

## **SEN2 (2019) Form**

Census date: 17 January 2019

### **Overview**

The information collected via the annual SEN2 survey form provides the major source of data collected on children and young people with statements of special educational need or Education, Health and Care (EHC) plans. It is the only source of data on the totality of statements and EHC plans maintained by individual local authorities.

<b>Local Authority Number</b>	1	<input type="text" value="Birmingham City Council"/>
<b>Completion time:</b> Amount of time spent completing this form (to the nearest hour)	2	<input type="text" value="60"/>
<b>Contact forename:</b>	2A	<input type="text" value="Saadhia"/>
<b>Contact surname:</b>	2B	<input type="text" value="Kamran"/>
<b>Telephone number:</b>	2C	<input type="text" value="0121 303 2440"/>
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### **PART 1: Education arrangements for all children and young people for whom the authority maintains a statement or an Education, Health and Care (EHC) plan.**

- 1.1 Please state the number of children and young people as at 17 January 2019, for whom the authority maintains an Education, Health and Care (EHC) plan under the Children and Families Act 2014.

The age breakdown refers to age as at 31 August 2018.

a.	Under age 5	3	423
b.	Aged 5 to 10	4	3008
c.	Aged 11 to 15	5	3121
d.	Aged 16 to 19	6	2176
e.	Aged 20 to 25	7	709
f.	<b>TOTAL</b> (a + b + c + d + e)	8	9437

1.2 a Of the children and young people entered in 1.1, please state the number:

(i) On the roll of the following establishments. **This should be the type of establishment named on the EHC plan.** Include children and young people whose EHC plan is under appeal.

a.	Non-maintained early years settings in the private and voluntary sector	9	36
b.	Mainstream school: LA maintained (including foundation schools)	10	997
c.	Mainstream school: LA maintained (SEN Unit)	11	0
d.	Mainstream school: LA maintained (resourced provision)	12	189
e.	Mainstream school: academy	13	824
f.	Mainstream school: academy (SEN Unit)	14	0
g.	Mainstream school: academy (resourced provision)	15	385
h.	Mainstream school: free school	16	38
i.	Mainstream school: free school (SEN Unit)	17	2
j.	Mainstream school: free school (resourced provision)	18	0
k.	Mainstream school: independent school	19	209
l.	Special school: LA maintained (including foundation schools)	20	2962
m.	Special school: academy/free	21	1288
n.	Special school: Non-maintained	22	4
o.	Special school: Independent special schools	23	204
p.	AP/PRU: LA maintained	24	9
q.	AP/PRU: Academy	25	0
r.	AP/PRU: Free school	26	7
s.	Hospital schools (including foundation schools)	27	0
t.	Post 16: General FE and tertiary colleges/HE	28	1171
u.	Post 16: Other FE	29	41
v.	Post 16: Sixth form college	30	41
w.	Post 16: Specialist post-16 Institutions	31	489
(ii)	Total of 1.2 a (i)	32	8896

(iii)	Educated elsewhere		
a.	Pupils permanently excluded at 17 January 2019 and not yet placed elsewhere	33	9
b.	Other - arrangements made by the LA in accordance with Section 319 of the Education Act 1996 or Section 61 of the Children and Families Act 2014	34	36
	<b>NOTE:</b> Include children and young people who are within Secure units or Young offenders institutions within 1.2 (iii) (b)		
c.	Other - arrangements made by parents in accordance with Section 7 of the Education Act 1996	35	50
d.	Awaiting provision – children of compulsory school age (aged under 16) or below who are in a school and have been issued an EHC plan but are awaiting placement in another school	36	112
e.	Awaiting Provision – young people over compulsory school age who are in an education setting and have been issued an EHC plan but are awaiting placement in another setting	37	0
f.	Awaiting provision – children of compulsory school age (aged under 16) or below who are not in school and have been issued an EHC plan but are awaiting placement in an education setting	38	88
g.	Awaiting Provision – young people over compulsory school age who are not in an education setting and have been issued an EHC plan but are awaiting placement in a setting	39	26
h.	NEET – Young people who have an EHC plan but are not in employment, education or training	40	220
i.	Other – Including those who have been issued a notice to cease (for example, after taking up of employment) and the decision is currently subject to an appeal to the Tribunal	41	0

