and has necessitated re-sequencing of the construction programme causing slippage into 2020/21	(8.765)	0.000
IG2 - Enterprise Zone - Site Development & Access	£2m has slipped due to delays from the developer in preparing & submitting the Eastside Locks Full Business Case for funding to the GBSLEP for Enterprise Zone.	(2.500)	0.000
IG3 - EZ Phase II - HS2 Site Enabling	Old Curzon building slippage of £1m is due to delays in HS2 securing approval from Government for their increased funding contribution to the project.	(1.400)	0.000
IG4 - EZ Capitalised Interest	A review of the timing of the Enterprise Zone capital programme in later years has resulted in a reduction of £9m capitalised interest.	(0.298)	(9.923)
IG5 - Tame Valley Phase 2 & 3	The slippage for Tame Valley Viaduct has been due to the following two factors; 1. Delays in commencement of the main contract procurement including the OJEU process. 2. Delays in the procurement of the advance testing works, valued circa £850,000 and originally programmed to commence in November 2019, as no tenders were submitted in the first round of the procurement process. This necessitated a re-tendering exercise for a second time. The works are expected to commence in March 2020 subject to receipt of satisfactory tenders and award of contract.	(1.052)	0.000
IG6 - Selly Oak New Road Phase 1B	The £1.472m slippage is a result of delays in obtaining amendments to the final design where rephasing is required to accommodate the impact of major works (traffic signals and street lighting) being billed towards the end of the project (2020/21).	(2.000)	0.000
IG7 - Wharfdale Bridge	£2.4m is due to be paid to Network Rail to deliver the scheme. However Network Rail are severely delayed in delivering their Programme and will not complete the necessary works this financial year.	(2.522)	0.000

IG8 - Snow Hill Station	The £3.808m slippage is a result of delays in 'Full Business Case' approval, design delays, also works on the site have been delayed until the new year due to an embargo. These severe delays have resulted in the project being slipped and completed in 2020-21.	(4.018)	0.000
IG9 - Other (Major Schemes)	The slippage relates to other minor Transportation projects that have been slipped into 2020-21. These schemes are either S278 schemes or historic legacy schemes. The S278 schemes are Developer dependant and money can only be spent once the developer provides the relevant design. This is not in the control of the Council, so if the Developer programme is delayed, funding will not be spent in line with the Capital Programme. The legacy schemes have money held against them to cover ongoing claims, some of which are going through a drawn out legal process so can't be settled quickly. The money needs to be held against the schemes to pay out should it be necessary to do so.	(1.939)	0.000
IG10 - Inclusive & Sustainable Growth	The slippage in 2019-20 mainly relates to: 1) Clean Air Zone (£37.958m) -The mitigations fund of £27.720m, has been deferred to 2020/21 as the infrastructure that will allow delivery is not yet in place, it is dependent on the delivery of Vehicle Compliance Access and support systems which are in development. The Implementation Fund has slipped £10.238m into 2020/21, this is mainly due to the fact the operational date has been postponed to July 2020. 2) Clean Air Hydrogen Bus (£7.596m) -The project has slipped due to the bus manufacturer going into administration in September. Following confirmation that Wrightbus has re-started hydrogen bus production under its new owner, the Council relaunched the OJEU procurement process to secure a hydrogen bus operator on the 20th December 2019. The procurement process will be completed by the end of January 2020, and the operator appointed by the end of February 2020, to enable the 20% bus purchase deposit and related expenditure to be released before 31st March 2020, to achieve the target spend of £3.4m. The increase in expenditure in future years relates the Cabinet approved Snow Hill Growth Strategy project.	(48.386)	2.012
IG11 - Walking & Cycling	Working towards the completion of Birmingham Cycle Revolution there are elements of the main corridor that final payment have not been resolved hence the slippage. There are also some small supporting schemes which have required consultation such as cycle parking which have been delayed and therefore contributed to the slippage. In addition Pershore Road has been held up due to the need to undertake value engineering to resolve land and services and therefore funding has been moved into next financial year.	(4.818)	0.004
IG11 - Property Strategy	The project has engaged external consultancy to support the programme who are due to report in February 2020. This will be developed into an Outline Business Case in Spring 2020 and then onto a Full Business Case later in the year which will give authority to capital works on the adopted service delivery model.	(1.500)	0.000

DIGITAL & CUSTOMER SERVICES DIRECTORATE			
Project/Programme	Comments	Current Year (£m)	All Years (£m)
DCS1 - ICT & Digital	The slippage of £6.363m is as a result of delays in obtaining amendments to the final design and procurement of Data Centre and Cloud Storage provision schemes within the Application Platform Modernisation Programme.	(6.363)	0.000

FINANCE & GOVERNANCE DIRECTORATE

Project/Programme	Comments	Current Year (£m)	All Years (£m)
FG1 - Gateway/Grand Central Residual Costs	Slippage of £12.6m has occurred due to prolonged negotiations between the relevant third parties agreeing a preferred solution for remedying the outstanding design and construction works. This has also affected the timing of associated compensation payments and professional fees.	(12.600)	0.000
FG2 - Revenue Reform Projects	Revised redundancy and Pension Fund Strain costs based on latest forecasts on achievement of savings targets through staff reductions in line with the savings being delivered in year.	11.407	0.000

COMMONWEALTH GAMES 2022

Project/Programme	Comments	Current Year (£m)	All Years (£m)
CW1- CWG Alexander Stadium	Acceleration of £2.189m. The demolition of existing stands is under way, with good progress being made in advance of earlier programme expectations. It is, however, anticipated that the overall project will be delivered within the overall budget and timescales previously agreed.	2.189	0.000
CW2 - CWG Organising Cttee	This expenditure substantially relates to reimbursement of capital expenditure incurred by the Organising Committee in accordance with the Host City Contract. It is understood that a number of elements of their expenditure that was originally envisaged to be treated as capital expenditure has now been reclassified as revenue expenditure, and will therefore be funded by other partners.	(6.214)	0.000

EDUCATION AND SKILLS DIRECTORATE	
Project/Programme	Comments
Schools Academisation	Some schools have/are in the process of converting to Academies, but have significant deficits that have to be funded by the LA. A funding switch utilising capital resources has been identified to fund known costs, but any further increases would in the first instance be a revenue pressure

NEIGHBOURHOODS DIRECTORATE	
Project/Programme	Comments
HRA - Fire Protection Programme	A financial risk of approximately £28m in future years has been identified to address measures recommended from the Hackett Review as Fire Precautions for High Rise Blocks. This will need to be contained within HRA financial resources.
HRA - Adaptations	There is financial risk due to a significant backlog of adult referral cases. Both Neighbourhoods and Adults Social Care are working together to address the operation and financial issues through a comprehensive implementation plan alongside a financial mitigation plan.

INCLUSIVE GROWTH DIRECTORATE	
Project/Programme	Comments
Clean Air Hydrogen Bus Pilot	The procurement process to secure a hydrogen bus operator provider was launched through the OJEU process on 20th December, following confirmation that the bus manufacturer has re-started hydrogen bus production again with a new owner. Final tender evaluation and governance processes for the hydrogen bus operator will be completed to ensure the bus order can be placed before the end of the financial year. The project officer is in contact with grant funders to update the situation regarding the project status. The funding was due to spend 20% (bus purchase deposit) by the end of November 2019 as one of the project milestones. This will now be by the end of March 2020.

COMMONWEALTH GAMES 2022	
Project/Programme	Comments
CWG Village	Substantial progress has been made on land acquisitions, with over 80% of land now in the Council's ownership, in advance of confirmation of a CPO for the area. Negotiations are continuing with Tier 1 contractors for the construction phase, prior to entering into contracts. A detailed review of all income and expenditure estimates is under way, which is identifying a significant risk of material cost increases versus budget. Work is ongoing with the tier 1 contractors to manage these risks to minimise the impact of these pressures, whilst ensuring full delivery in advance of the Commonwealth Games in 2022.
CWG Alexander Stadium	Demolition of the main stand is scheduled to commence during September 2019, with construction activity to follow from April 2020. Planning consent was given on 30 January 2020. Design and associated costings have now progressed to RIBA Stage 3, and remain within the approved budget.