1.2 b Of the young people entered in 1.1, please state the number undertaking:

a.	Apprenticeships	42	0
b.	Traineeships	43	0
c.	Supported Internships	44	44

1.2 c Of all children and young people with an EHC Plan at 17 January 2019, how many were placed in residential special schools or colleges:

The age breakdown refers to age as at **31 August 2018**

(i) 38 to 51 weeks per year

a.	Under age 5	45	0
b.	Aged 5 to 10	46	23
c.	Aged 11 to 15	47	65
d.	Aged 16 to 19	48	19
e.	Aged 20 to 25	49	11
f.	<b>TOTAL</b> (a + b + c + d + e)	50	118

(ii) 52 weeks per year

a.	Under age 5	51	0
b.	Aged 5 to 10	52	1
c.	Aged 11 to 15	53	5
d.	Aged 16 to 19	54	13
e.	Aged 20 to 25	55	0
f.	<b>TOTAL</b> (a + b + c + d + e)	56	19

## PART 2: New Assessments and placements by the local authority during the 2018 calendar year.

2.1 Please state the number of children and young people for whom an Education, Health and Care (EHC) plan under the Children and Families Act 2014 was made for the **first time** during the 2018 calendar year.

The age breakdown refers to age as at **31 August 2018**.

**NOTE:** These do not include reassessments of EHC plans.

Please refer to the guide for information on **transfers between local authorities**.

a.	Under age 5	57	318
b.	Aged 5 to 10	58	323
c.	Aged 11 to 15	59	131
d.	Aged 16 to 19	60	31
e.	Aged 20 to 25	61	2
f.	<b>TOTAL</b> (a + b + c + d + e)	62	805

2.2 a Of the children and young people entered in 2.1, please state the number:

(i)	On the roll of the following establishments. <b>This should be the type of establishment named on the EHC plan.</b> Include children and young people whose EHC plan is under appeal.	
a.	Non-maintained early years settings in the private and voluntary sector	63 <input type="text" value="38"/>
b.	Mainstream school: LA maintained (including foundation schools)	64 <input type="text" value="233"/>
c.	Mainstream school: LA maintained (SEN Unit)	65 <input type="text" value="0"/>
d.	Mainstream school: LA maintained (resourced provision)	66 <input type="text" value="26"/>
e.	Mainstream school: academy	67 <input type="text" value="127"/>
f.	Mainstream school: academy (SEN Unit)	68 <input type="text" value="0"/>
g.	Mainstream school: academy (resourced provision)	69 <input type="text" value="20"/>
h.	Mainstream school: free school	70 <input type="text" value="2"/>
i.	Mainstream school: free school (SEN Unit)	71 <input type="text" value="0"/>
j.	Mainstream school: free school (resourced provision)	72 <input type="text" value="0"/>
k.	Mainstream school: independent school	73 <input type="text" value="19"/>
l.	Special school: LA maintained (including foundation schools)	74 <input type="text" value="132"/>
m.	Special school: academy/free	75 <input type="text" value="75"/>
n.	Special school: Non-maintained	76 <input type="text" value="0"/>
o.	Special school: Independent special schools	77 <input type="text" value="10"/>
p.	AP/PRU: LA maintained	78 <input type="text" value="5"/>
q.	AP/PRU: Academy	79 <input type="text" value="0"/>
r.	AP/PRU: Free school	80 <input type="text" value="1"/>
s.	Hospital schools (including foundation schools)	81 <input type="text" value="1"/>
t.	Post 16: General FE and tertiary colleges/HE	82 <input type="text" value="11"/>
u.	Post 16: Other FE	83 <input type="text" value="1"/>
v.	Post 16: Sixth form college	84 <input type="text" value="0"/>
w.	Post 16: Specialist post-16 Institutions	85 <input type="text" value="2"/>
(ii)	Total of 2.2 a (i)	86 <input type="text" value="703"/>