CWG Organising Cttee	Key components for 2019/20 include funding for the Sandwell Aquatics Centre, OC capital costs relating to property leases and Capital Contingency across all capital projects. At this stage specific project costs are considered to be on track, with a funding agreement for the SAC currently under development. To the extent that the contingency element of this budget is not utilised in 2019/20, this will be reprofiled into future years.
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Prudential Borrowing - Additions or Reductions Quarter 3 (October to December) 2019

This Appendix reviews changes in the Council's proposed borrowing to finance capital expenditure to show whether the Council's underlying indebtedness increases or decreases. The Council needs to consider carefully the affordability and sustainability of any increase in debt.

Description	#	2019/20 £'000	2020/21 £'000	Later Years £'000	Total £'000
Borrowing Needing Budget Support					
Neighbourhoods:					
Waste Management Services	A	(200)	200	0	0
Adult Ed & Youth	A	0	0	0	0
TOTAL BORROWING NEEDING BUDGET SUPPORT					
(200) 200 0 0					
SELF SUPPORTED					
Education & Skills:					
Capital Maintenance Grant	A	(900)	900	0	0
Strategic Libraries	A	0	0	0	0
Community Libraries	A	(520)	(2,500)	3,000	(20)
Neighbourhoods:					
Parks & Nature	A	(2,958)	2,604	354	0
Sport	A&N	(240)	400	0	160
Strategic Parks	A			0	0
Housing Options	A	160	0	0	160
Cultural Development	A	(3,000)	0	3,000	0
Regulation & Enforcement	A	(229)	229	0	0
HRA	A	0	0	0	0
Inclusive Growth:					
Enterprise Zone Investment Plan Phases 1 & 2	A	(10,146)	77	12,615	2,546
Transportation	A	(234)	232	0	(2)
Housing Development	A	85	3,188	(1,013)	2,260
Digital & Customer Services:					
ICT Infrastructure	A	(4,000)	978	3,022	0
Finance & Governance					
Capital Loans & Equity	A	(500)	250	250	0
SAP Investments	A	(216)	0	216	0
Major Projects	A	(12,600)	(514)	13,114	0
Corporate Capital Contingency	A	0	0	0	0
Commonwealth Games					
Village	A	0	0	(769)	(769)
Alexander Stadium	A	0	0	0	0
Organising Committee	A	0	0	0	0
TOTAL SELF SUPPORTED BORROWING					
(35,298) 5,843 33,790 4,335					
TOTAL ADDITIONS / (REDUCTION) IN PRUDENTIAL BORROWING					
(35,498) 6,043 33,790 4,335					

Note: This includes some re-phasing between years.

A - Amendment to existing project spend or resources.

N - New projects or programmes added in the quarter.

CAPITAL - CAPITAL EXPENDITURE PLAN - FORECAST 2019/20 QUARTER 3

APPENDIX B8

This appendix shows capital plans over the ten year Long Term Financial Plan period, for those projects where longer term plans have been developed. Long term plans will be subject to ongoing review to ensure that any expenditure plans are within a prudent forecast of resources. Please note that many projects do not have such long term planning horizons, and the absence of forecasts does not mean that no spend is anticipated, just that it cannot yet be reasonably quantified.

	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	2025/26	2026/27	2027/28	2028/29	2029/30
	Quarter 3 Forecast										
	£m										
ADULT SOCIAL CARE DIRECTORATE	14.336	9.822	0.000								
EDUCATION & SKILLS DIRECTORATE	66.823	61.426	23.352	3.841	0.000						
NEIGHBOURHOODS DIRECTORATE											
Private Sector Housing											
Housing Options Service											
Other - General Fund	14.037	42.539	12.917	22.743	0.000						
HRA	105.432	125.816	129.432	145.061	128.483	120.336	107.685	102.403	102.935	108.904	0.000
TOTAL CAPITAL - NEIGHBOURHOODS DIRECTORATE	119.469	168.355	142.349	167.804	128.483	120.336	107.685	102.403	102.935	108.904	0.000
INCLUSIVE GROWTH DIRECTORATE											
Planning and Development											
Enterprise Zone Investment Plan											
Paradise Circus Redevelopment	24.212	24.172	11.217	1.416	2.116	0.081	0.000	0.000	0.000	0.000	0.000
Eastside Locks	0.000	1.000	1.500	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Southern Gateway Site (Smithfield)	0.450	0.000	3.985	10.678	19.597	25.785	11.598	21.342	15.395	10.464	8.517
Southside Public Realm	0.389	2.249	4.066	0.262	0.000	0.000	0.000	0.000	0.000	0.000	0.000
LEP Investment Fund	0.000	0.000	0.000	0.000	0.000	5.000	5.000	5.000	5.000	0.000	0.000
HS2 - Interchange Site	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
HS2 Station Environment	1.891	0.277	0.156	0.409	2.250	17.749	20.505	6.848	0.000	0.000	0.000
Site Enabling Works	0.100	1.700	0.200	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Local Transport Improvements	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Digbeth Public Realm	0.550	0.480	14.000	0.970	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Connecting Economic Opportunities	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Unlocking Housing Sites	5.554	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Metro Extension to East Birmingham/Solihull	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Capitalised Interest	3.662	1.322	2.666	3.526	3.283	4.218	1.259	1.930	0.000	0.000	0.000
Other Planning Schemes	7.981	5.070	1.957	0.234	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Total Planning & Development	44.789	36.270	39.747	17.495	27.246	52.833	38.362	35.120	20.395	10.464	8.517

	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	2025/26	2026/27	2027/28	2028/29	2029/30
	Quarter 3 Forecast										
	£m										
Total Employment & Skills	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	
Housing Development	0.085	6.188	10.582	17.535	14.145	2.540	0.000	0.000	0.000	0.000	0.000
Total Transportation	31.776	95.809	43.553	20.407	13.213	17.838	23.719	6.473	2.320	0.000	0.000
Total Highways	1.640	3.695	1.325	1.325	1.325	1.325	0.000	0.000	0.000	0.000	0.000
Total Property Services	8.280	46.155	3.218	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
TOTAL CAPITAL - INCLUSIVE GROWTH DIRECTORATE	86.570	188.117	98.425	56.762	55.929	74.536	62.081	41.593	22.715	10.464	8.517
COMMONWEALTH GAMES 2022	175.897	231.692	161.740	40.005	1.303	0.000	0.000	0.000	0.000	0.000	0.000
FINANCE & GOVERNANCE DIRECTORATE	31.377	16.613	16.000	17.738	0.000						
DIGITAL & CUSTOMER SERVICES DIRECTORATE	7.277	8.777	4.831	0.000							
ASSISTANT CHIEF EXECUTIVE DIRECTORATE	0.750	0.000									
PARTNERSHIPS, INSIGHT & PREVENTION DIRECTORATE	0.000	0.250	0.000								
TOTAL CAPITAL PROGRAMME	502.499	685.052	446.697	286.150	185.715	194.872	169.766	143.996	125.650	119.368	8.517

Resources

Use of Specific Resources

Grants & Contributions	249.628	200.108	141.773	14.812	32.898	28.045	24.176	0.250	0.250	0.250	0.000
Use of earmarked Capital Receipts	58.163	55.017	34.296	38.478	32.057	26.446	27.507	20.465	20.465	20.465	0.000
Revenue Contributions - Departmental	11.810	19.332	15.863	7.450	0.960	1.017	4.549	8.200	4.332	2.348	0.000
- HRA	53.339	54.747	62.623	68.521	73.802	78.167	75.172	79.962	80.208	85.841	0.000
	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Total Specific Resources	372.940	329.204	254.555	129.261	139.717	133.675	131.404	108.877	105.255	108.904	0.000

Use of Corporate or General Resources

Corporate Resources	7.027	15.689	1.756	0.434	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Unsupported Prudential Borrowing - General	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Unsupported Prudential Borrowing - Corporate	18.289	57.265	17.543	5.317	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Unsupported Prudential Borrowing - Directorate	104.243	282.894	172.843	151.138	45.998	61.197	38.362	35.119	20.395	10.464	8.517
Total Corporate Resources	129.559	355.848	192.142	156.889	45.998	61.197	38.362	35.119	20.395	10.464	8.517
Forecast Use of Resources	502.499	685.052	446.697	286.150	185.715	194.872	169.766	143.996	125.650	119.368	8.517