(iii)	Educated elsewhere	
a.	Pupils permanently excluded at 17 January 2019 and not yet placed elsewhere	87 <input type="text" value="0"/>
b.	Other - arrangements made by the LA in accordance with Section 319 of the Education Act 1996 or Section 61 of the Children and Families Act 2014	88 <input type="text" value="2"/>
	<b>NOTE:</b> Include children and young people who are within Secure units or Young offenders institutions within 1.2 (iii) (b)	
c.	Other - arrangements made by parents in accordance with Section 7 of the Education Act 1996	89 <input type="text" value="7"/>
d.	Awaiting provision – children of compulsory school age (aged under 16) or below who are in a school and have been issued an EHC plan but are awaiting placement in another school	90 <input type="text" value="65"/>
e.	Awaiting Provision – young people over compulsory school age who are in an education setting and have been issued an EHC plan but are awaiting placement in another setting	91 <input type="text" value="0"/>
f.	Awaiting provision – children of compulsory school age (aged under 16) or below who are not in school and have been issued an EHC plan but are awaiting placement in an education setting	92 <input type="text" value="22"/>
g.	Awaiting Provision – young people over compulsory school age who are not in an education setting and have been issued an EHC plan but are awaiting placement in a setting	93 <input type="text" value="3"/>
h.	NEET – Young people who have an EHC plan but are not in employment, education or training	94 <input type="text" value="3"/>
i.	Other – Including those who have been issued a notice to cease (for example, after taking up of employment) and the decision is currently subject to an appeal to the Tribunal	95 <input type="text" value="0"/>

2.2 b Of the young people entered in 2.1, please state the number undertaking:

a.	Apprenticeships	96 <input type="text" value="0"/>
b.	Traineeships	97 <input type="text" value="0"/>
c.	Supported Internships	98 <input type="text" value="0"/>

- 2.3 Please state the number of children and young people assessed under Section 36 of the Children and Families Act 2014 for whom it was decided not to issue an EHC plan during the 2018 calendar year.

99

**NOTE:** Do not include children and young people who are still being assessed or those where assessment has been completed by 17 January 2019 but no decision taken on whether to issue an EHC plan. Do not include reassessments of statements or EHC plans; or transitions from statements to EHC plans.

- 2.4 Please state the number of children and young people assessed for an EHC plan during the 2018 calendar year who are still being assessed or where assessment has been completed by 17 January 2019 but no decision taken for an EHC plan.

100

**NOTE:** These do not include: reassessments of EHC plans; or transitions from statements to EHC plans.

- 2.5 Please state the number of initial requests for assessment for an EHC plan that were refused during the 2018 calendar year.

101

**NOTE:** These do not include: reassessments of EHC plans; or transitions from statements to EHC plans.

Please also include cases where the initial request for assessment was made during 2017, but the request was refused during 2018.

- 2.6 For children and young people with a statement of special educational needs (A) or an EHC plan (B) made prior to 1 January 2018:

- a. Please state the number of children who transferred during the 2018 calendar year:

	(A)	(B)
i. from mainstream settings to special settings	102A <input type="text" value="3"/>	102B <input type="text" value="177"/>

ii. from special settings to mainstream settings	103A <input type="text" value="2"/>	103B <input type="text" value="80"/>
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- b. Please state the number, during the 2018 calendar year, who were taken out of school by their parents to be home

i. from mainstream settings	104A <input type="text" value="0"/>	104B <input type="text" value="10"/>
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ii. from special settings	105A <input type="text" value="1"/>	105B <input type="text" value="4"/>
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**NOTE:** Only include in year transfers and not end of academic year phase transfers.

Mainstream settings refer to the establishment types (b), (c), (d), (e), (f), (g), (h), (i), (j), (k), (p), (q), (r), (s), (t), (u), (v) and special settings refer to the establishment types (l), (m), (n), (o), (w), detailed in Item 1.2a.

- 2.7 Please state the number of statements of special educational needs (A) or EHC plans (B) that have been reviewed and discontinued in the 2018 calendar year. Only include children of compulsory school age.

For pupils who have:

	(A)	(B)
a. Transferred to another LA	106A 14	106B 175
b. Special needs being met without an EHC plan	107A 61	107B 290
c. Other	108A 1	108B 9

**NOTE:** Please do not include pupils whose statement was assessed for an EHC plan (whether an EHC plan was issued or not) or whose statement/ EHC plan has ended because they have left school at the end of compulsory schooling. Please see the guide for a link to school leaving age information.

- 2.8 Please state the number of statements of special educational needs (A) or EHC plan (B) that have been discontinued in the 2018 calendar year because pupils have left school at the end of compulsory schooling or after.
- |  | (A)     | (B)     |
|--|---------|---------|
|  | 109A 27 | 109B 24 |

- 2.9 Please state the number of initial requests that were made for assessment for an EHC plan during the 2018 calendar year.
- |  |          |
|--|----------|
|  | 110 1458 |
|--|----------|

**NOTE:** These do not include: reassessments of EHC plans; or transitions from statements to EHC plans.

- 2.10 Please state the number of initial requests for assessments for an EHC plan during the 2018 calendar year that were made when the child or young person was detained in
- |  |       |
|--|-------|
|  | 111 0 |
|--|-------|

**NOTE:** Relevant youth accommodation includes Young Offenders Institutions, Secure Training Centres and Secure Children's Homes.

### PART 3: Education, Health and Care plans issued within 20 weeks

Data should only cover EHC plans issued for the **first time** (not reassessments) in the 2018 calendar year.

Please refer to Annex B of the guide for details of exceptions to the time limits.

Please refer to guide for information on **transfers between local authorities**.

- 3.1 Please state for the 2018 calendar year:
- |   |         |
|---|---------|
| a. the total number of EHC plans issued including exception cases                     | 112 805 |
| b. of which, the number of EHC plans including exception cases issued within 20 weeks | 113 645 |
- 3.2 Please state for the 2018 calendar year:
- |   |         |
|---|---------|
| a. the total number of EHC plans issued excluding exception cases                     | 114 713 |
| b. of which, the number of EHC plans excluding exception cases issued within 20 weeks | 115 605 |



**PART 4: Progress transferring children and young people with statements of special educational needs to the new system**

This should only count EHC plans that have been converted from **existing** statements.

4.1 Please state:

- |    |   |     |     |
|----|---|-----|-----|
| a. | the number of children and young people with statements who were issued with an EHC plan in the period 19 January 2018 to 31 March 2018   | 116 | 784 |
| b. | the number of children and young people with statements who were assessed for an EHC plan and a decision not to issue an EHC plan made in the period 19 January 2018 to 31 March 2018 | 117 | 1   |

**PART 5: Number of personal budgets and direct payments**

- |     |   |     |     |
|-----|---|-----|-----|
| 5.1 | Please state the number of personal budgets in place for all EHC plans as at 17 January 2019. | 118 | 218 |
|-----|---|-----|-----|

**NOTE:** Please record the number of personal budgets for EHC plans maintained at the census date. This item replaces the number of EHC plans issued or reviewed during the year as per previous year's collections.

5.2 Of those in 5.1, please give the number of personal budgets that have:

- |      |  |     |     |
|------|--|-----|-----|
| a.   | organised arrangements where the local authority or partner retains the funding and commissions the support specified in the plan (sometimes called notional arrangements) | 119 | 3   |
| b.   | direct payments (including payment to nominees and third parties) and whether these are for:   |     |     |
| i.   | education  | 120 | 7   |
| ii.  | social care  | 121 | 209 |
| iii. | health   | 122 | 0   |
| iv.  | integrated payment for a combination of the above  | 123 | 0   |

**NOTE:** A personal budget can be a mixture of organised arrangements and direct payments. Such cases should be counted under both 5.2a and 5.2b. Therefore, it is possible for the sum of 5.2a and 5.2b to be greater than 5.1.

**PART 6: Effectiveness of mediation**

- |     |  |     |     |
|-----|--|-----|-----|
| 6.1 | (i) Please state for the 2018 calendar year, the number of mediation cases that have been held i.e. where a mediation meeting has occurred, regardless of the outcome. | 124 | 100 |
|     | (ii) Of those in 6.1 (i), please state the number of cases which were followed by appeals to the Tribunal.   | 125 | 9   |

**PART 7: Designated Medical / Clinical Officer (DMO / DCO)**

7.1 Does your local authority area have a Designated Medical Officer (DMO) in place on 17 January 2019?

	<b>*Yes/No/Recruitment in progress</b>
(i) Yes	126 <input type="text" value="Yes"/>
(ii) No	126 <input type="text"/>
(iii) Recruitment in progress	126 <input type="text"/>

7.2 Does your local authority area have a Designated Clinical Officer (DCO) in place on 17 January 2019?

	<b>*Yes/No/Recruitment in progress</b>
(i) Yes	127 <input type="text"/>
(ii) No	127 <input type="text" value="No"/>
(iii) Recruitment in progress	127 <input type="text"/>

7.3 Is the function of the DMO/DCO carried out by another member of staff on 17 January 2019?

	<b>*Yes/No Drop Down Box</b>
(i) Yes	128 <input type="text" value="Yes"/>
(ii) No	128 <input type="text"/>